

Supporting Information

for

Asymmetric α -amination of β -keto esters using a guanidine–bisurea bifunctional organocatalyst

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Experimental procedures, copies of NMR spectra and HPLC chromatograms

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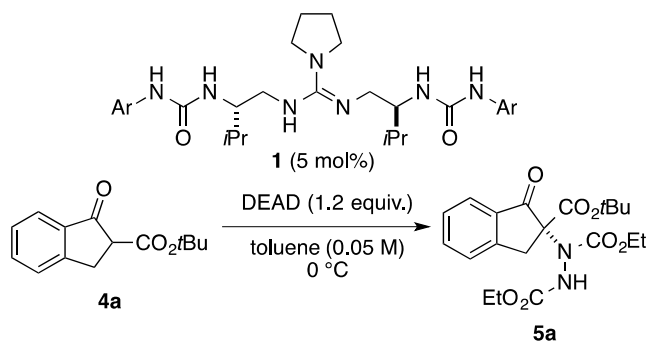
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1. Instrumentation

Flash chromatography was performed using silica gel 60 (spherical, particle size 0.040–0.100 mm. Kanto Co., Inc., Tokyo, Japan). Optical rotations were measured on a JASCO P-2200 polarimeter (JASCO, Tokyo, Japan). ^1H and ^{13}C NMR spectra were recorded on JNM-AL300 and JNM-ECA500 instruments (JEOL, Tokyo, Japan). Chemical shifts in dimethylsulfoxide- d_6 were reported in the scale relative to residual dimethyl sulfoxide (2.50 ppm) for ^1H NMR. For ^{13}C NMR, chemical shift was reported in the scale relative to dimethylsulfoxide- d_6 (39.5 ppm) as an internal reference. Mass spectra were recorded on JEOL JMS-T100LC and JEOL JMA-HX110 spectrometer (JEOL, Tokyo, Japan). HPLC analysis on chiral stationary phase was performed on JASCO 800-series instruments (JASCO, Tokyo, Japan). Daicel Chiralpak AD-H and OD-H columns (Daicel, Osaka, Japan) with hexane/2-propanol and hexane/ethanol as the eluent were used.

2. Details of optimization of reaction conditions for α -amination

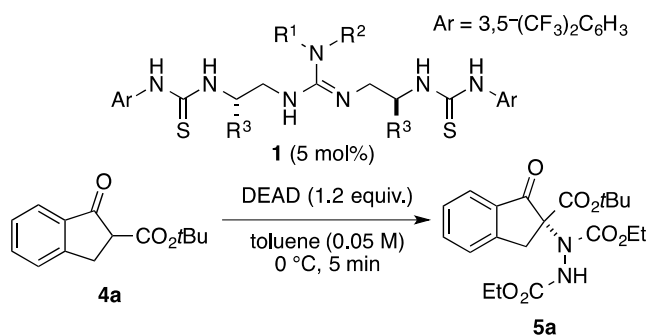
Table S1: Optimization for substituents of urea moiety.^a



entry	catalyst 1		time (min)	α -amination product 5a	
		Ar		yield (%) ^b	ee (%) ^c
1	1g	3,5-(CF ₃) ₂ C ₆ H ₃	5	99	80
2	1h	4-CF ₃ C ₆ H ₄	30	93	74
3	1i	3-CF ₃ C ₆ H ₄	60	99	49
4	1j	2-CF ₃ C ₆ H ₄	5	96	−24
5	1k	3,5-(F) ₂ C ₆ H ₃	5	99	67
6	1l	3,5-(MeO) ₂ C ₆ H ₃	60	91	42

^aReaction condition: **4a** (0.1 mmol), DEAD (0.12 mmol) and **1** (5 mol %) in toluene at 0 °C. ^bIsolated yield. ^cDetermined by HPLC analysis using a chiral stationary phase.

Table S2: Optimization of catalyst structure for guanidine–bisthiourea bifunctional organocatalyst.^a

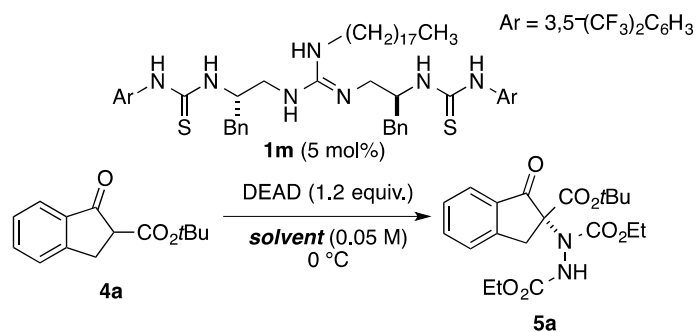


entry		catalyst 1		α -amination product 5a	
		R^1, R^2	R^3	yield (%) ^b	ee (%) ^c
1	1m	H, $-(\text{CH}_2)_{17}\text{CH}_3$	Bn	98	71

2	1n	H, $-(\text{CH}_2)_{17}\text{CH}_3$	Ph	96	64
3	1o	H, $-(\text{CH}_2)_{17}\text{CH}_3$	Me	96	65
4	1p	H, $-(\text{CH}_2)_{17}\text{CH}_3$	<i>i</i> Pr	99	60
5	1q	$-(\text{CH}_2)_5-$	Bn	99	14
6	1r	$-(\text{CH}_2)_4-$	Bn	99	-42

^aReaction condition: **4a** (0.1 mmol), DEAD (0.12 mmol) and **1** (5 mol%) in toluene at 0 °C. ^bIsolated yield. ^cDetermined by HPLC analysis using a chiral stationary phase.

Table S3: Investigation of solvent effect.^a

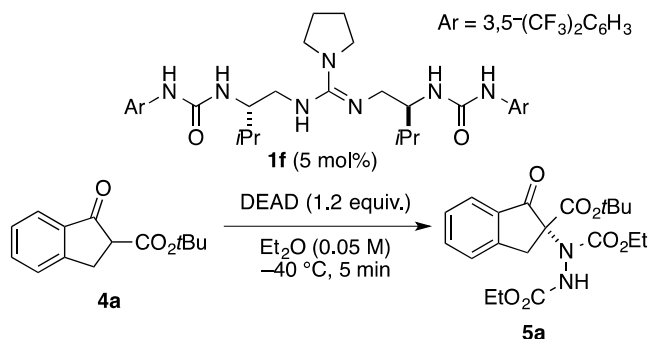


entry	solvent	time (min)	α-amination product 5a	
			yield (%) ^b	ee (%) ^c
1	toluene	5	98	71
2	EtOAc	5	99	37
3	DCM	5	99	26
4	MeCN	30	99	7
5	Et ₂ O	5	99	64

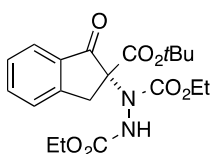
^aReaction condition: **4a** (0.1 mmol), DEAD (0.12 mmol) and **1m** (5 mol%) in toluene at 0 °C. ^bIsolated yield. ^cDetermined by HPLC analysis using a chiral stationary phase.

3. General procedure for α -amination

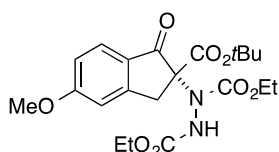
Asymmetric α -amination of **4a** in the presence of **1f**



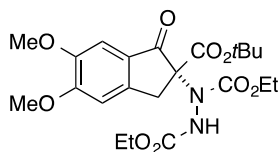
To a solution of β -keto ester **4a** (23.2 mg, 0.100 mmol) and guanidine-bisurea bifunctional organocatalyst **1f** (1.0 mg, 0.005 mmol) in diethyl ether (2 mL) was added dropwise diethyl azodicarboxylate (2.2 M in toluene, 55 μ L, 0.120 mmol) at -40 °C. After being stirred for 5 min, the reaction mixture was quenched with saturated NH₄Cl solution. The organic phase was separated. Then, the aqueous phase was extracted with ethyl acetate three times. The combined organic solution was dried over MgSO₄, and concentrated in vacuo. The residue was purified by column chromatography on SiO₂ (ethyl acetate/hexane 1:10 to 1:1) to give α -amination product **5a** (40.5 mg, 99%) as a colorless amorphous. Enantiomeric excess was determined by HPLC analysis.



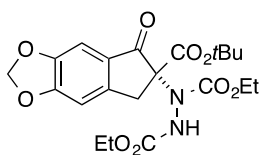
5a: [α]_D²⁵ = -72.4 (*c* 3.95, CHCl₃, 90% ee); HPLC analysis: Daicel Chiralpak OD-H, hexane/2-propanol = 95:5, flow rate = 1.0 mL/min, τ_1 (minor) = 11.1, τ_2 (major) = 15.5.



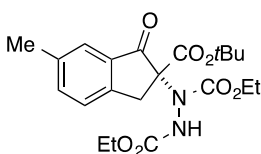
5b: [α]_D²⁵ = -90.9 (*c* 3.60, CHCl₃, 80% ee); HPLC analysis: Daicel Chiralpak AD-H, hexane/2-propanol = 90:10, flow rate = 1.0 mL/min, τ_1 (minor) = 21.7, τ_2 (major) = 28.5.



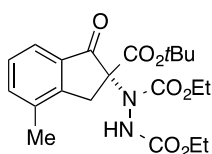
5c: [α]_D²⁵ = -51.5 (*c* 3.98, CHCl₃, 77% ee); HPLC analysis: Daicel Chiralpak AD-H, hexane/2-propanol = 90:10, flow rate = 1.0 mL/min, τ_1 (minor) = 27.3, τ_2 (major) = 37.8.



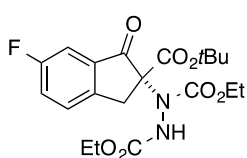
5d: $[\alpha]_D^{25} = -112.3$ (*c* 3.45, CHCl_3 , 80% ee); HPLC analysis: Daicel Chiralpak OD-H, hexane/2-propanol = 90:10, flow rate = 1.0 mL/min, τ_1 (minor) = 13.2, τ_2 (major) = 18.4; ^1H NMR (300 MHz, $\text{DMSO}-d_6$, 100 °C) δ 7.03 (s, 1H), 7.01 (s, 1H), 6.13 (s, 2H), 4.17-3.91 (m, 4H), 3.86 (d, $J = 17.2$ Hz, 1H), 3.5 (d, $J = 17.2$ Hz, 1H), 1.36 (s, 9H), 1.18 (t, $J = 6.9$ Hz, 3H), 1.09 (br, 3H), 7.51 (d, $J = 7.3$ Hz, 1H); ^{13}C NMR (125 MHz, $\text{DMSO}-d_6$, 100 °C) δ 191.02, 155.61, 154.62, 154.32, 147.81, 127.31, 104.88, 102.08, 101.6, 81.57, 78.54, 61.54, 60.36, 26.86, 13.58; HRMS (ESI, $\text{M}+\text{Na}$) calcd for 473.1536, found 473.1556.



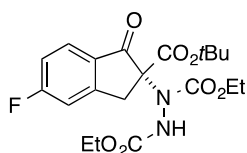
5e: $[\alpha]_D^{25} = -70.8$ (*c* 4.74, CHCl_3 , 94% ee); HPLC analysis: Daicel Chiralpak OD-H, hexane/2-propanol = 98:2, flow rate = 1.0 mL/min, τ_1 (minor) = 30.8, τ_2 (major) = 35.5; ^1H NMR (500 MHz, $\text{DMSO}-d_6$, 100 °C) δ 7.5 (d, $J = 8.0$ Hz, 1H), 7.46 (s, 1H), 7.46 (s, 1H), 7.44 (d, $J = 7.5$ Hz, 1H), 4.15-3.86 (m, 5H), 3.57 (d, $J = 16.0$ Hz, 1H), 2.36 (s, 3H), 1.35 (s, 9H), 1.21-0.99 (m, 6H); ^{13}C NMR (125 MHz, $\text{DMSO}-d_6$, 100 °C) δ 193.19, 155.60, 154.59, 136.82, 136.20, 133.26, 125.40, 123.39, 81.69, 78.56, 61.56, 60.34, 26.83, 19.84, 13.55; HRMS (ESI, $\text{M}+\text{Na}$) calcd for 443.1794, found 443.1759.



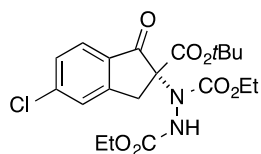
5f: $[\alpha]_D^{25} = -98.5$ (*c* 4.16, CHCl_3 , 86% ee); HPLC analysis: Daicel Chiralpak OD-H, hexane/2-propanol = 98:2, flow rate = 1.0 mL/min, τ_1 (minor) = 19.1, τ_2 (major) = 21.6; ^1H NMR (300 MHz, $\text{DMSO}-d_6$, 100 °C) δ 7.51 (d, $J = 7.3$ Hz, 1H), 7.5 (d, $J = 7.3$ Hz, 1H), 7.33 (t, $J = 7.3$ Hz, 1H), 4.15-3.83 (m, 5H), 3.5 (d, $J = 17.3$ Hz, 1H), 2.34 (s, 9H), 1.18 (t, $J = 6.9$ Hz, 3H), 1.07 (br, 3H); ^{13}C NMR (75 MHz, $\text{DMSO}-d_6$, 100 °C) δ 193.44, 155.59, 154.61, 135.34, 134.67, 132.89, 127.23, 121, 91.74, 78.54, 61.59, 60.34, 59.89, 26.81, 16.51, 13.55; HRMS (ESI, $\text{M}+\text{Na}$) calcd for 443.1794, found 443.1809.



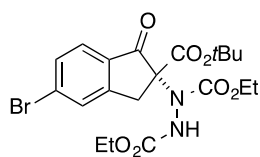
5g: $[\alpha]_D^{25} = -44.2$ (*c* 3.29, CHCl_3 , 73% ee); HPLC analysis: Daicel Chiralpak OD-H, hexane/2-propanol = 95:5, flow rate = 1.0 mL/min, τ_1 (minor) = 9.8, τ_2 (major) = 12.2; ^1H NMR (500 MHz, $\text{DMSO}-d_6$, 100 °C) δ 7.62 (dd, *J* = 8.02, 4.58 Hz, 1H), 7.51 (td, *J* = 8.59, 2.29 Hz, 1H), 7.51 (td, *J* = 8.59, 2.29 Hz, 1H), 7.39 (dd, *J* = 7.45, 2.29 Hz, 1H), 4.15-3.89 (m, 5H), 3.59 (d, *J* = 16 Hz, 1H), 1.35 (s, 9H), 1.19-1.03 (m, 6H); ^{13}C NMR (125 MHz, $\text{DMSO}-d_6$, 100 °C) δ 192.54, 161.38 (d, *J* = 245.7 Hz, H), 155.66, 154.55, 134.7 (d, *J* = 7.3 Hz, H), 127.76 (d, *J* = 7.3 Hz, H), 122.59 (d, *J* = 23.2 Hz, H), 109.1 (d, *J* = 22.0 Hz, H), 82.11, 61.73, 60.45, 26.79, 13.59, 13.53; HRMS (ESI, $\text{M}+\text{Na}$) calcd for 447.1543, found 447.1520.



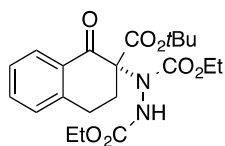
5h: $[\alpha]_D^{25} = -77.1$ (*c* 4.34, CHCl_3 , 86% ee); HPLC analysis: Daicel Chiralpak AD-H, hexane/2-propanol = 90:10, flow rate = 1.0 mL/min, τ_1 (major) = 12.5, τ_2 (minor) = 14.4 ^1H NMR (500 MHz, $\text{DMSO}-d_6$, 100 °C) δ 7.74 (dd, *J* = 8.26, 5.16 Hz, 1H), 7.39 (d, *J* = 8.59 Hz, 1H), 7.39 (d, *J* = 8.59 Hz, 1H), 7.23 (t, *J* = 8.59 Hz, 1H), 4.16-3.90 (m, 5H), 3.65 (d, *J* = 17.5 Hz, 1H), 1.36 (s, 9H), 1.17 (br, 3H), 1.09 (br, 3H); ^{13}C NMR (125 MHz, $\text{DMSO}-d_6$, 100 °C) δ 191.53, 166.52 (d, *J* = 255.5 Hz, H), 155.65, 154.58, 126.69 (d, *J* = 11 Hz, H), 115.37 (d, *J* = 24.4 Hz, H), 112.42 (d, *J* = 22 Hz, H), 81.98, 78.56, 61.69, 60.43, 26.8, 13.54; HRMS (ESI, $\text{M}+\text{Na}$) calcd for 447.1543, found 447.1586.



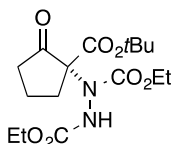
5i: $[\alpha]_D^{25} = -102.4$ (*c* 4.28, CHCl_3 , 80% ee); HPLC analysis: Daicel Chiralpak OD-H, hexane/ethanol = 99:1, flow rate = 1.0 mL/min, τ_1 (minor) = 24.5, τ_2 (major) = 27.2.



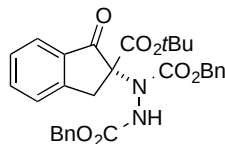
5j: $[\alpha]_D^{25} = -34.8$ (*c* 4.32, CHCl₃, 80% ee); HPLC analysis: Daicel Chiralpak AD-H, hexane/2-propanol = 90:10, flow rate = 1.0 mL/min, τ_1 (major) = 10.8, τ_2 (minor) = 13.0; ¹H NMR (500 MHz, DMSO-*d*₆, 100 °C) δ 7.83 (d, *J* = 9.7 Hz, 1H), 7.62-7.57 (m, 2H), 7.62-7.57 (m, 2H), 4.17-3.86 (m, 5H), 3.65 (br, 1H), 1.36 (s, 9H), 1.22-0.99 (m, 6H); ¹³C NMR (125 MHz, DMSO-*d*₆, 100 °C) δ 192.20, 155.62, 154.53, 132.10, 130.59, 129.50, 128.90, 125.24, 82.05, 61.72, 60.45, 26.80, 13.55; HRMS (ESI, M+Na) calcd for 507.0742, found 507.0786.



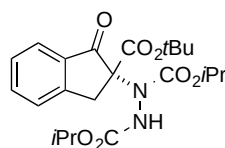
5k: $[\alpha]_D^{25} = +0.42$ (*c* 3.27, CHCl₃, 61% ee); HPLC analysis: Daicel Chiralpak OD-H, hexane/2-propanol = 90:10, flow rate = 1.0 mL/min, τ_1 (minor) = 7.3, τ_2 (major) = 11.1.



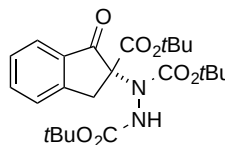
5l: $[\alpha]_D^{25} = +1.1$ (*c* 2.14, CHCl₃, 38% ee); HPLC analysis: Daicel Chiralpak AD-H, hexane/2-propanol = 95:5, flow rate = 1.0 mL/min, τ_1 (minor) = 7.9, τ_2 (major) = 11.6.



6a: $[\alpha]_D^{25} = -52.3$ (*c* 4.62, CHCl₃, 64% ee); HPLC analysis: Daicel Chiralpak OD-H, hexane/2-propanol = 95:5, flow rate = 1.0 mL/min, τ_1 (minor) = 20.1, τ_2 (major) = 23.2.

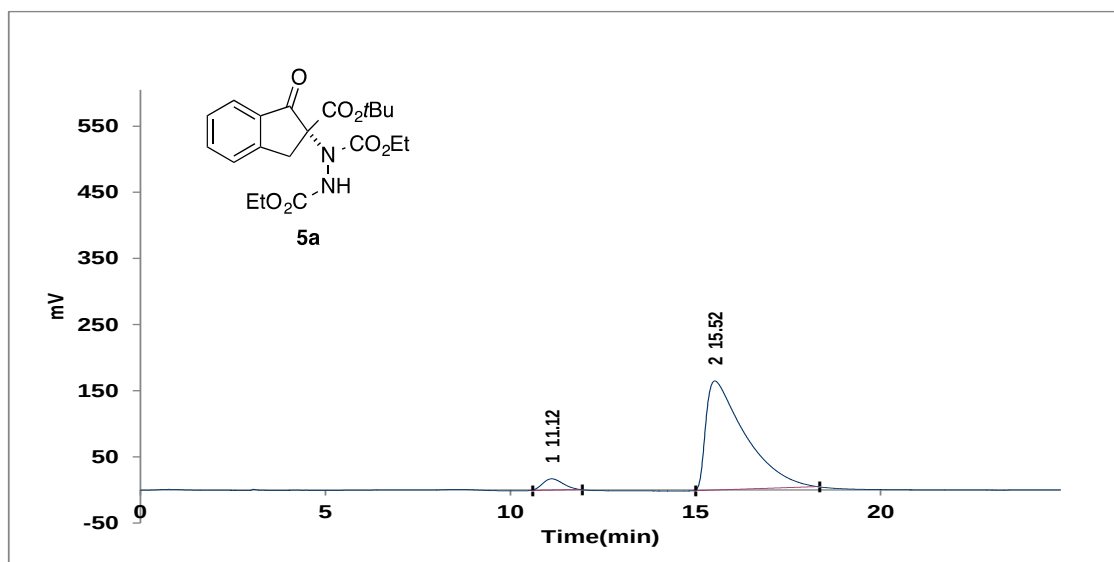
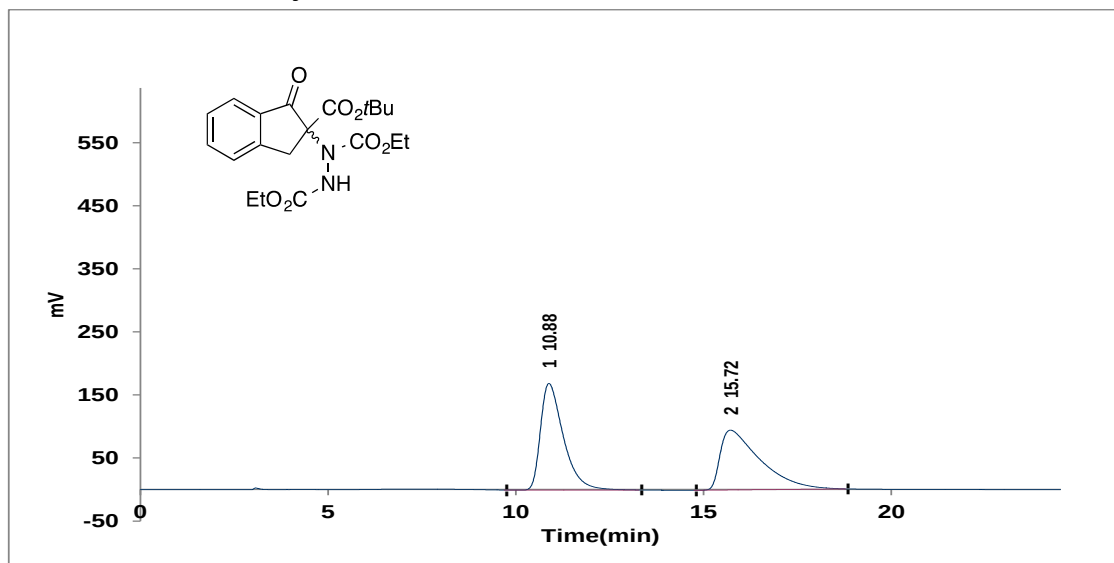


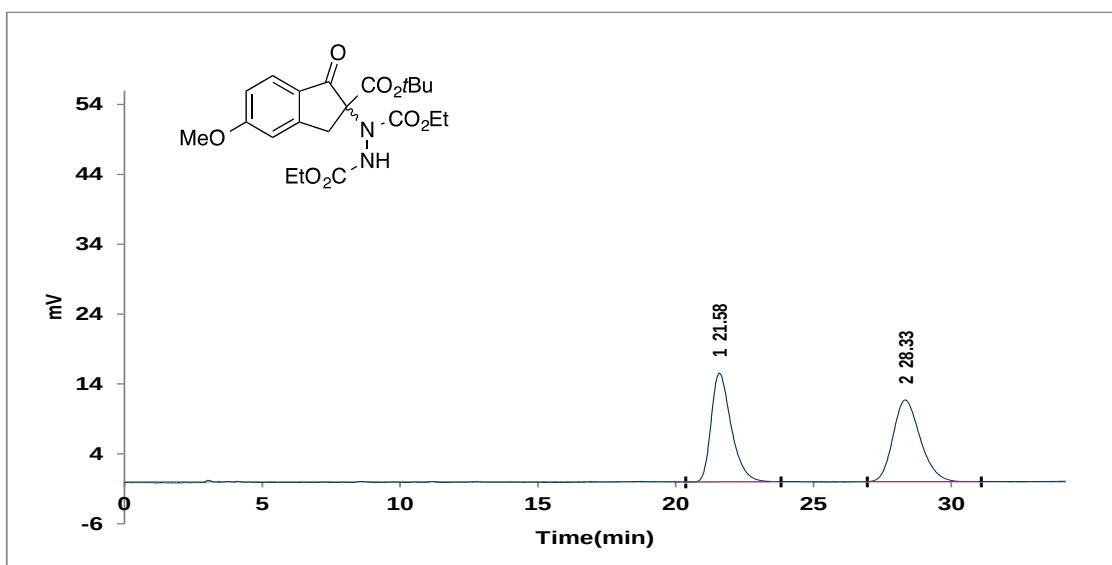
7a: $[\alpha]_D^{25} = -88.4$ (*c* 4.17, CHCl₃, 79% ee); HPLC analysis: Daicel Chiralpak OD-H, hexane/2-propanol = 98:2, flow rate = 1.0 mL/min, τ_1 (minor) = 8.4, τ_2 (major) = 9.4.



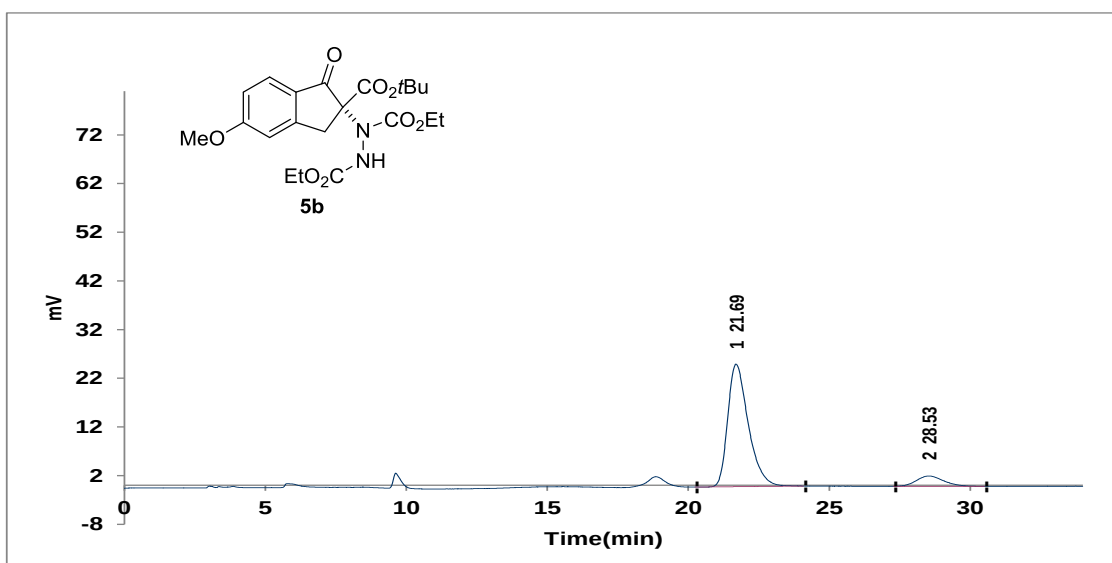
8a: $[\alpha]_D^{25} = -45.3$ (*c* 2.05, CHCl₃, 44% ee); HPLC analysis: Daicel Chiralpak AD-H, hexane/ethanol = 95:5, flow rate = 1.0 mL/min, τ_1 (minor) = 8.7, τ_2 (major) = 13.7.

4. Chiral HPLC analysis

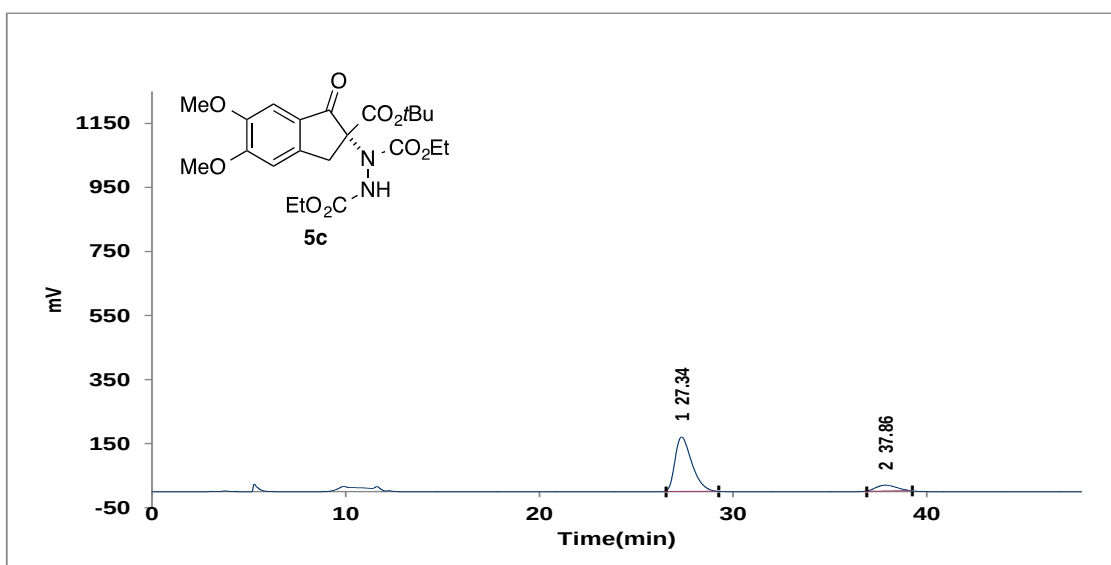
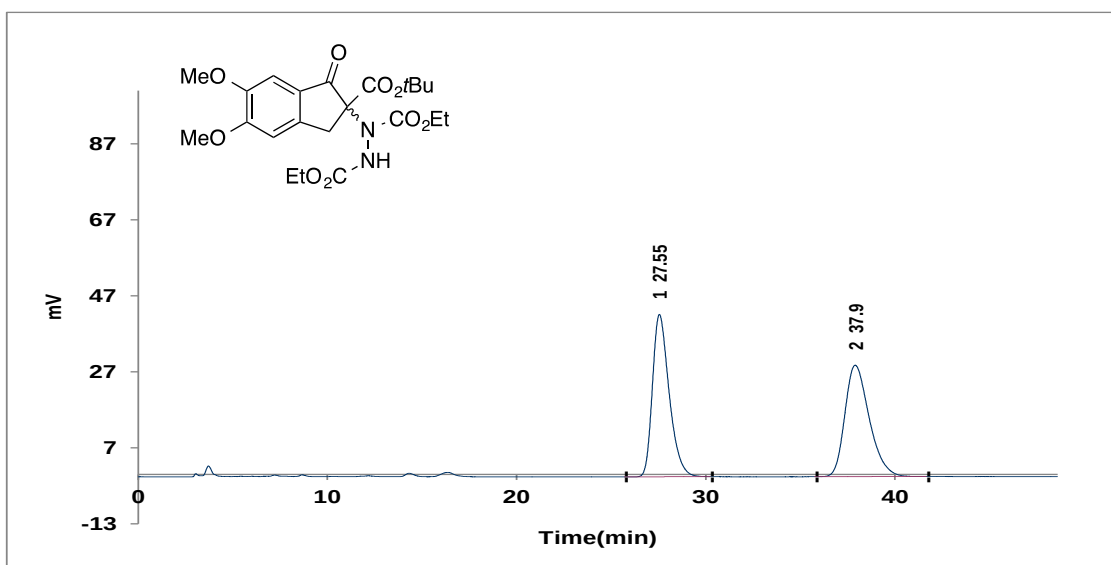


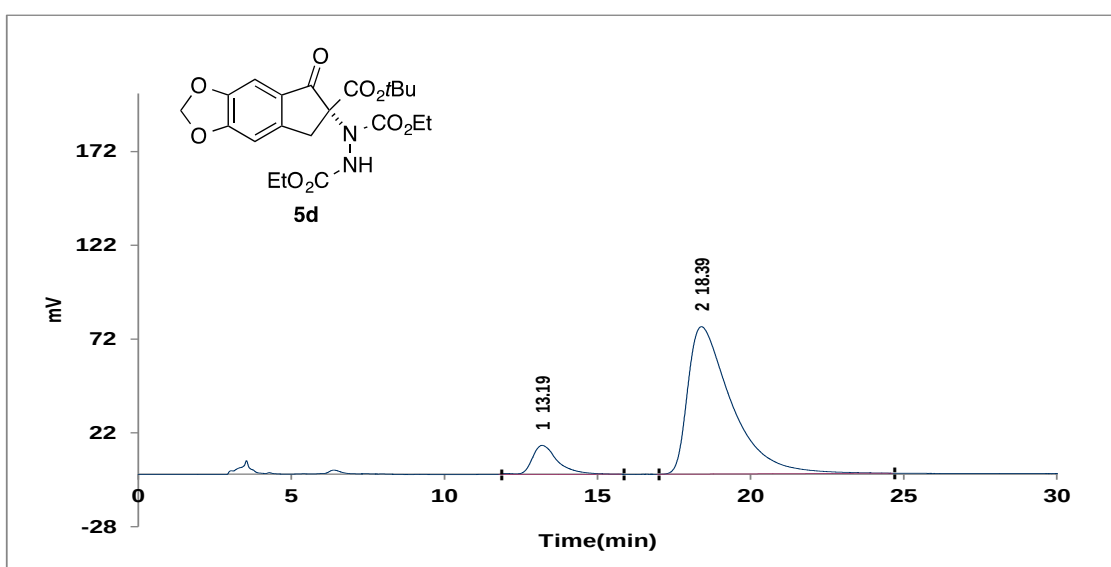
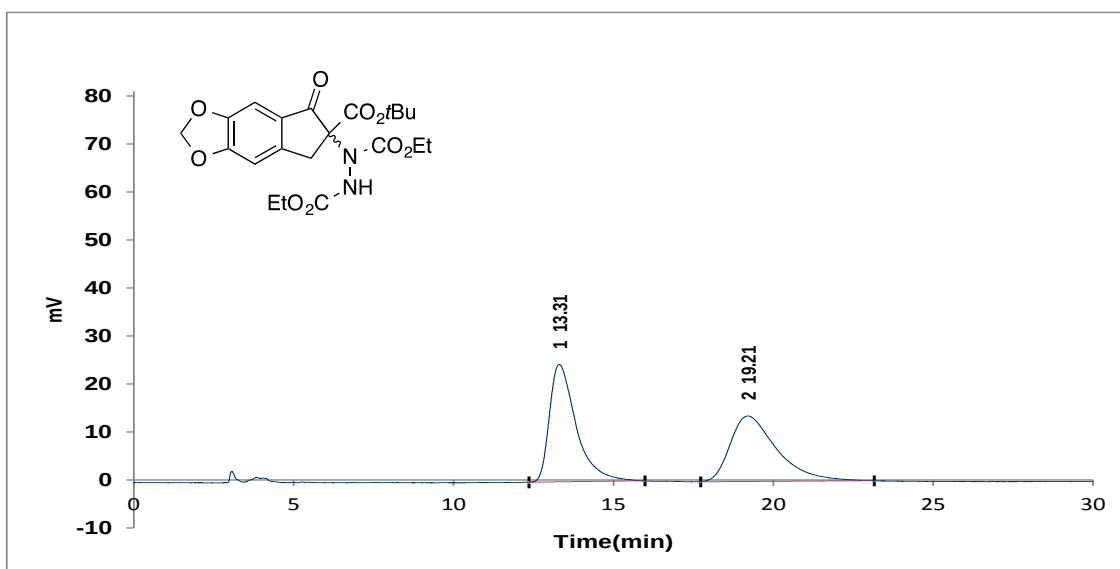


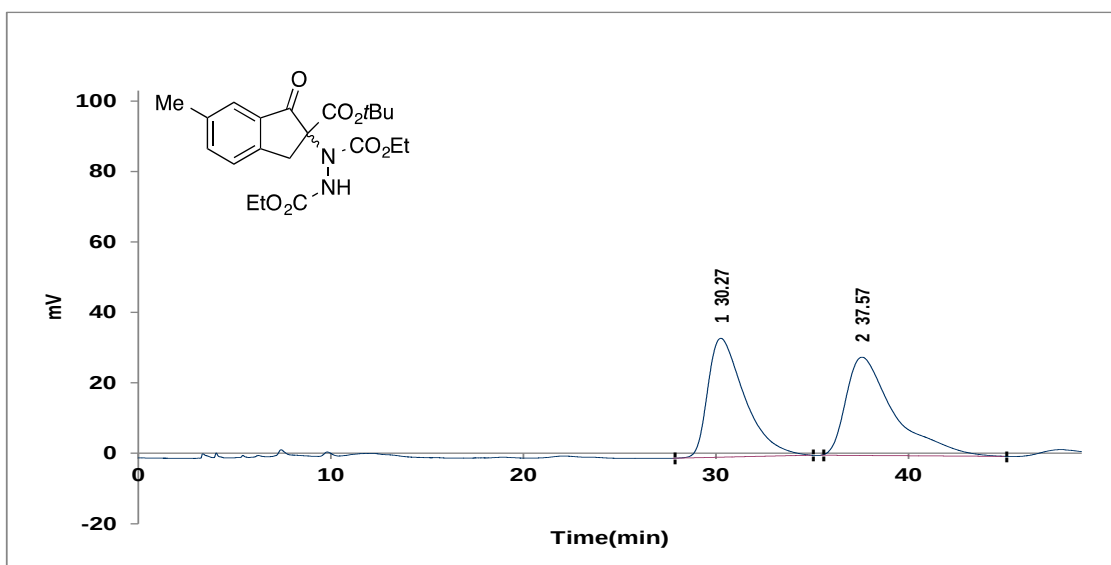
No.	Rt	Area	Area(%)	Height
1	21.58	787278.6	49.5577	15584
2	28.33	801331.9	50.4423	11703
		1588611	100	27287



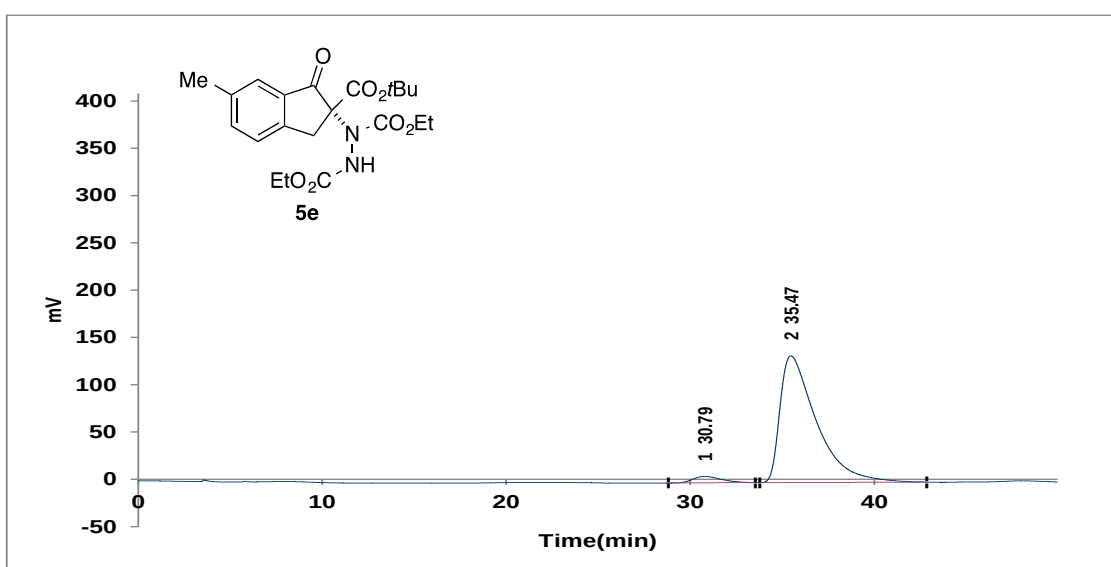
No.	Rt	Area	Area(%)	Height
1	21.69	1276703	89.9292	25193
2	28.53	142972.1	10.0708	2127
		1419675	100	27320



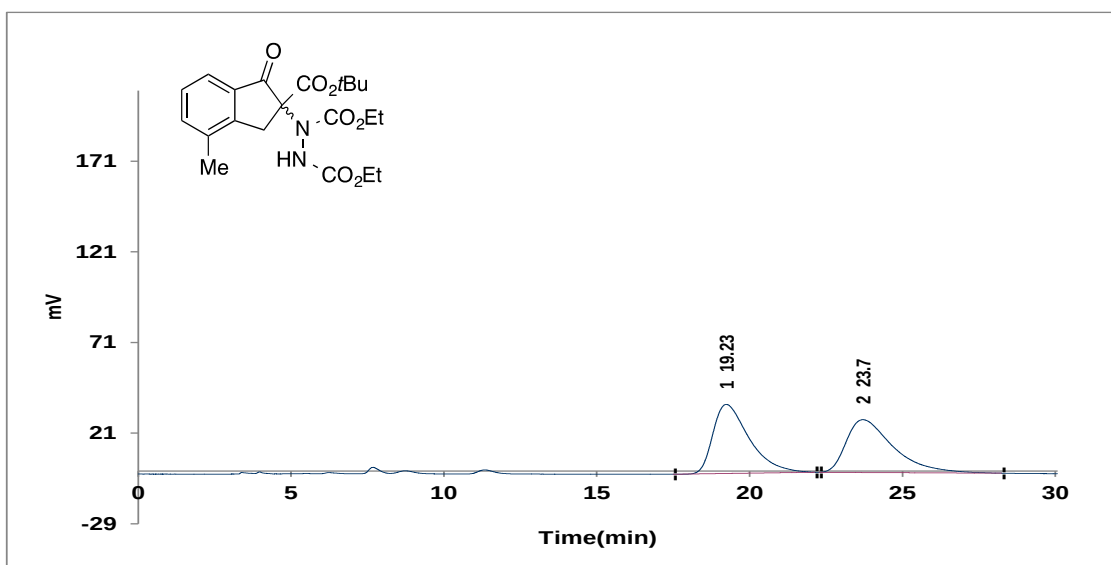




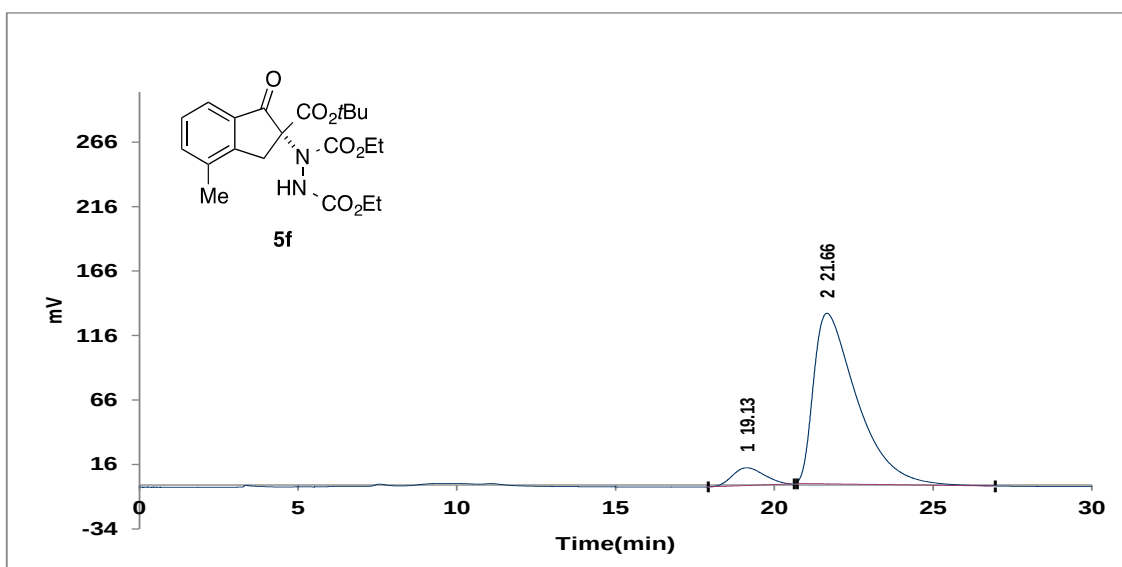
No.	Rt	Area	Area(%)	Height
1	30.27	4478031	48.0885	33749
2	37.57	4834029	51.9115	27904
		9312060	100	61653



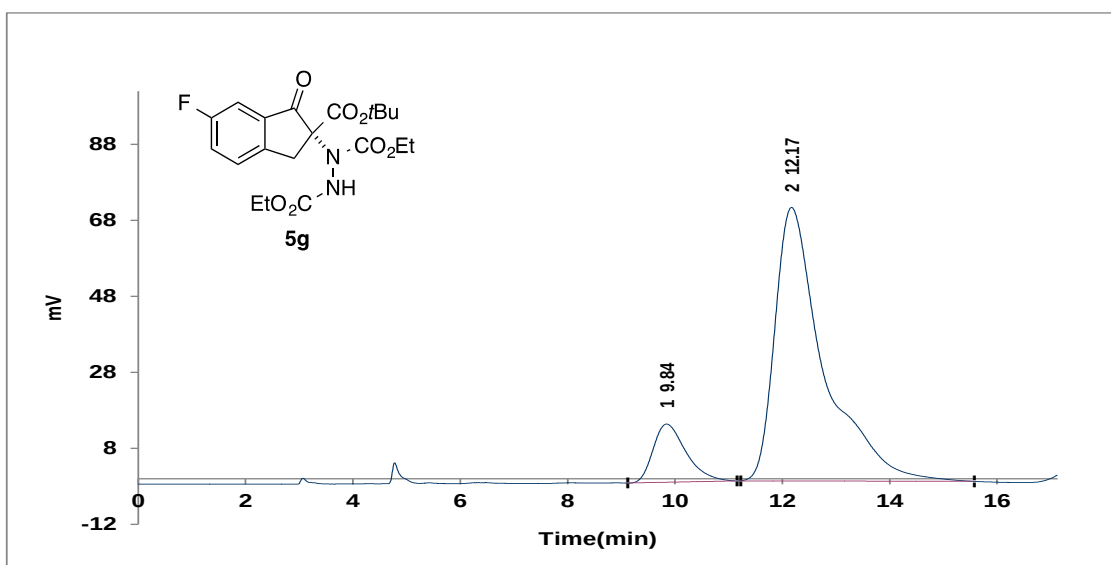
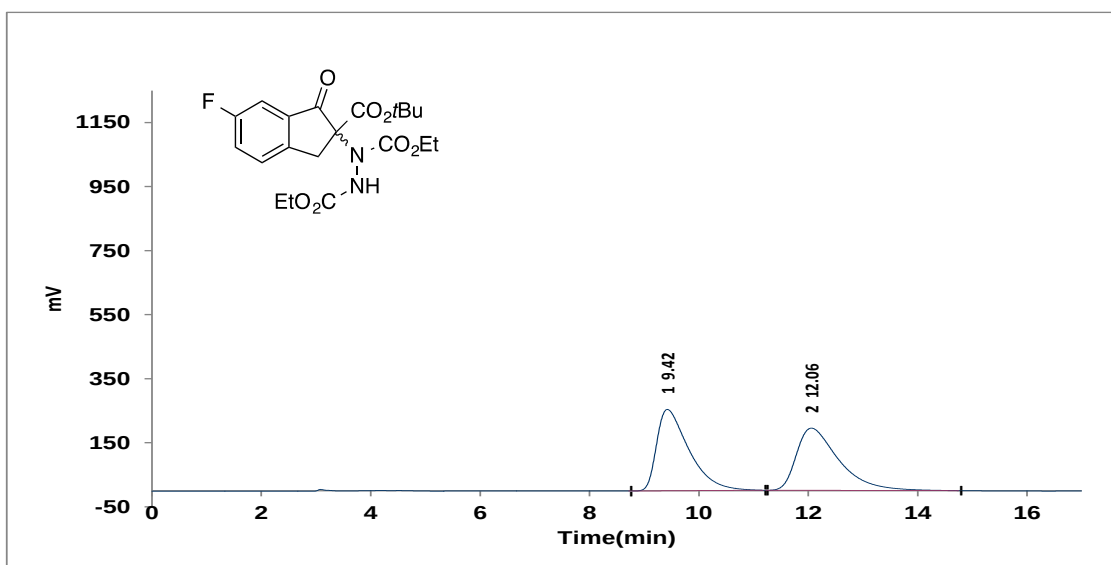
No.	Rt	Area	Area(%)	Height
1	30.79	725378.2	3.7986	6767
2	35.47	18370579	96.2014	133922
		19095957	100	140689

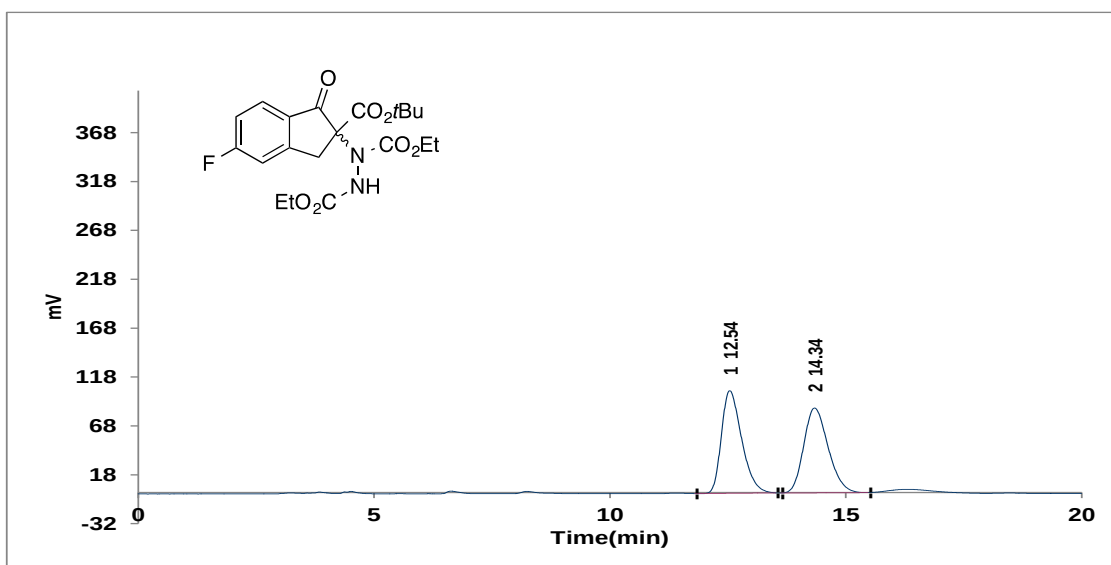


No.	Rt	Area	Area(%)	Height
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		6250761	100	67305

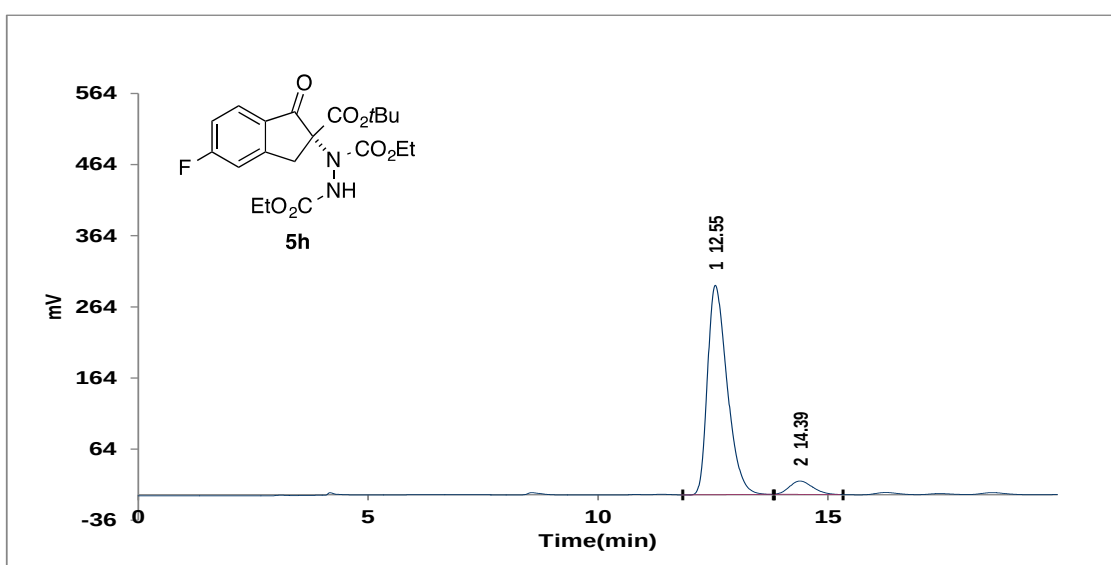


No.	Rt	Area	Area(%)	Height
1	19.13	955852.7	7.056	13747
2	21.66	12590880	92.944	132302
		13546733	100	146049

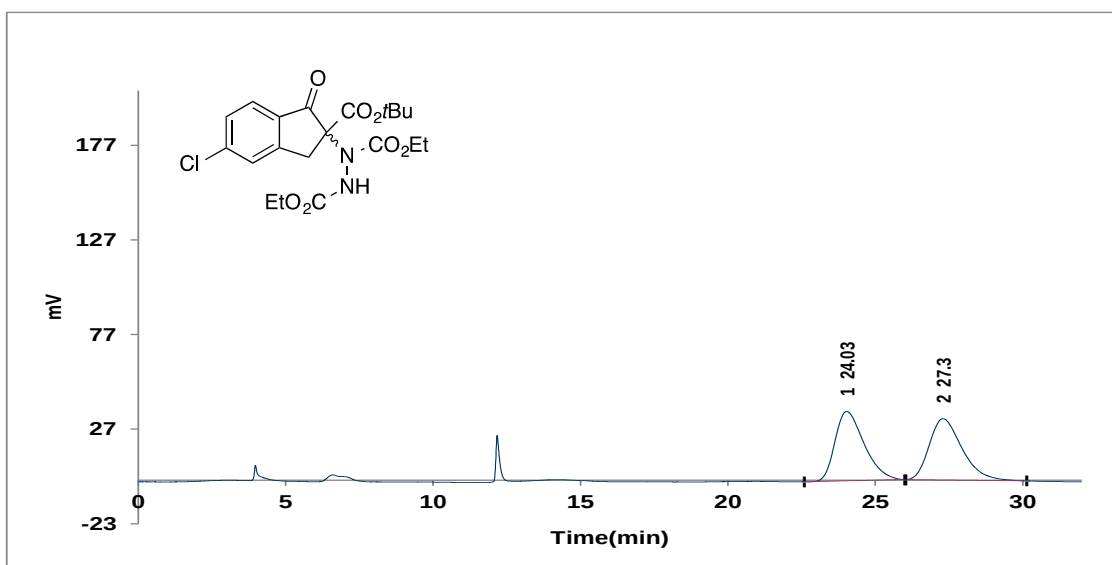




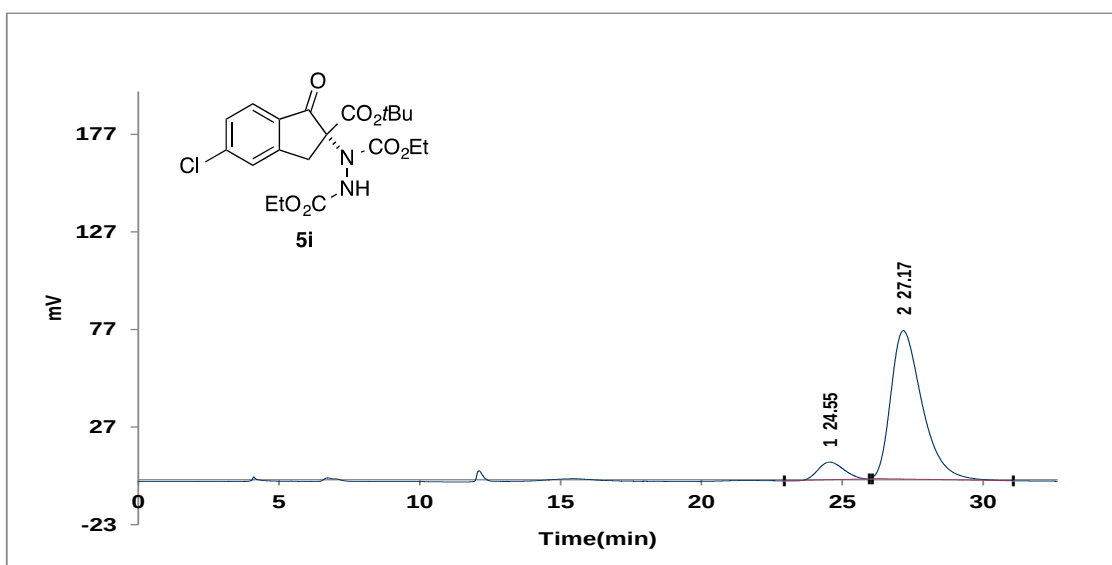
No.	Rt	Area	Area(%)	Height
1	12.54	3128289	50.0666	104900
2	14.34	3119969	49.9334	86807
		6248258	100	191707



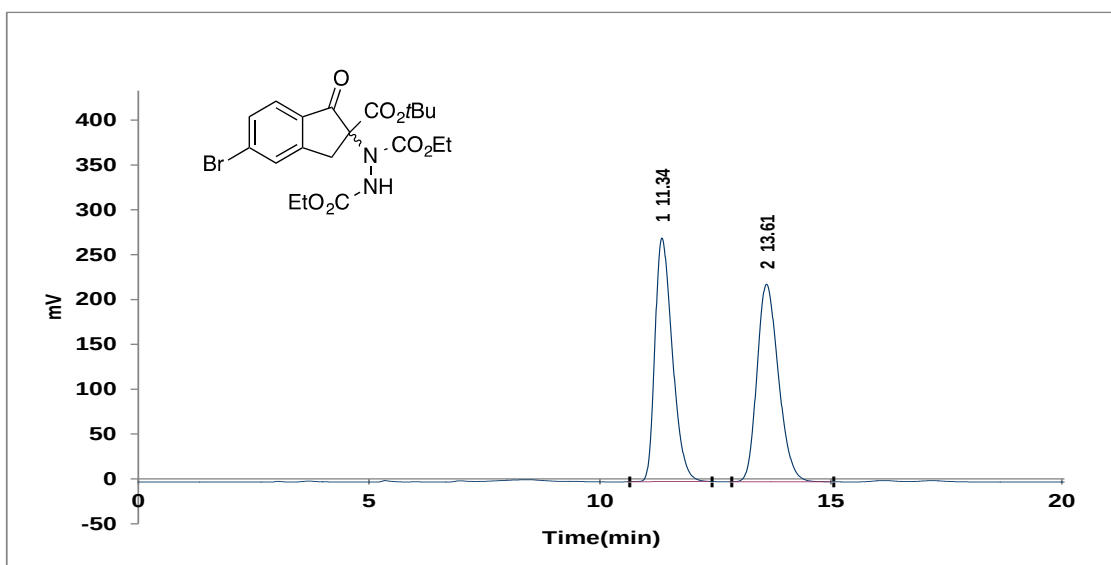
No.	Rt	Area	Area(%)	Height
1	12.55	8748736	92.9766	294359
2	14.39	660879.2	7.0234	18766
		9409615	100	313125



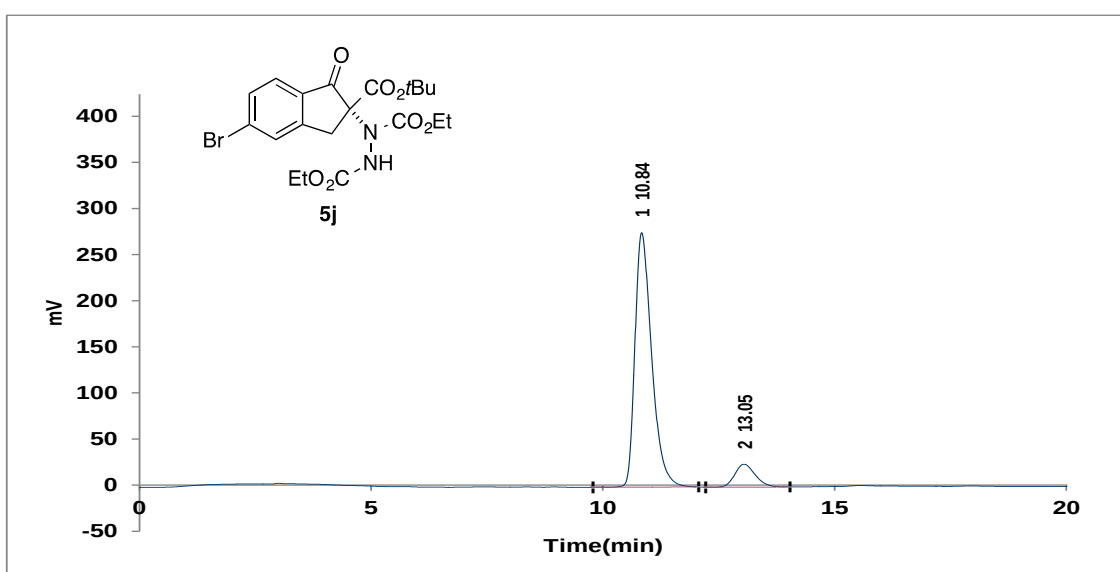
No.	Rt	Area	Area(%)	Height
1	24.03	2508261	50.0499	36714
2	27.3	2503260	49.9501	32395
		5011521	100	69109



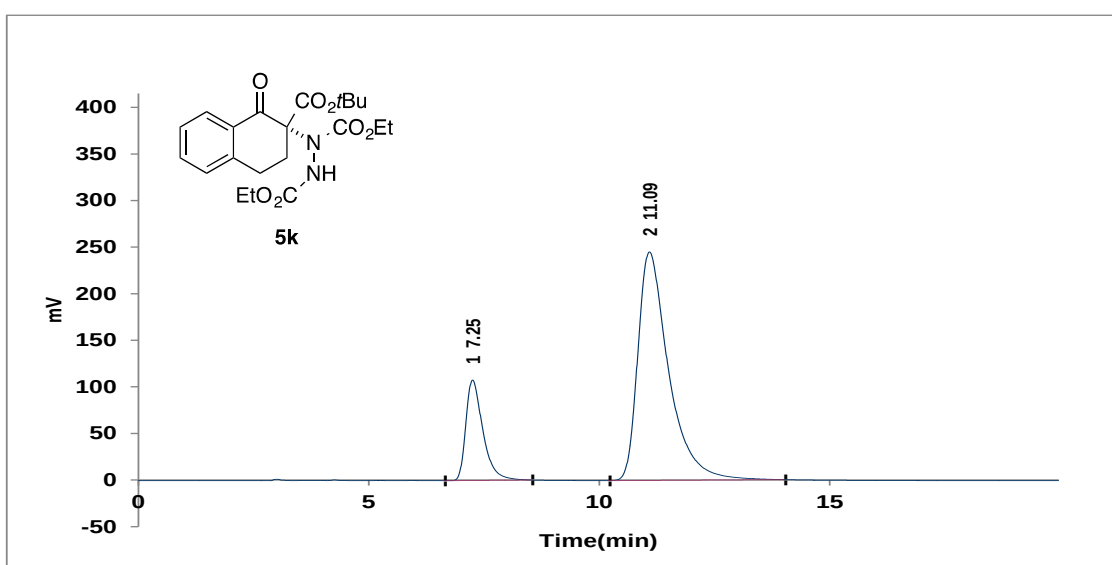
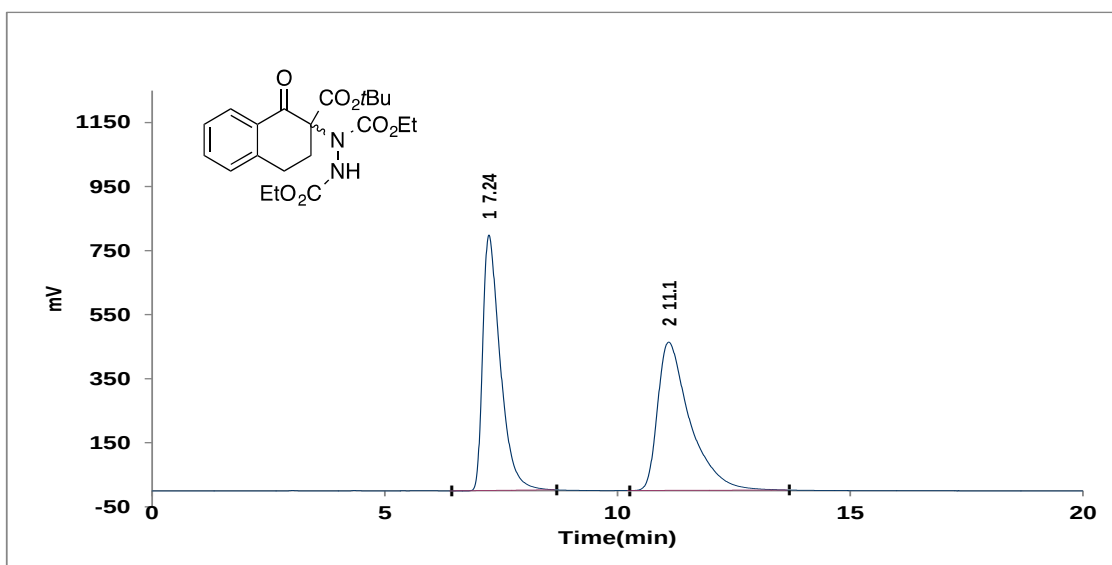
No.	Rt	Area	Area(%)	Height
1	24.55	566194.9	8.7922	9046
2	27.17	5873580	91.2078	76047
		6439775	100	85093

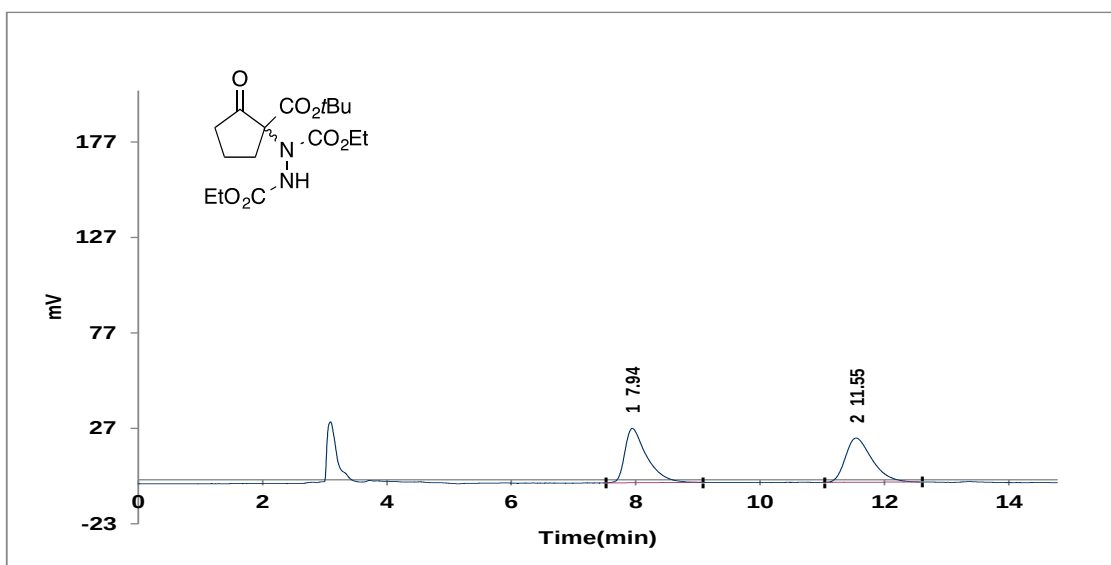


No.	Rt	Area	Area(%)	Height
1	11.34	7124806	50.0097	271745
2	13.61	7122039	49.9903	220337
		14246845	100	492082

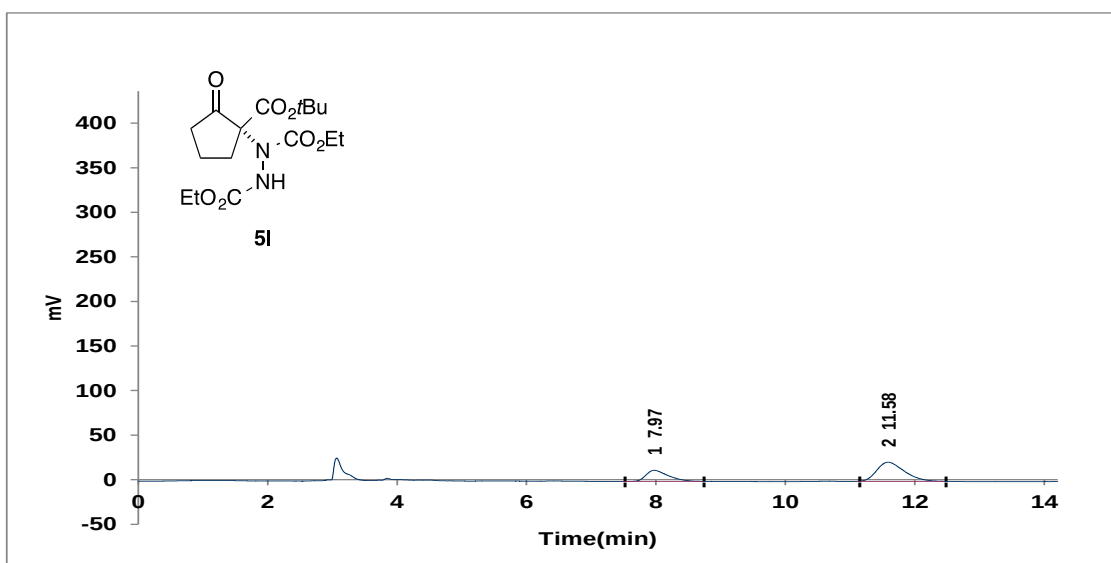


No.	Rt	Area	Area(%)	Height
1	10.84	6933706	90.1684	275952
2	13.05	756021.4	9.8316	24754
		7689728	100	300706

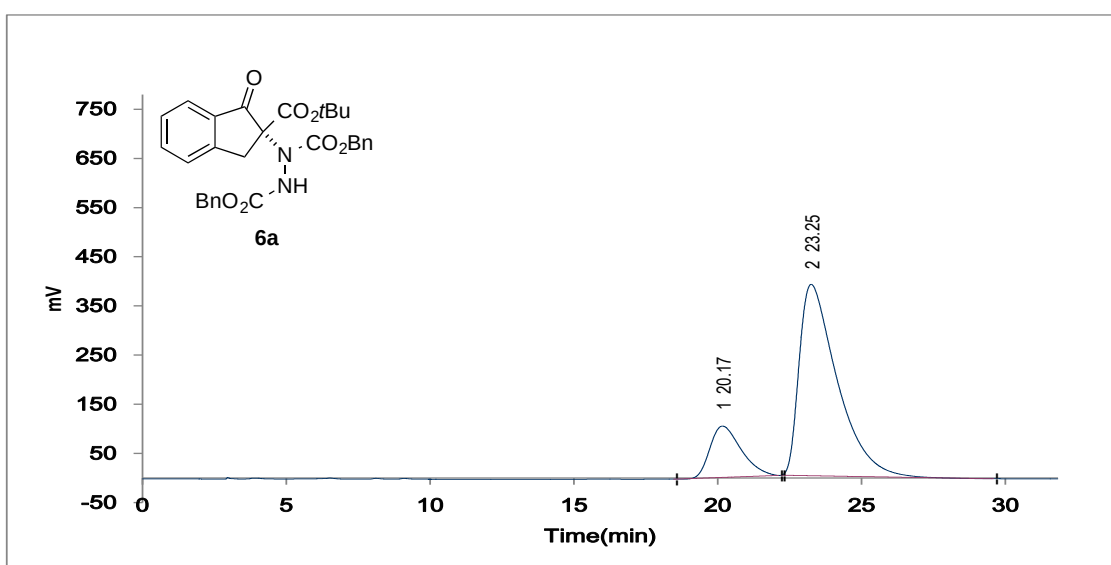
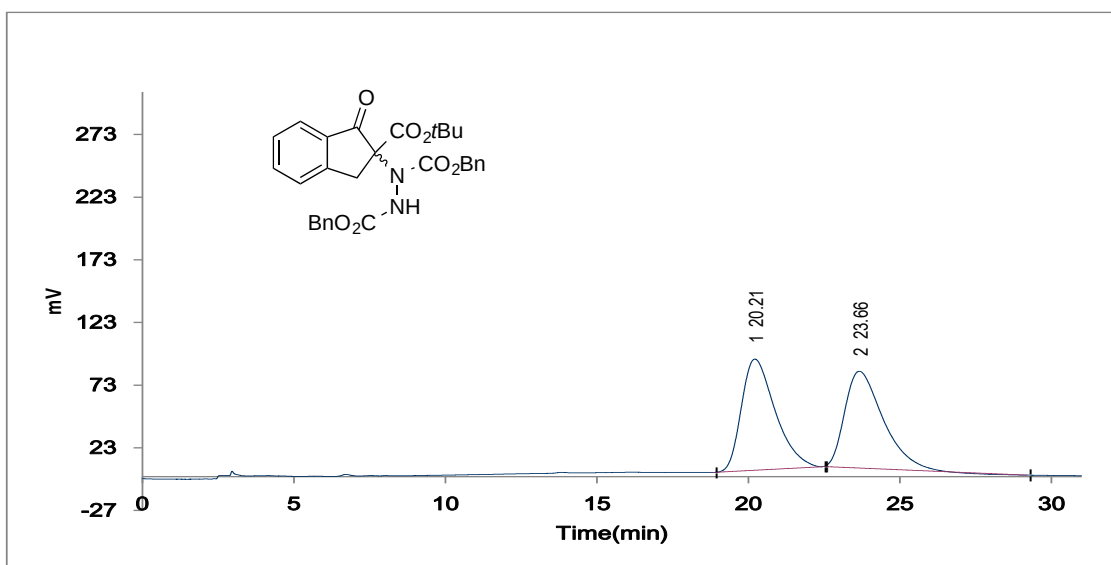


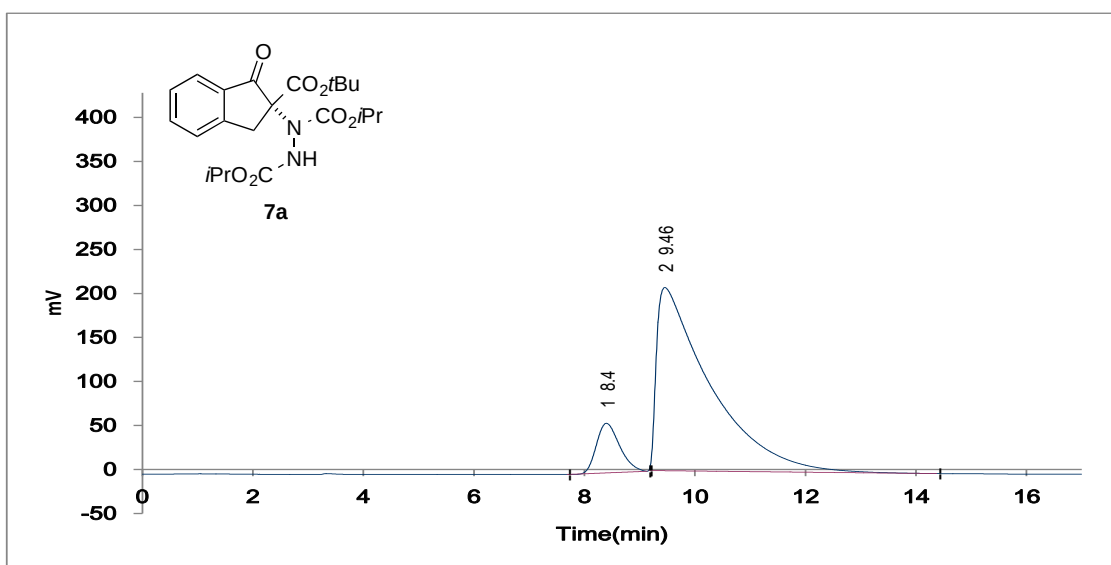
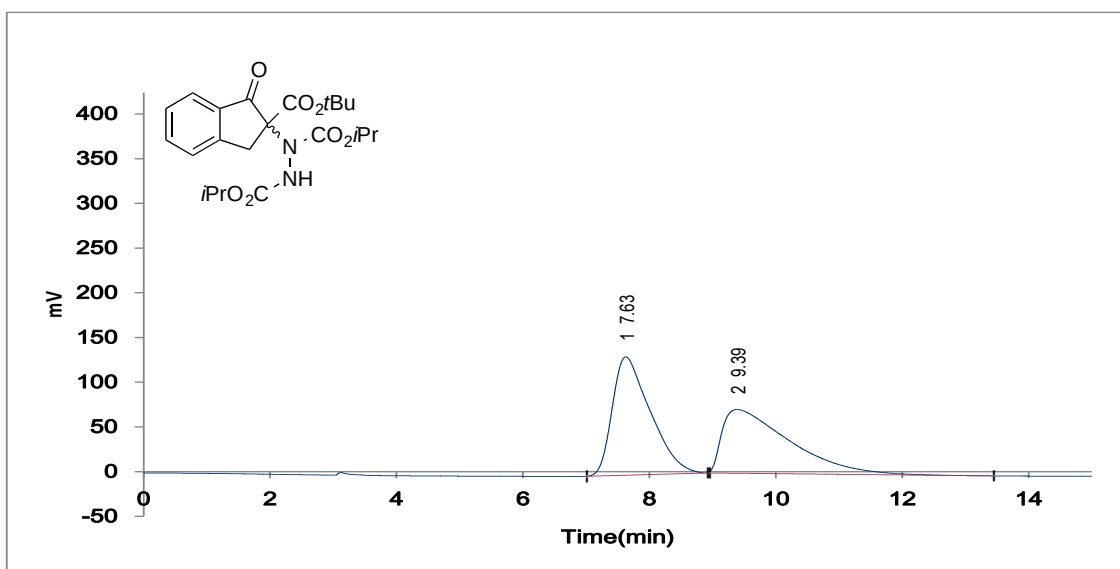


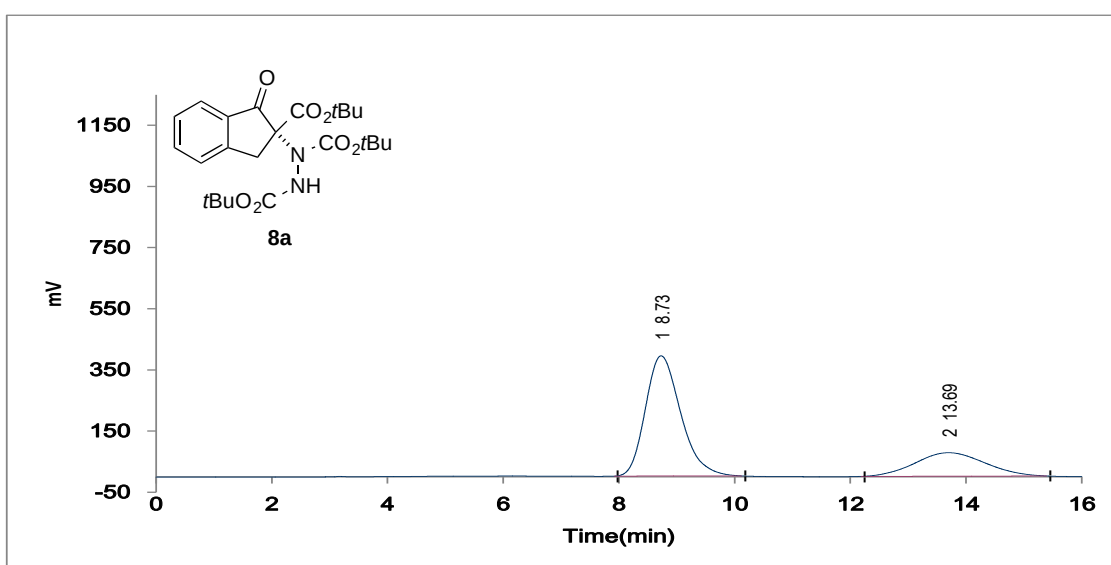
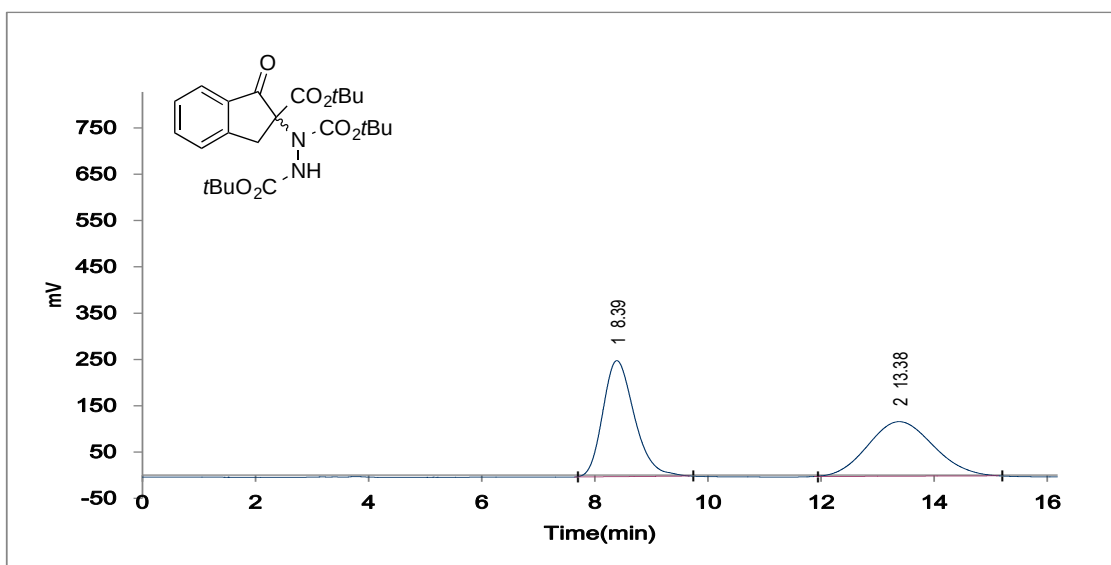
No.	Rt	Area	Area(%)	Height
1	7.94	694449.2	50.1708	28500
2	11.55	689720.6	49.8292	23174
		1384170	100	51674



No.	Rt	Area	Area(%)	Height
1	7.97	295183.2	31.6648	12419
2	11.58	637029.3	68.3352	21472
		932212.5	100	33891







5. ^1H and ^{13}C NMR spectra of new compounds 5d–h, 5j

