



Supporting Information

for

Unveiling the regioselectivity of rhodium(I)-catalyzed [2 + 2 + 2] cycloaddition reactions for open-cage C₇₀ production

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General materials and methods, experimental procedures and characterization of all new compounds

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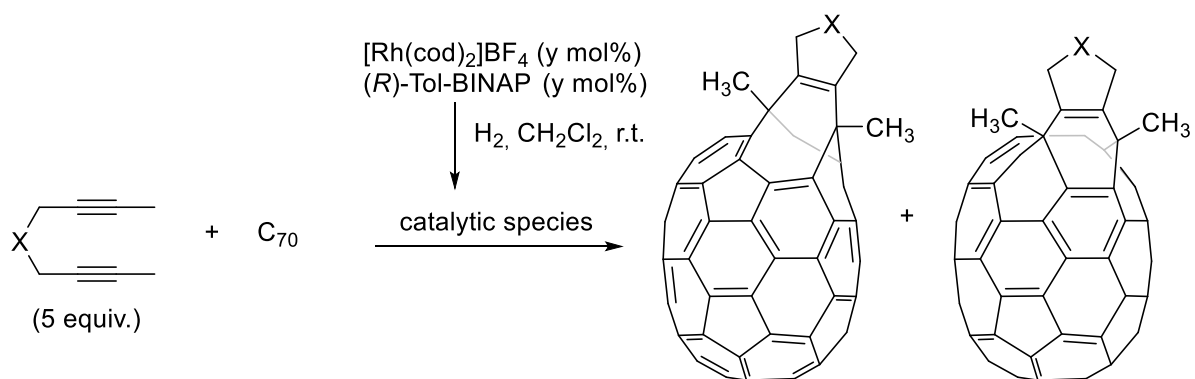
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General materials and methods

Unless otherwise noted, materials were obtained from commercial suppliers and used without further purification. CH_2Cl_2 was dried under nitrogen by passing through solvent purification columns (MBraun, SPS-800). Reaction progress during the preparation of all compounds was monitored using thin layer chromatography on Macherey-Nagel Xtra SIL G/UV254 silica gel plates. Solvents were removed under reduced pressure with a rotary evaporator. Reaction mixtures were chromatographed on silica gel. All ^1H and ^{13}C NMR spectra were recorded on a Bruker ASCEND 400 spectrometer equipped with a 5 mm BBFO probe using CDCl_3 as a deuterated solvent. Chemical shifts for ^1H and ^{13}C NMR are reported in ppm (δ) relative to residual solvent signals. Coupling constants are given in hertz (Hz). ^1H and ^{13}C NMR signals were assigned based on 2D-NMR HSQC, HMBC and COSY experiments. Mass spectrometry analyses were recorded on a Bruker micrOTOF-Q II mass spectrometer (high resolution), equipped with electrospray ion source. The instrument was operated in the positive ESI(+) ion mode. HPLC data were collected on Agilent Technologies LC 1200 series instrument equipped with a Cosmosil Buckyprep-M column (10 mm x 250 mm, Nacalai Tesque, Inc.) monitored with a UV detector at 320 nm. Toluene was used as mobile phase (flow 0.5 mL/min). UV-vis spectra were performed with an Agilent 8452 UV-vis spectrophotometer (1 cm quartz cell) in toluene.

Table S1. Influence of the reaction conditions on the reaction outcome.

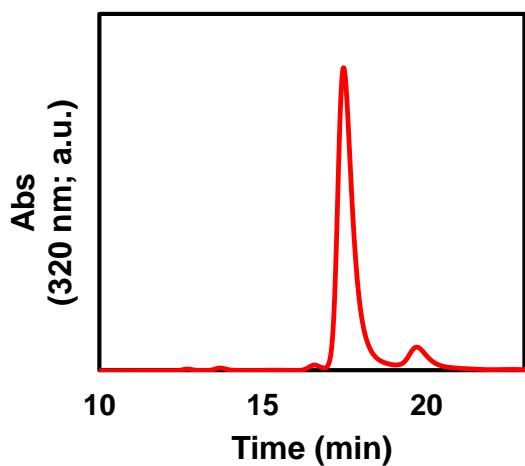


Entry	Solvent	y	X	[C ₇₀] (mM)	Temperature (°C)	Time (h)	Yield (%)	% <i>bis</i> (fulleroid)
1	<i>o</i> -DCB	10	NTs	1.2	90	4	46	90
2	<i>o</i> -DCB	10	NTs	1.2	120	4	42	90
3	<i>o</i> -DCB	10	NTs	1.2	180	4	42	90
4	<i>o</i> -DCB	10	NTs	1.2	90	16	45	95
5	<i>o</i> -DCB	10	NTs	1.2	90	24	45	>99
6	Toluene	10	NTs	1.2	90	24	22	>99
7	CB	10	NTs	1.2	90	24	28	>99
8	<i>o</i> -DCB	10	NTs	2.4	90	24	38	>99
9	<i>o</i> -DCB	5	NTs	1.2	90	24	30	>99
10	<i>o</i> -DCB	10	C(COOEt) ₂	1.2	90	4	34	>99

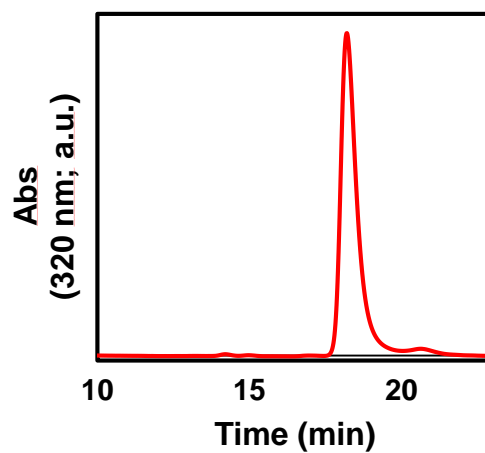
o-DCB: *ortho*-Dichlorobenzene; CB: Chlorobenzene

Figure S1. (a) HPLC trace of material (X = NTs) isolated after 16 h (entry 4 in Table S1); (b) HPLC trace of material isolated after 24 h (entry 5 in Table S1); (c) UV-vis spectra of C₇₀, peak 1, peak 2 and previously reported alpha adduct [1].

a)



b)



c)

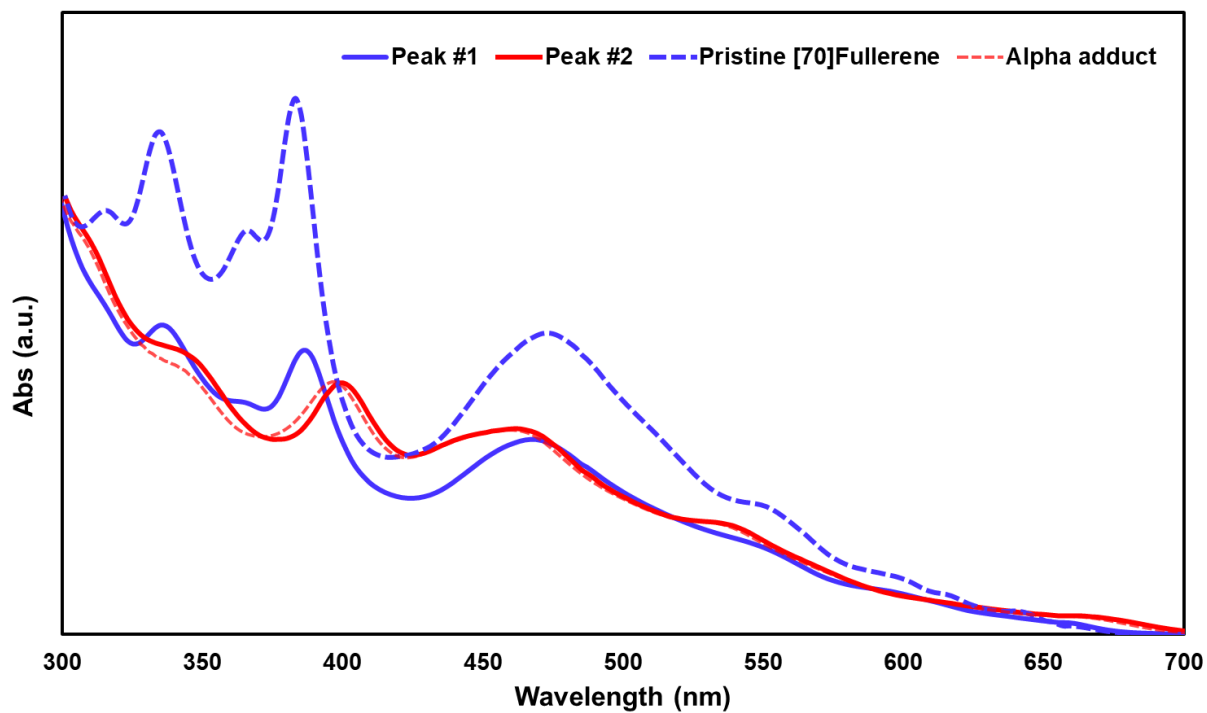
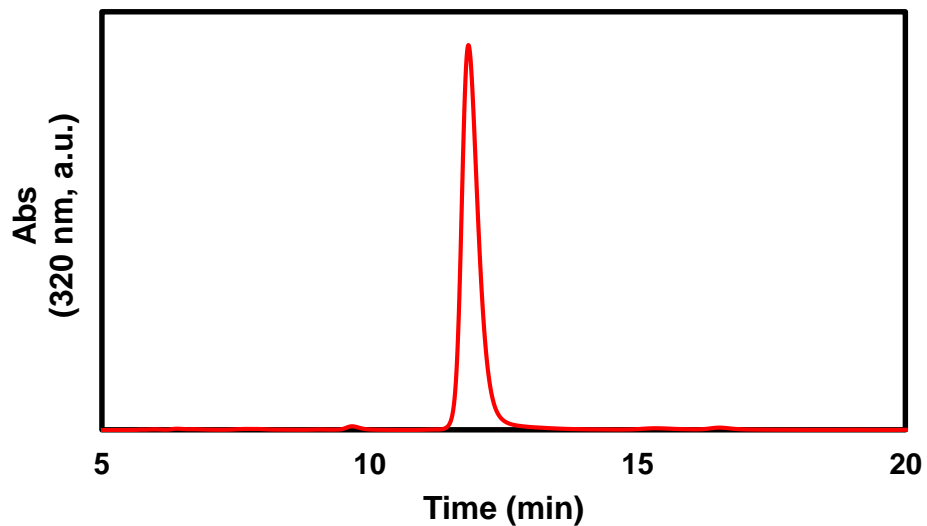
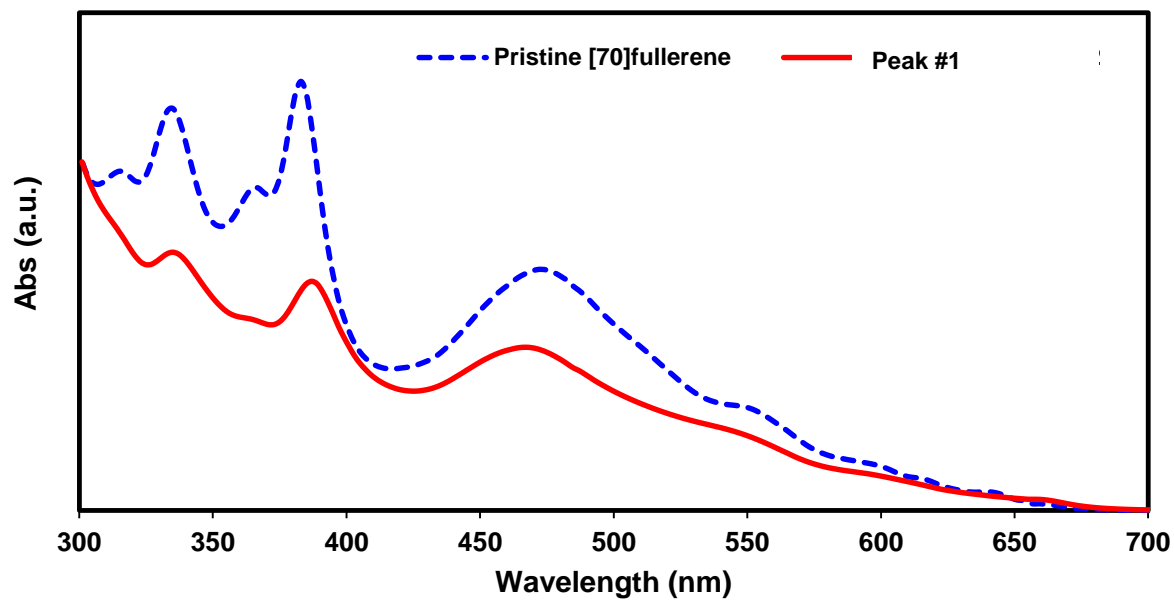


Figure S2. (a) HPLC trace of material ($X = C(COOEt)_2$) isolated after 4 h (entry 10 in Table S1); (b) UV-vis spectra of peak C₇₀ and peak 1.

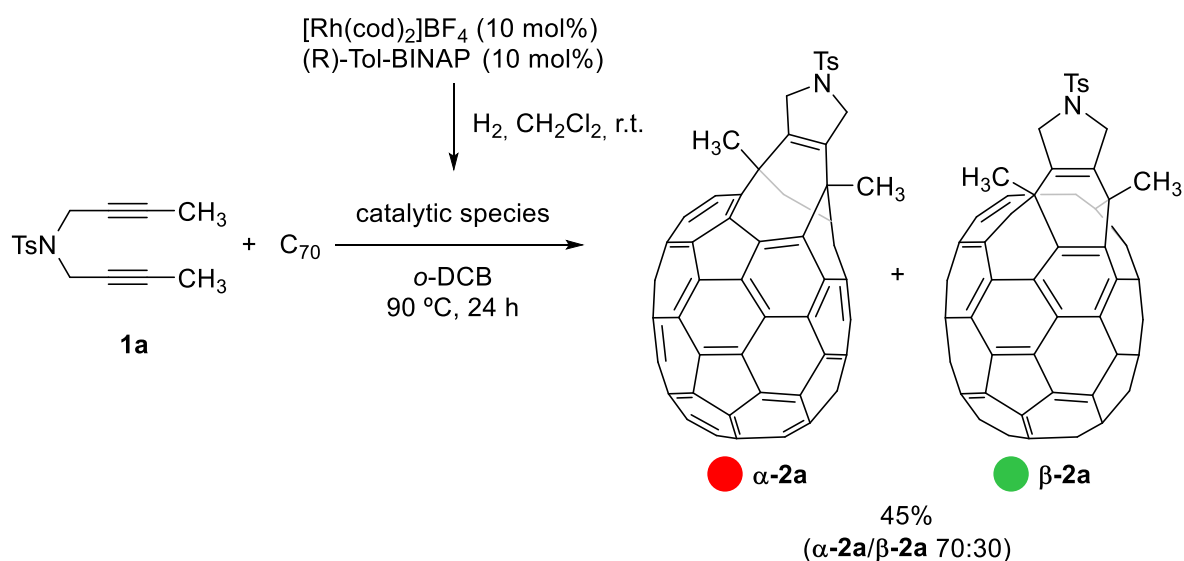
a)



b)



Scheme S1. Preparation and characterization of **2a**

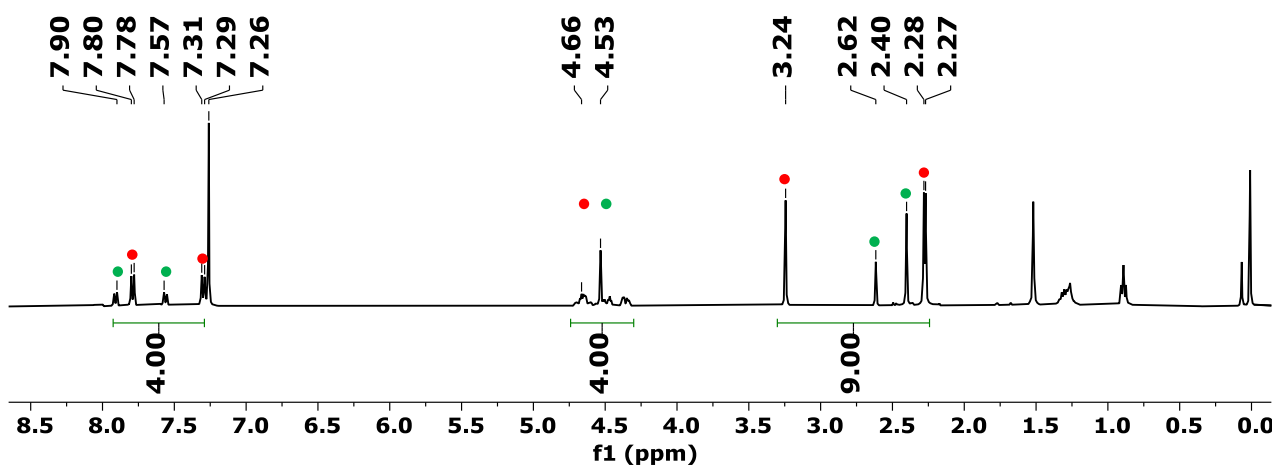


In a manner analogous to reference [2], in a 10 mL capped vial in an inert atmosphere, a solution of [Rh(cod)₂]BF₄ (2.4 mg, 0.006 mmol) and (*R*)-Tol-BINAP (4.1 mg, 0.006 mmol) in anhydrous CH₂Cl₂ (4 mL) was prepared. Hydrogen gas was bubbled into the catalyst solution for 30 min before it was concentrated to dryness, dissolved in anhydrous *o*-DCB and introduced *via* syringe into an *o*-DCB solution (1.2 mM) of C₇₀ (50 mg, 0.06 mmol) and diyne **1a** (83 mg, 0.30 mmol) preheated to 90 °C. The resulting mixture was stirred at 90 °C overnight, allowed to cool to room temperature and concentrated under reduced pressure. The crude product was subjected to column chromatography (SiO₂, 40–63 μm, toluene) to provide **2a** (30 mg, 45%, α-**2a**/β-**2a** 70:30 as estimated by ¹H NMR integration) as a brown solid.

MW (C₈₅H₁₇NO₂S): 1115.1 g/mol; **R_f**: 0.28 (toluene); **¹H NMR (400 MHz, CDCl₃/CS₂) δ (ppm)** α-**2a**: 2.27 (s, 3H, CH₃-C), 2.28 (s, 3H, CH₃-C), 3.24 (s, 3H, CH₃-Ar), 4.33–4.70, m, 4H, CH₂-N), 7.30 (d, *J* = 8.0 Hz, 2H, CH-Ar), 7.79 (d, *J* = 8.0 Hz, 2H, CH-Ar); β-**2a**: 2.40 (s, 6H, CH₃-C), 2.62 (s, 3H, CH₃-Ar), 4.33–4.70, m, 4H, CH₂-N), 7.56 (d, *J* = 8.0, C-H_{Ar}), 7.91 (d, *J* = 8.0, C-H_{Ar}); **UV-vis (toluene) λ_{max} (nm)**: 337, 387, 467; **ESI-HRMS (*m/z*)** calcd for [M+Na]⁺ = 1138.0872; found: 1138.0868.

Figure S3. ^1H NMR spectrum (400 MHz, $\text{CDCl}_3/\text{CS}_2$) of compound 2a.

a)



b)

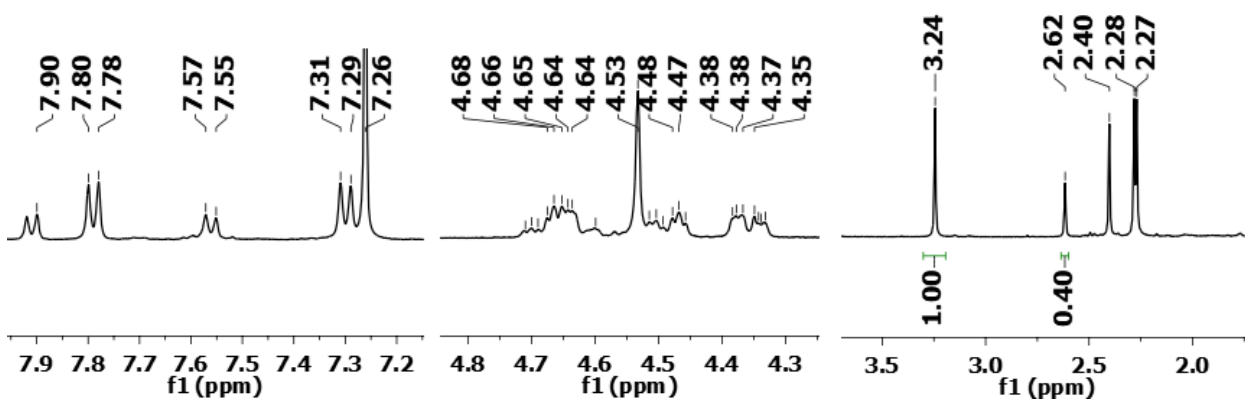


Figure S4: MALDI-TOF HRMS spectrum of compound **2a**.

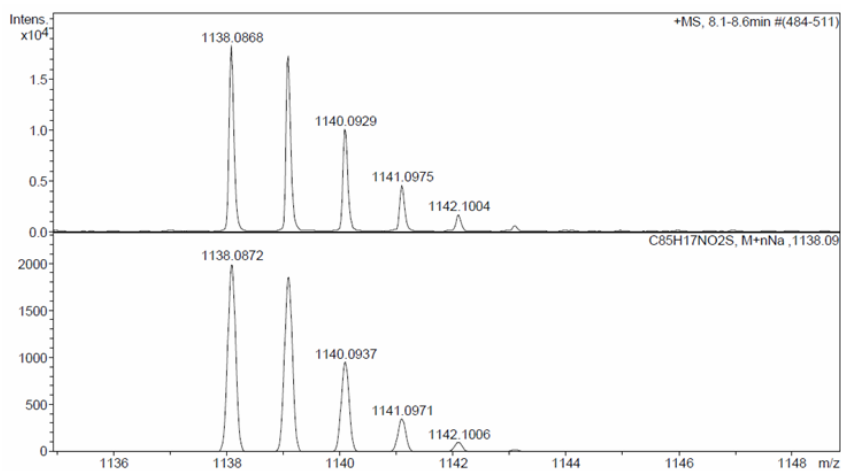
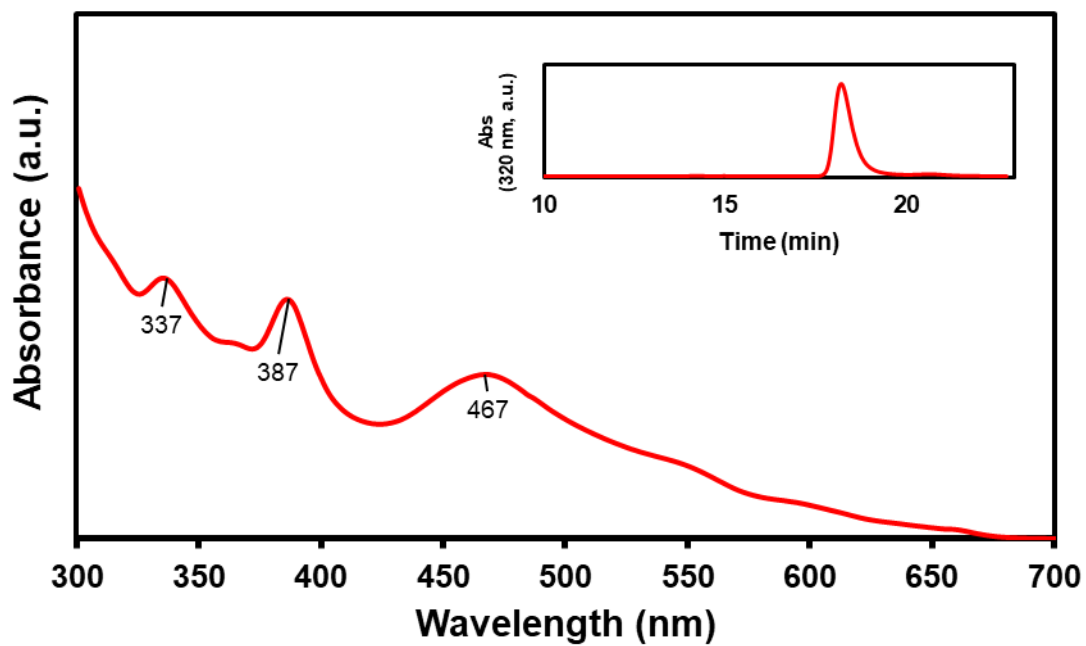
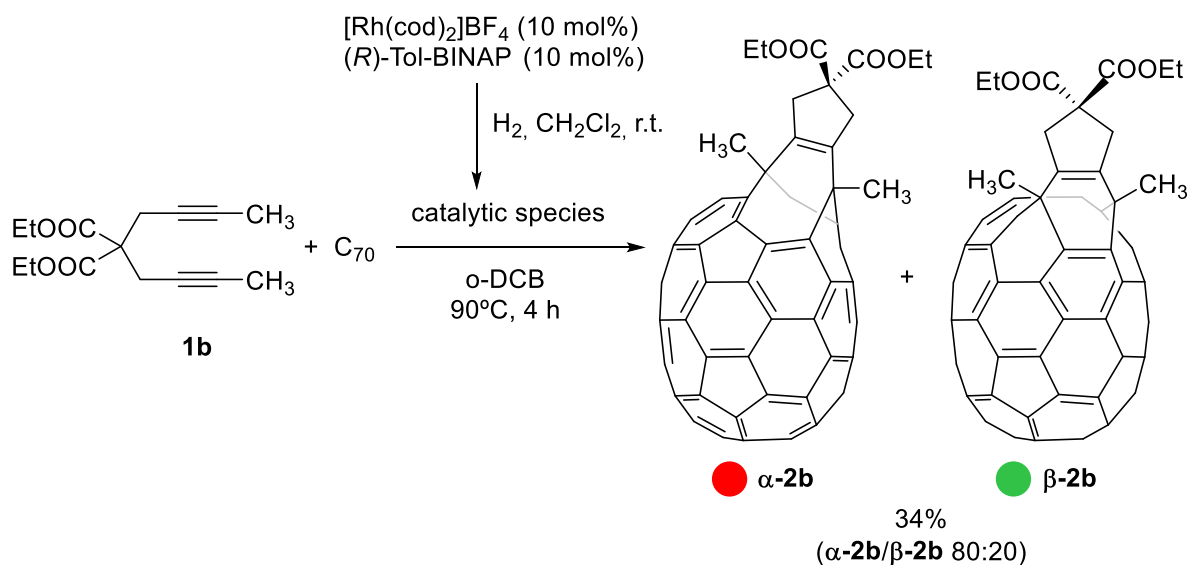


Figure S5. UV-vis spectrum (toluene) of compound **2a** (inset: HPLC trace of **2a**).



Scheme S2. Preparation and characterization of **2b**

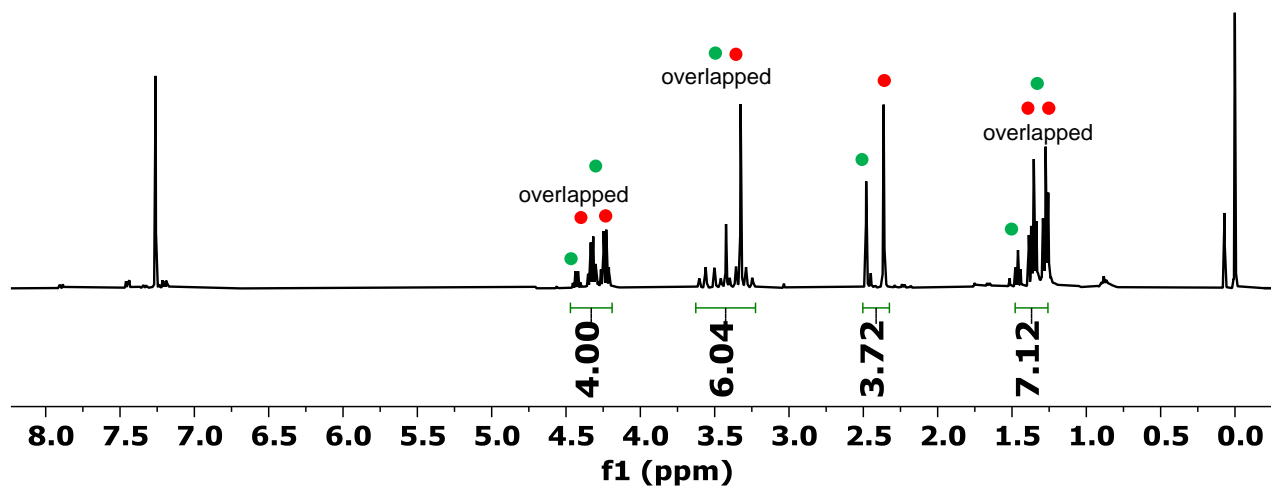


In a manner analogous to reference [2], in a 10 mL capped vial in an inert atmosphere, a solution of $[\text{Rh}(\text{cod})_2]\text{BF}_4$ (2.4 mg, 0.006 mmol) and $(R)\text{-Tol-BINAP}$ (50 mg, 0.006 mmol) in anhydrous CH_2Cl_2 (4 mL) was prepared. Hydrogen gas was bubbled into the catalyst solution for 30 min before it was concentrated to dryness, dissolved in anhydrous *o*-DCB and introduced *via* syringe into an *o*-DCB solution (1.2 mM) of C_{70} (50 mg, 0.06 mmol) and diyne **1b** (79 mg, 0.30 mmol) preheated to 90 °C. The resulting mixture was stirred at 90 °C for 4h, allowed to cool to room temperature and concentrated under reduced pressure. The crude product was subjected to column chromatography (SiO_2 , 40–63 μm , toluene) to provide unreacted and **2b** (17 mg, 34%, $\alpha\text{-2b}/\beta\text{-2b}$ 80:20 as estimated by ^1H as a brown solid.

MW ($\text{C}_{85}\text{H}_{20}\text{O}_4$): g/mol; **R_f**: 0.56 (toluene); **^1H NMR (400 MHz, $\text{CDCl}_3/\text{CS}_2$) δ (ppm) $\alpha\text{-2b}$** : 1.27 (t, $J = 7.1$ Hz, 3H, $\text{CH}_2\text{-CH}_3$), 1.35 (t, $J = 7.1$ Hz, 3H, $\text{CH}_2\text{-CH}_3$), 2.36 (s, 3H, C-CH_3), 3.23–3.62 (m, 4H, C-CH_2), 4.24 (q, $J = 7.1$ Hz, 2H, $\text{CH}_2\text{-CH}_3$), 4.33 (q, $J = 7.1$ Hz, 2H, $\text{CH}_2\text{-CH}_3$); **$\beta\text{-2b}$** : 1.35 (t, $J = 7.1$ Hz, 3H, $\text{CH}_2\text{-CH}_3$), 1.46 (t, $J = 7.1$ Hz, 3H, $\text{CH}_2\text{-CH}_3$), 2.48 (s, 6H, C-CH_3), 3.42 (s, 4H, C-CH_2), 4.43 (q, $J = 7.1$ Hz, 2H, $\text{CH}_2\text{-CH}_3$); one methylene signal overlapped; **^{13}C NMR (101 MHz, $\text{CDCl}_3/\text{CS}_2$) δ (ppm) $\alpha\text{-2b}$** 14.29 ($\text{CH}_2\text{-CH}_3$), 14.33 ($\text{CH}_2\text{-CH}_3$), 27.00 (C-CH_3), 32.17 (C-CH_3), 40.24 (C-CH_3), 40.32 (C-CH_2), 40.39 (C-CH_2), 41.38 (C-CH_3); 58.32 ($\text{CH}_2\text{-C}$), 62.16 ($\text{CH}_2\text{-CH}_3$), 119.63–151.07 (C_{quat}), 171.54 (C=O), 171.86 (C=O); **$\beta\text{-2b}$** : 14.57 ($\text{CH}_2\text{-CH}_3$), 26.61 (C-CH_3), 40.02 (C-CH_2), 40.48 (C-CH_2), 40.39, 58.37 ($\text{CH}_2\text{-C-C=O}$), 62.33 ($\text{CH}_2\text{-CH}_3$), 119.63–151.07 (C_{quat}), 171.54 (C=O), 171.67 (C=O); **UV-vis (toluene) λ_{max} (nm)**: 337, 387, 469; **ESI-HRMS (m/z)** calcd for $[\text{M}+\text{Na}]^+ = 1127.1254$; found: 1127.1243.

Figure S6. ^1H NMR spectrum (400 MHz, $\text{CDCl}_3/\text{CS}_2$) of compound **2b**.

a)



b)

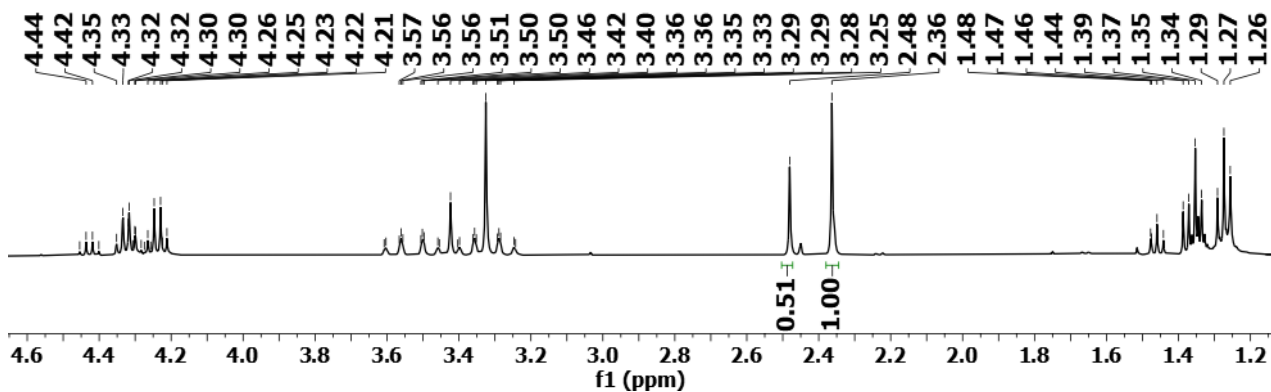
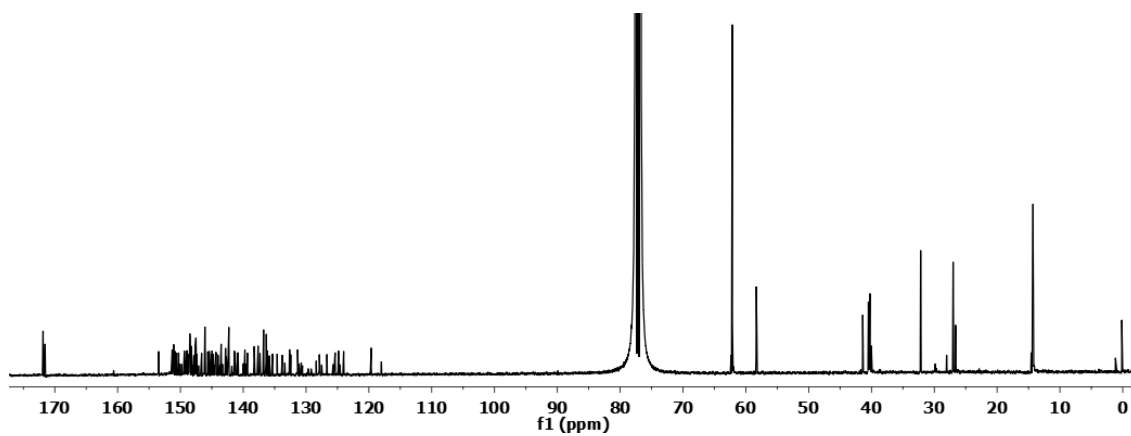
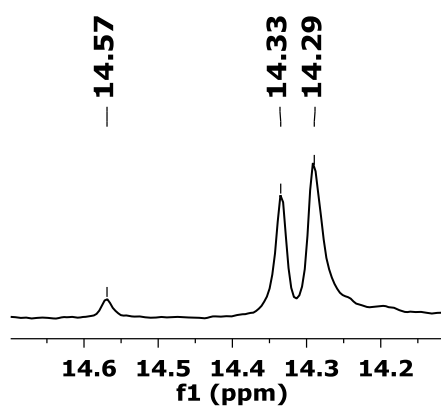


Figure S7. ^{13}C NMR spectrum (101 MHz, CDCl_3) of compound **2b**.

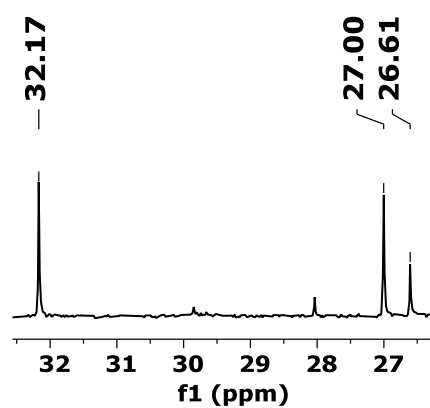
a)



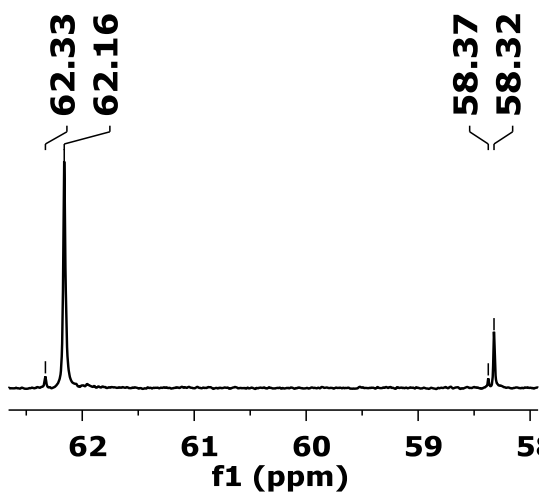
b)



c)



d)



e)

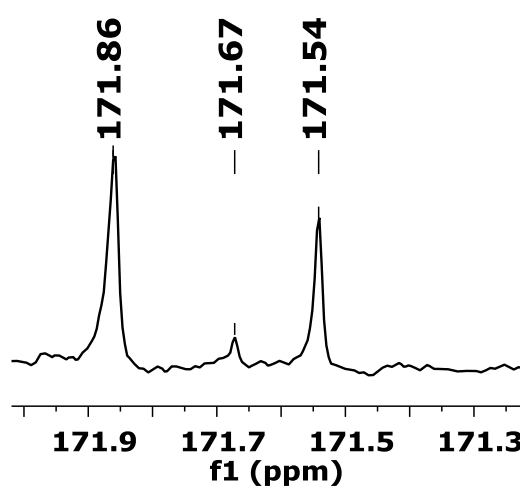


Figure S8. 2D-HSQC NMR spectrum of compound **2b**.

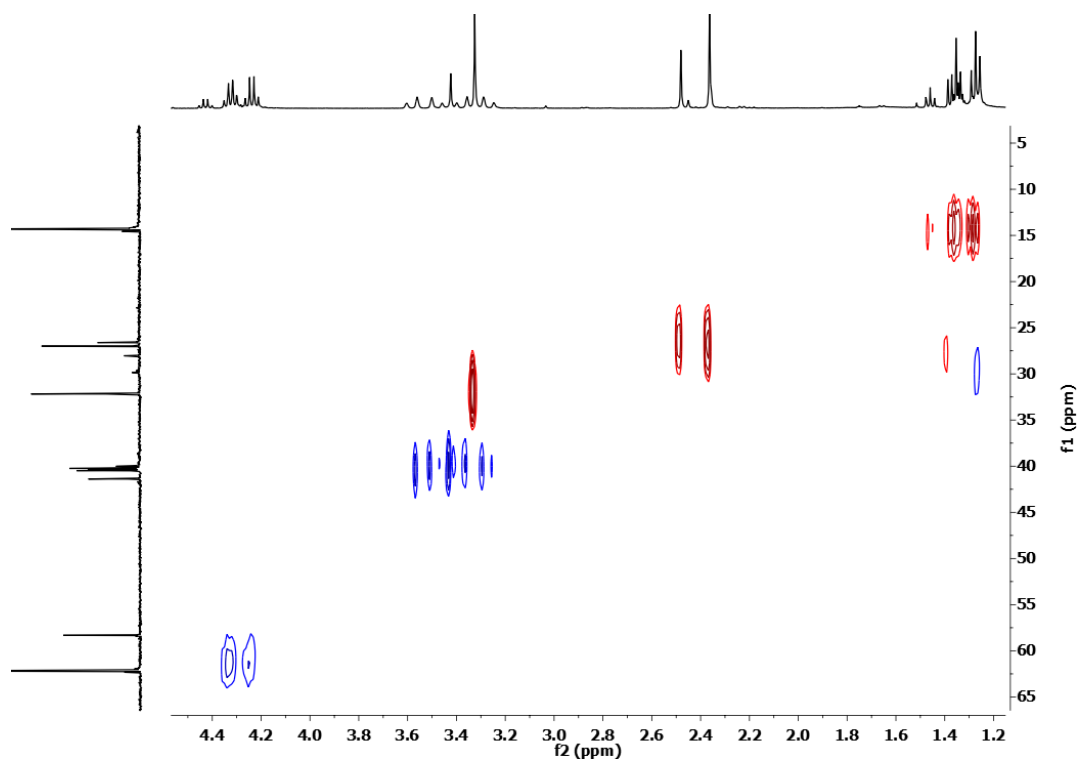


Figure S9. 2D-HMBC NMR spectrum of compound **2b**.

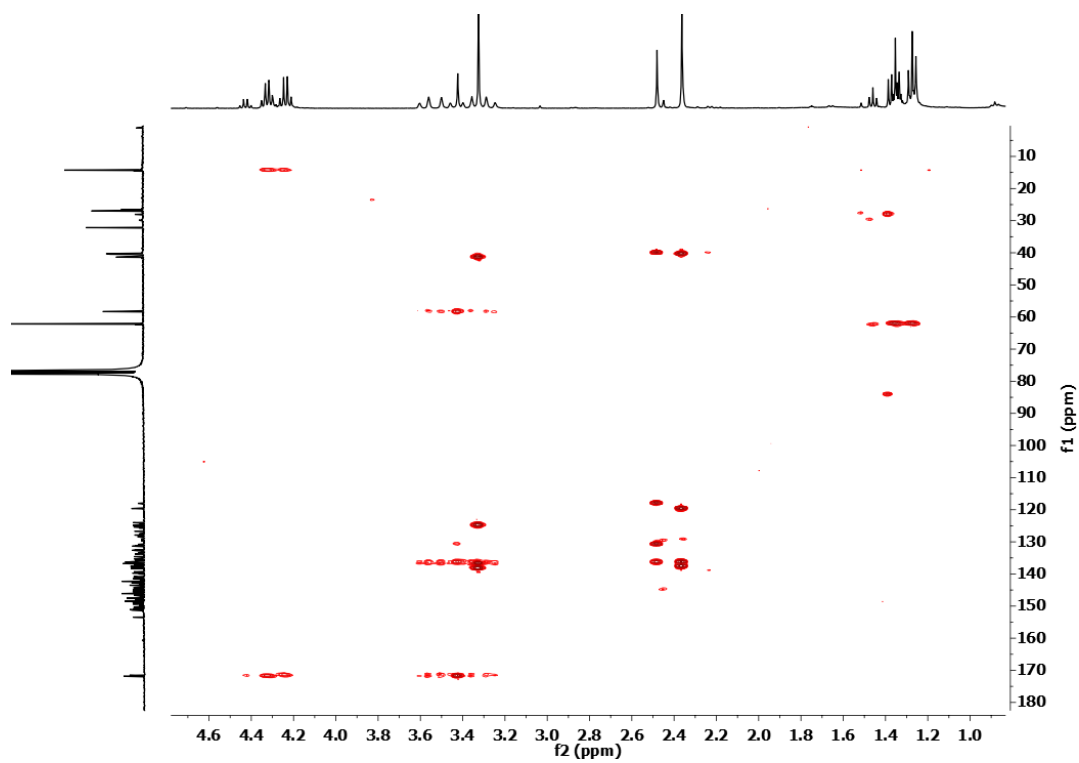


Figure S10. 2D-COSY NMR spectrum of compound **2b**.

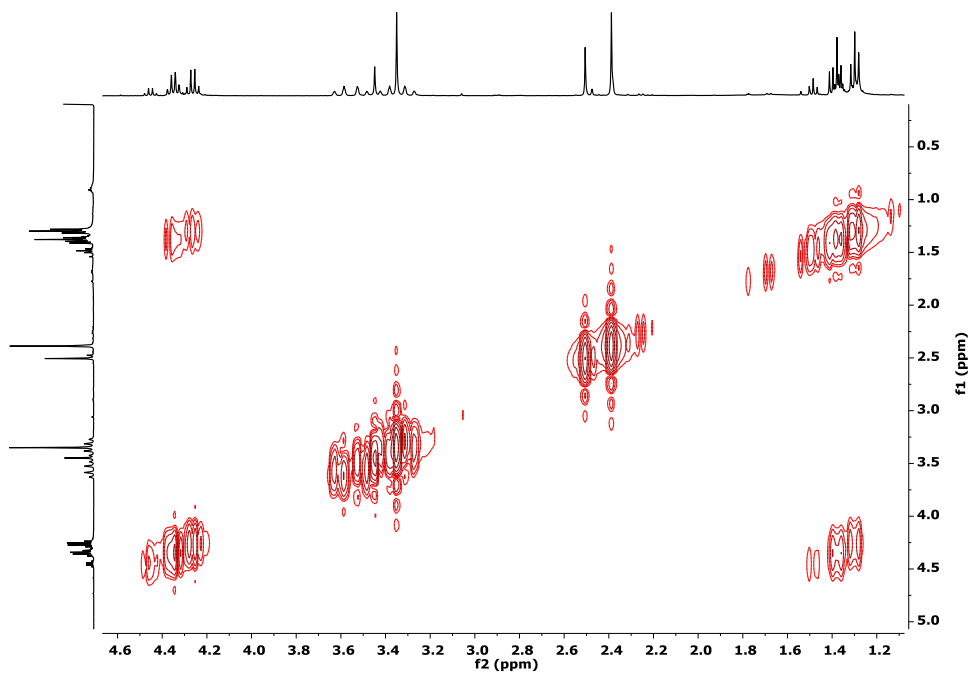


Figure S11. MALDI-TOF HRMS spectrum of **2b**.

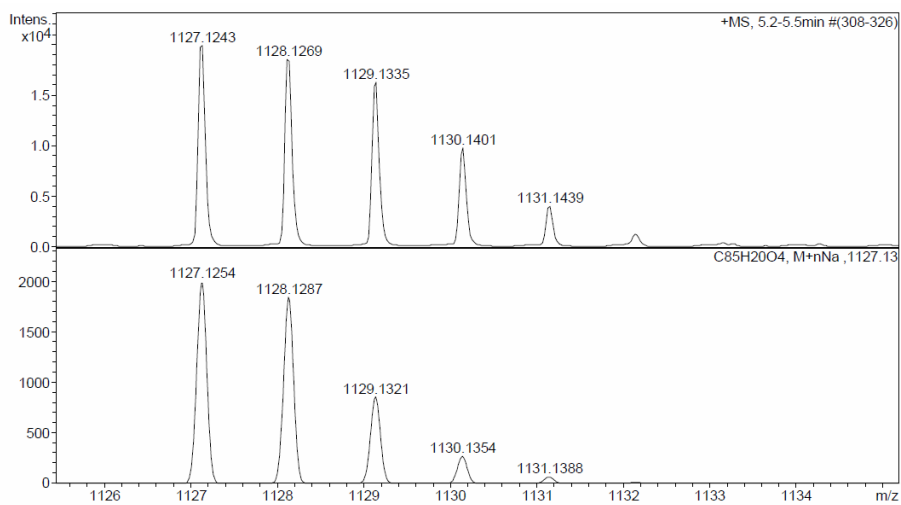
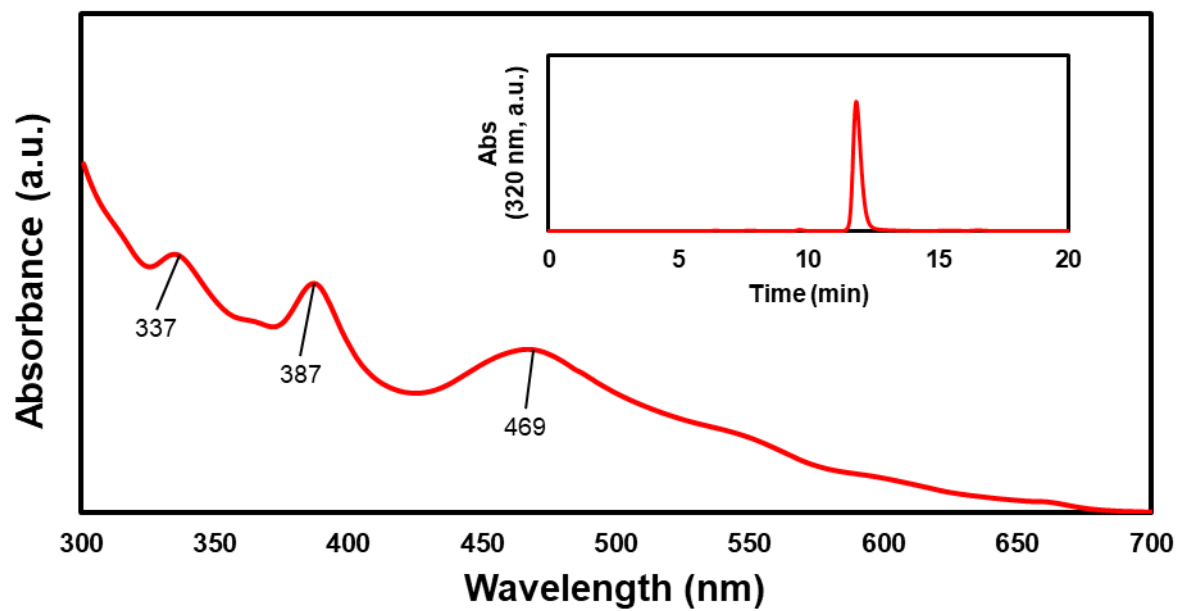
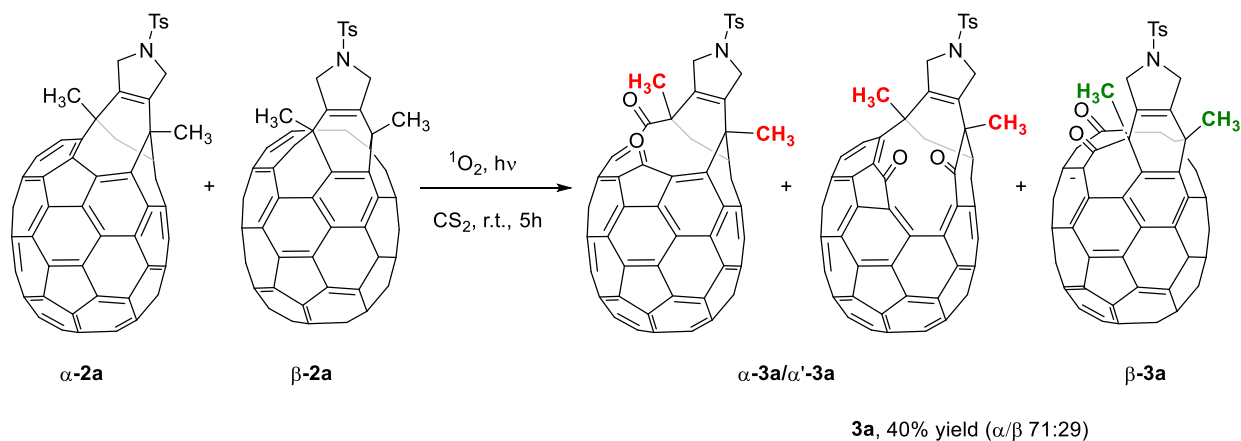


Figure S12. UV-vis spectrum (CHCl₃) of compound **2b** (inset: HPLC trace of **2b**).



Scheme S3. Oxidative cleavage of **2a**

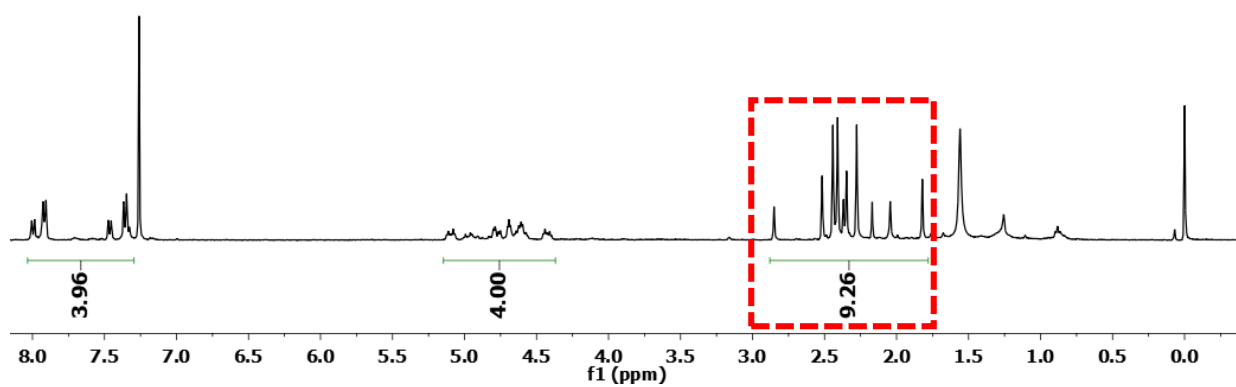


In a 250 mL round-bottomed flask compound **2a** (200 mg, 0.18 mmol) was dissolved in CS₂ (100 mL) and irradiated with a conventional lamp for 5 h (TLC monitoring). The solvent was removed under reduced pressure and the crude product was subjected to column chromatography (SiO₂, 40–63 μ m, CS₂/toluene 1:1 \rightarrow toluene) to provide compound **3a** (83 mg, 40%) as a 56:29:15 mixture of three different isomers as estimated by ¹H NMR integration.

MW (C₈₅H₁₇NO₄S): 1148.13 g/mol; **R_f**: 0.13 (toluene); **UV-vis (toluene)** λ_{max} (nm): 371, 451, 677; **ESI-HRMS (m/z)** calcd for [M+Na]⁺ = 1170.0770; found: 1170.0756.

Figure S13. ^1H NMR spectrum (400 MHz, $\text{CDCl}_3/\text{CS}_2$) of compound **3a**.

a)



b)

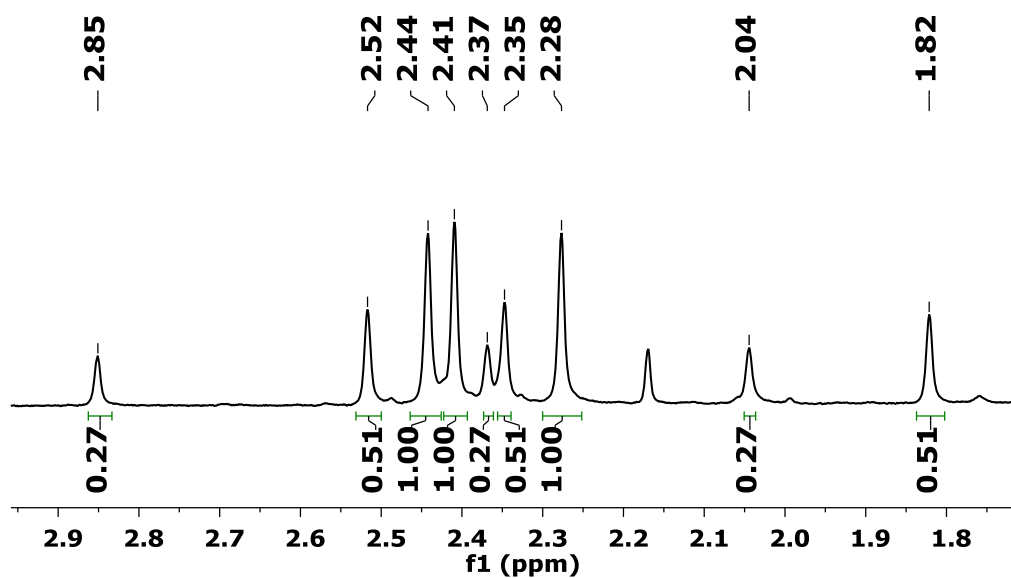
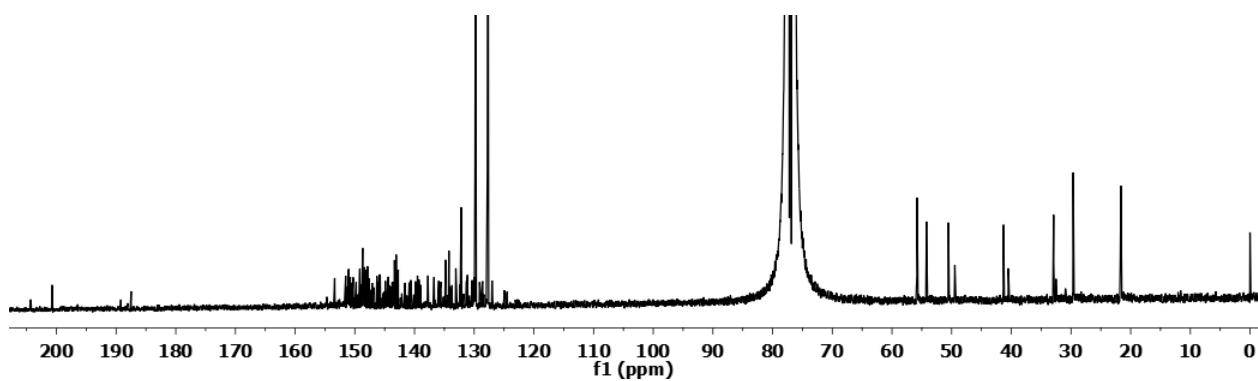
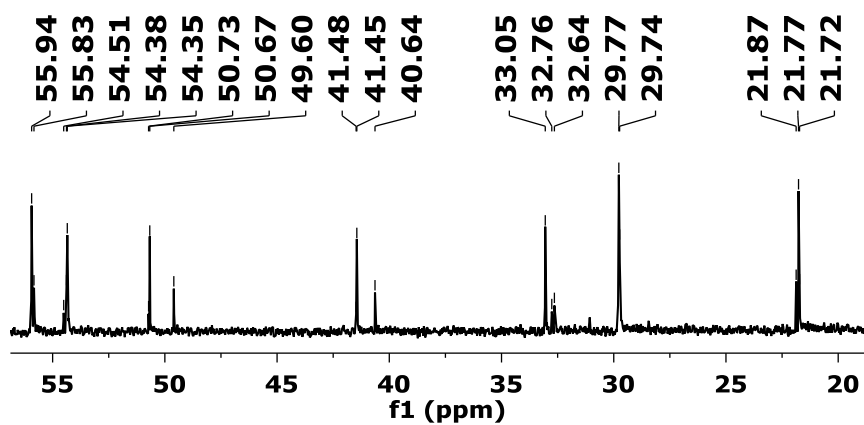


Figure S14. ^{13}C NMR spectrum (101 MHz, $\text{CDCl}_3/\text{CS}_2$) of compound **3a**.

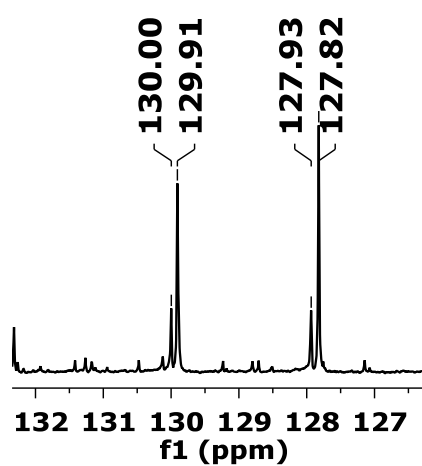
a)



b)



c)



d)

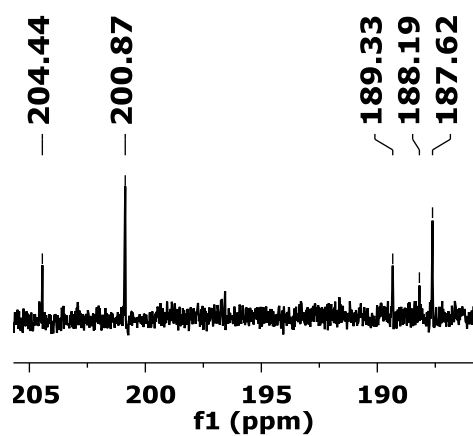


Figure S15. 2D-HSQC NMR spectrum of compound 3a.

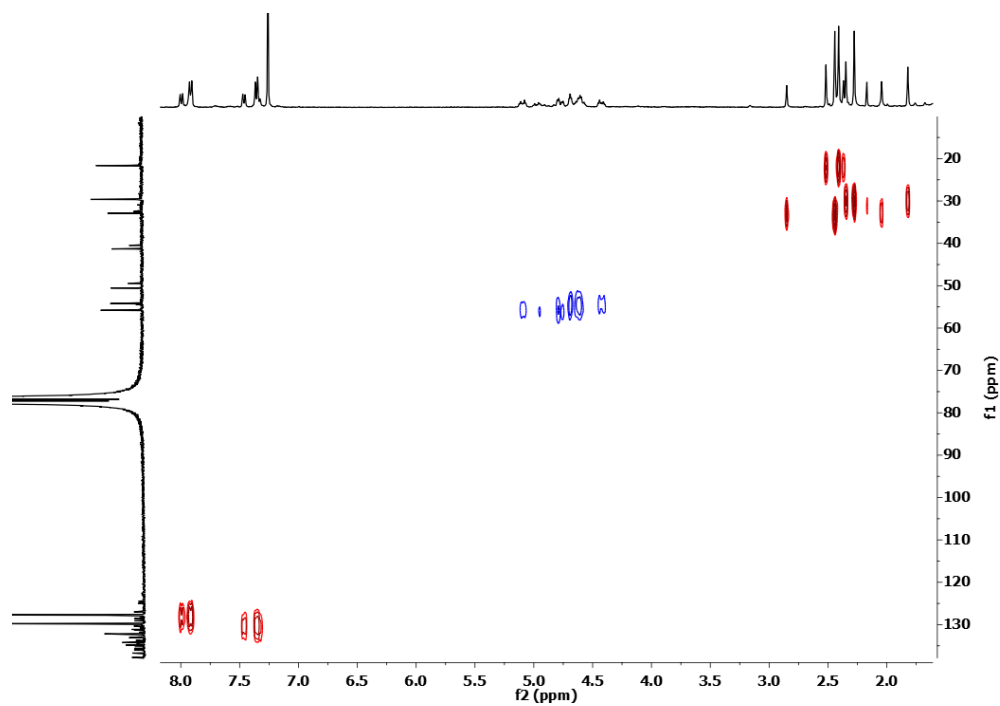
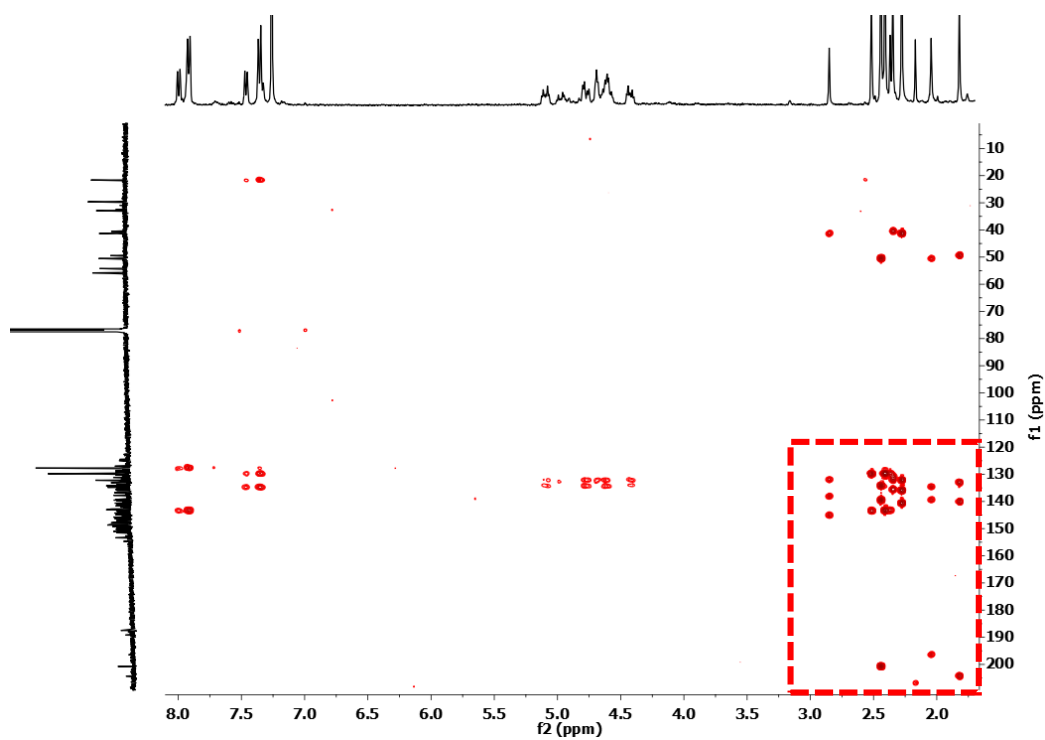


Figure S16. 2D-HMBC NMR spectrum of compound 3a.

a)



b)

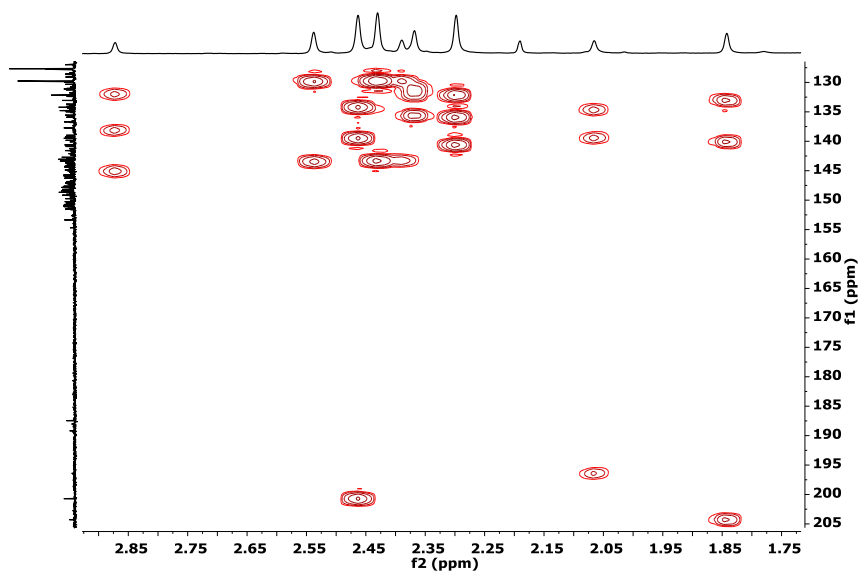


Figure S17. MALDI-TOF HRMS spectrum of compound **3a** (m/z).

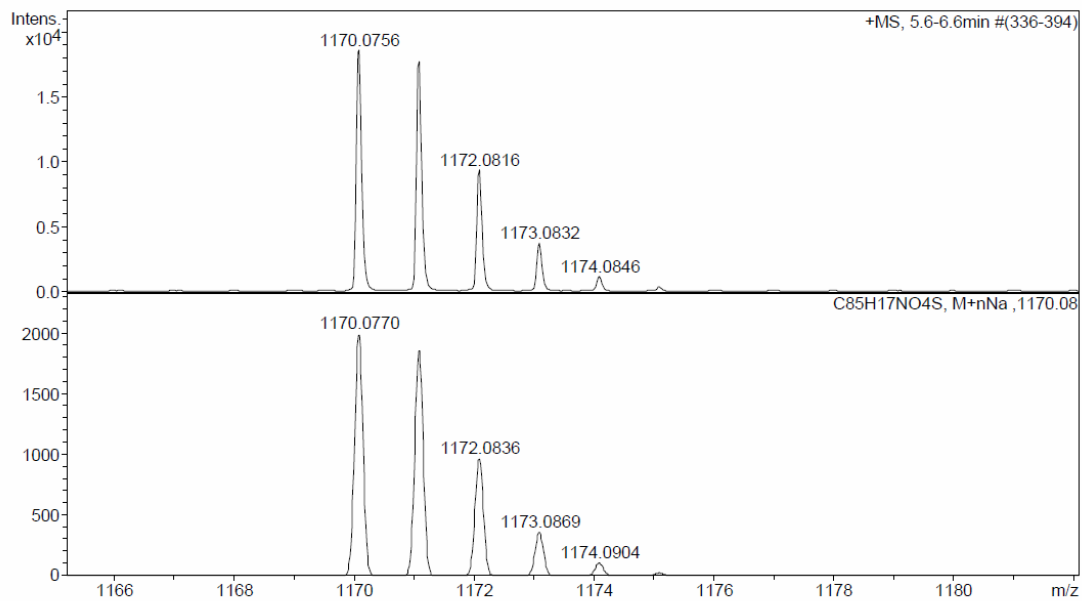
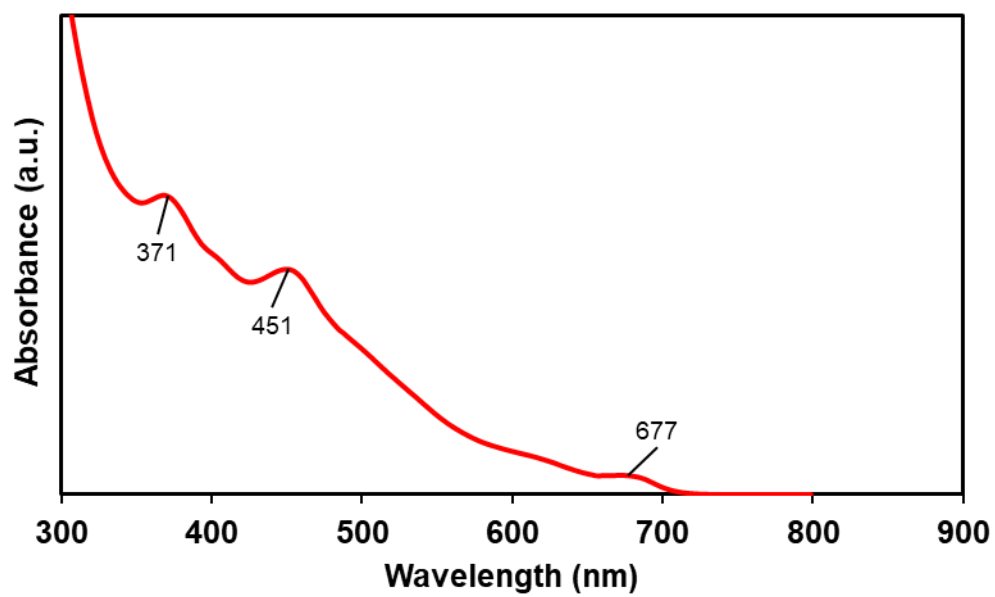
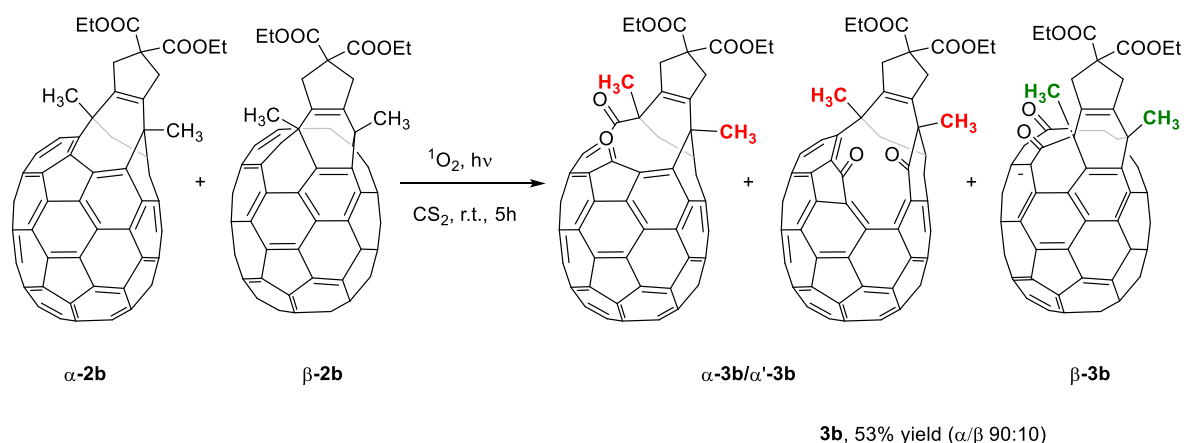


Figure S18. UV-vis spectrum (CHCl_3) of compound **3a**.



Scheme S4. Preparation and characterization of **3b**.

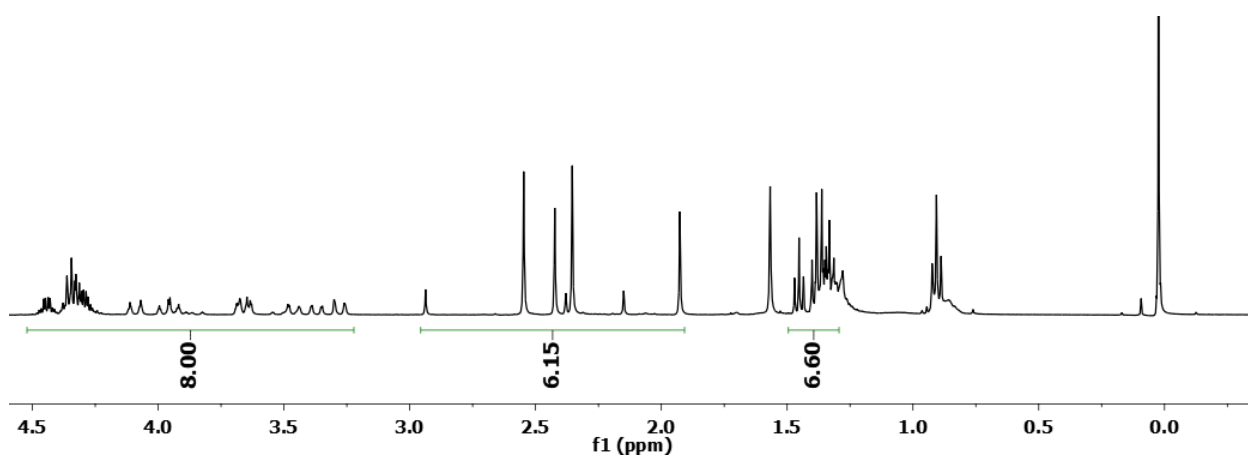


In a 250 mL round-bottomed flask compound **2b** (95 mg, 0.084 mmol) was dissolved in CS_2 (100 mL) and irradiated with a conventional lamp for 5 h (TLC monitoring). The solvent was removed under reduced pressure and the crude product was subjected to column chromatography (SiO_2 , 40–63 μm , $\text{CS}_2/\text{toluene}$ 1:1 \rightarrow toluene) to provide compound **3a** (52 mg, 53%) as a 52:38:10 mixture of three different isomers as estimated by ^1H NMR integration.

MW ($\text{C}_{85}\text{H}_{20}\text{O}_6$): 1136.13 g/mol; **R_f**: 0.32 (toluene); **UV-vis (toluene)** λ_{max} (nm): 369, 451, 677; **ESI-HRMS (*m/z*)** calcd for $[\text{M}+\text{Na}]^+$ = 1159.1152; found: 1159.1147.

Figure S19. ^1H NMR spectrum (400 MHz, CDCl_3) of **3b**.

a)



b)

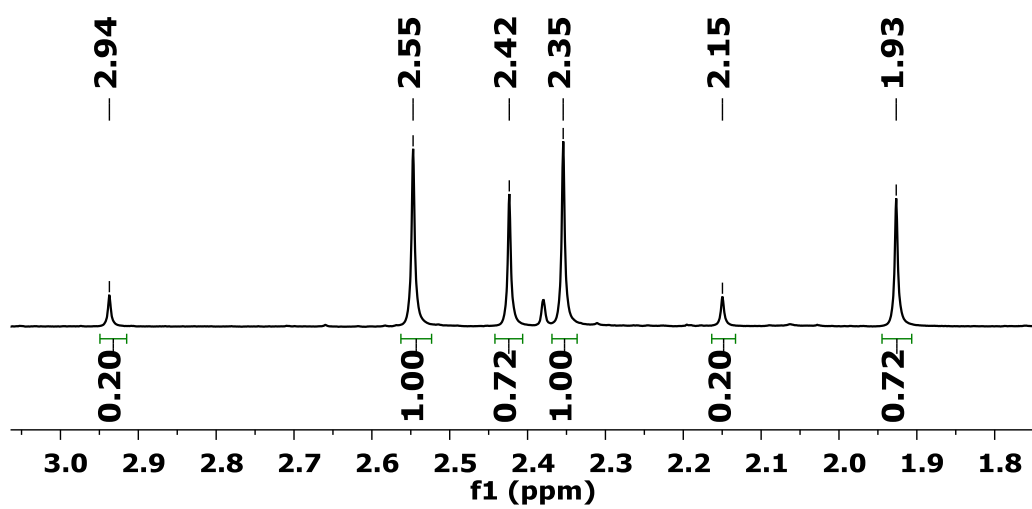


Figure S20. ^{13}C NMR spectrum (101 MHz, CDCl_3) of compound **3b**.

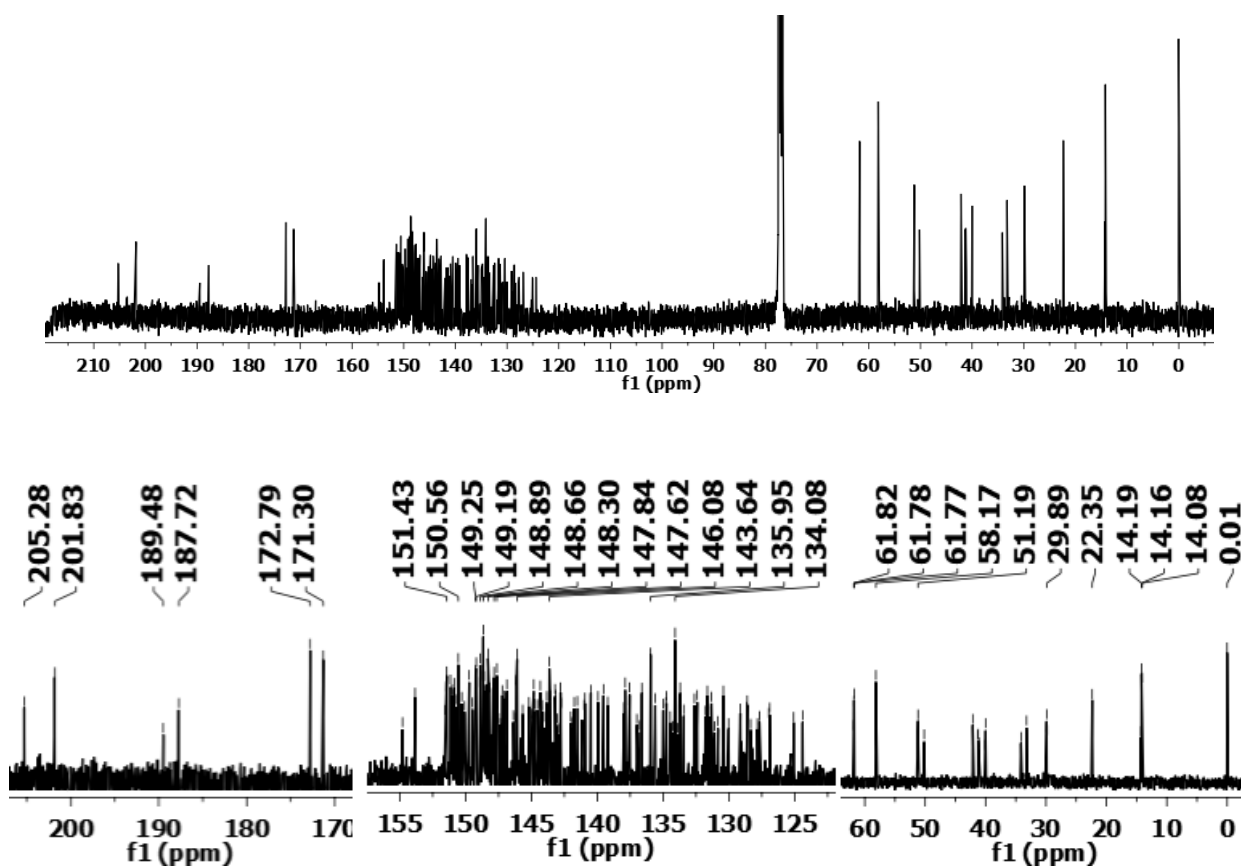


Figure S21. 2D-HSQC NMR spectrum of compound 3b.

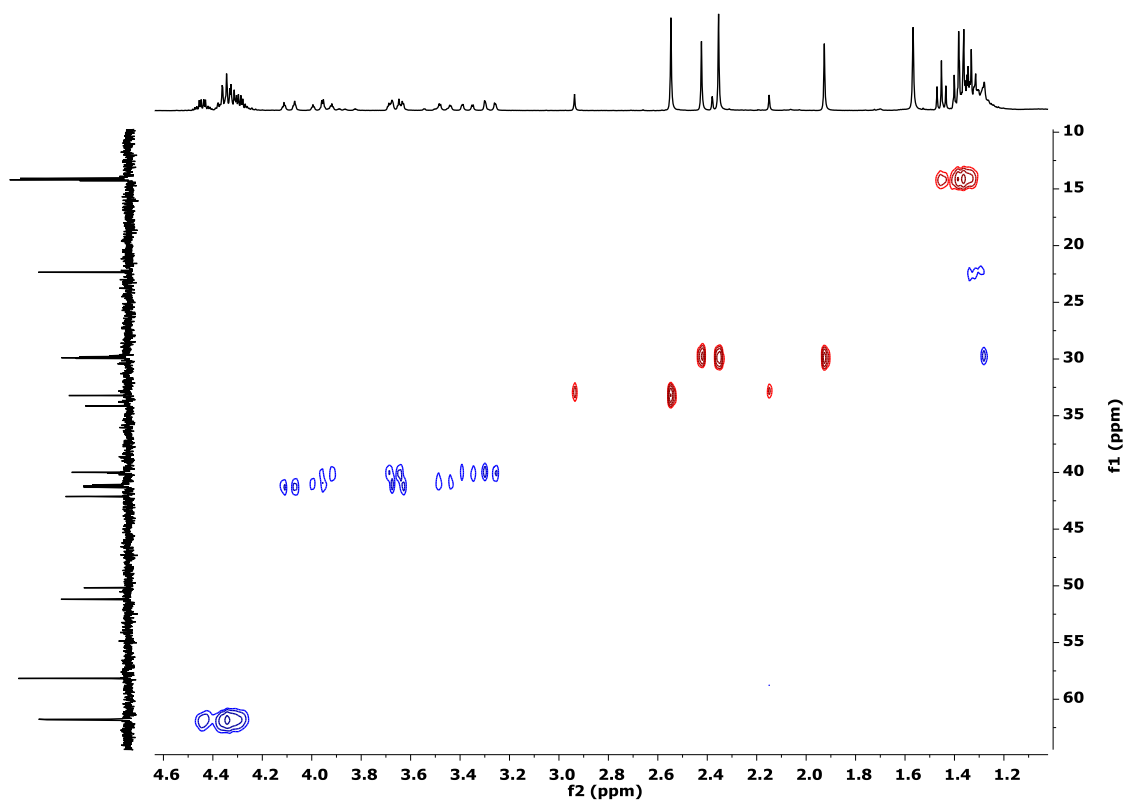
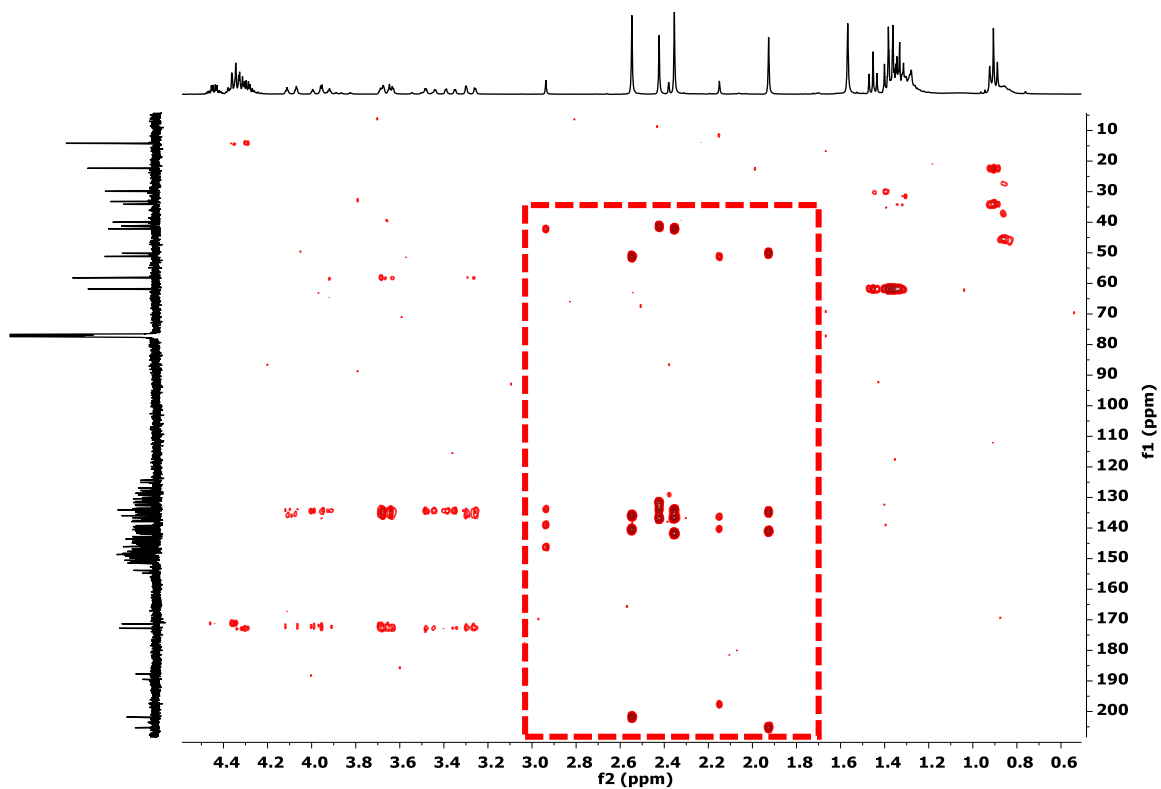


Figure S22. 2D-HMBC NMR spectrum of compound 3b.

a)



b)

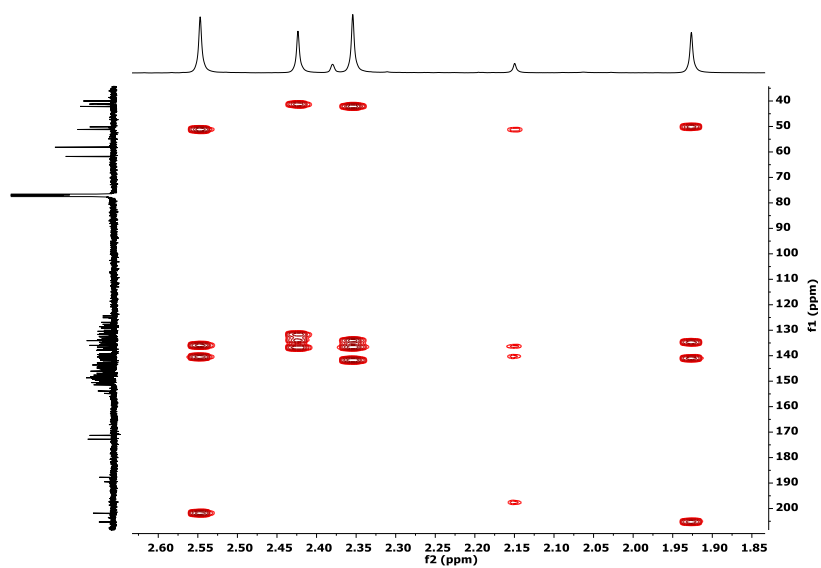


Figure S23. MALDI-TOF HRMS spectrum of compound **3b**.

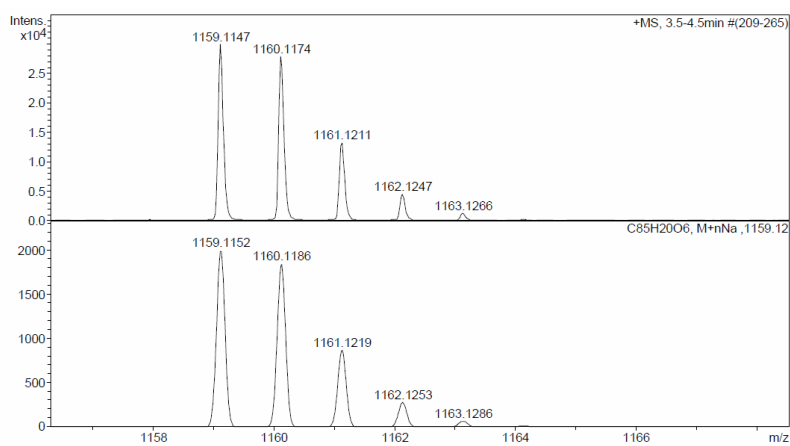
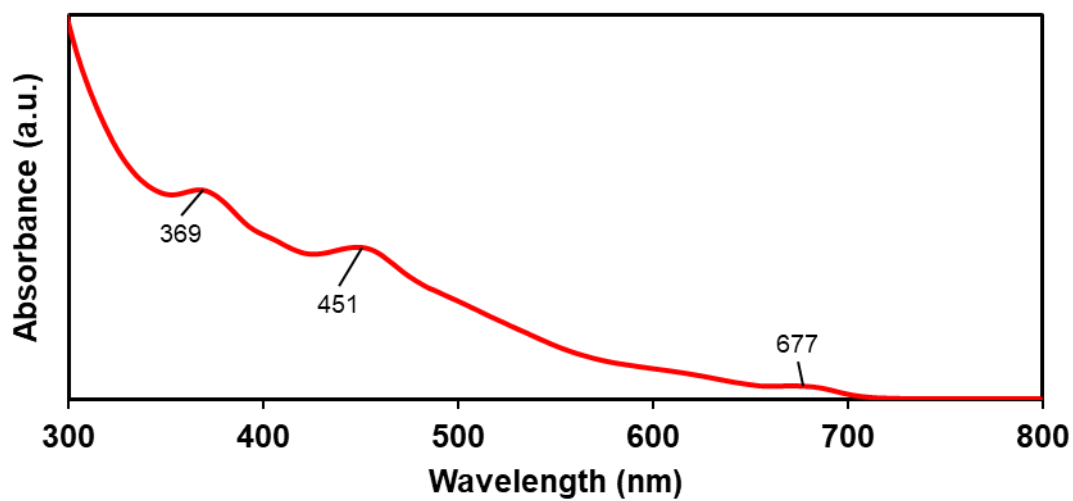


Figure S24. UV-vis spectrum (CHCl_3) of compound **3b**.

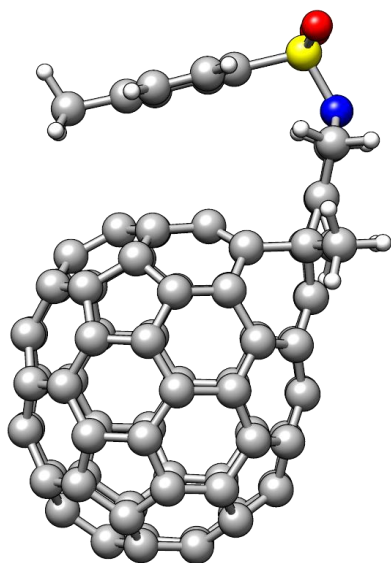


Computational details

Geometries of all stationary points were optimized without symmetry constraints with the Gaussian 09 program [3] using the DFT B3LYP hybrid exchange-correlation functional [4] in conjunction with the all-electron cc-pVDZ basis set [5]. The D3 Grimme energy corrections for dispersion with the original damping function were added. [6] The electronic energy was improved by performing single point energy calculations with the cc-pVTZ basis set [7] and the B3LYP-D3 functional including solvent corrections for *o*-DCB computed with the solvent model based on density (SMD) [8]. Analytical Hessians were computed to determine the nature of stationary points (one and zero imaginary frequencies for TSs and minima, respectively) and to calculate unscaled zero-point energies (ZPEs) as well as thermal corrections and entropy effects using the standard statistical-mechanics relationships for an ideal gas [9]. These two latter terms were computed at 363.15 K and 1 atm to provide the reported relative Gibbs energies. As a summary, the reported Gibbs energies contain electronic energies including solvent effects calculated at the B3LYP-D3/cc-pVTZ//B3LYP-D3/cc-pVDZ level together with gas phase thermal and entropic contributions computed at 363.15 K and 1 atm with the B3LYP-D3/cc-pVDZ method. All stationary points were unambiguously confirmed by IRC calculations. In order to reduce the computational cost, the tosyl substituent in **1a** was substituted by a mesyl substituent in the model substrate and BIPHEP was used as a model phosphine ligand instead of Tol-BINAP.

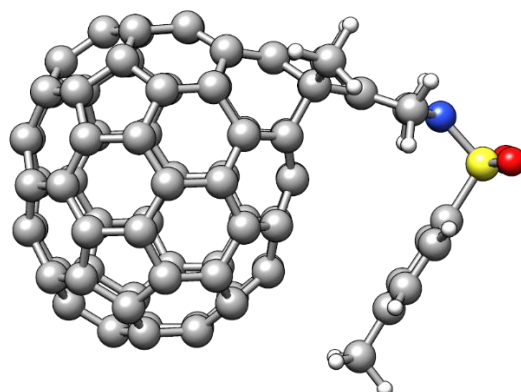
Figure S25. Molecular structures of the two possible regioisomers of β -**2a** and their relative electronic energies computed at the B3LYP-D3/cc-pVDZ//B3LYP/cc-pVTZ level of theory.

a)



$$\Delta E = 0.0 \text{ kcal}\cdot\text{mol}^{-1}$$

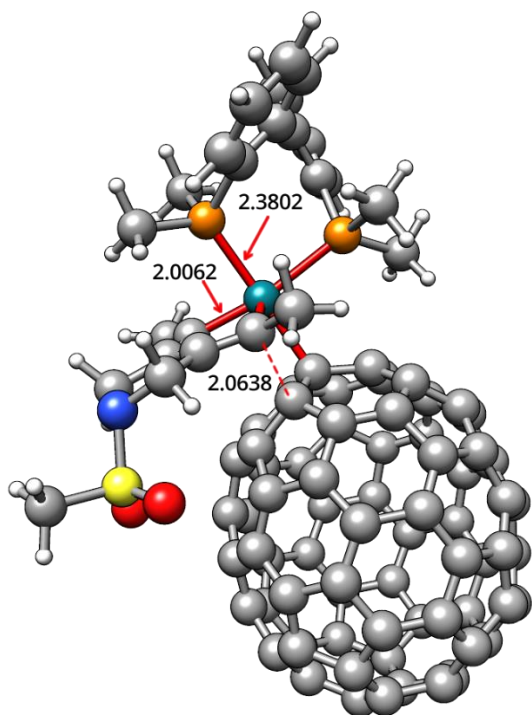
b)



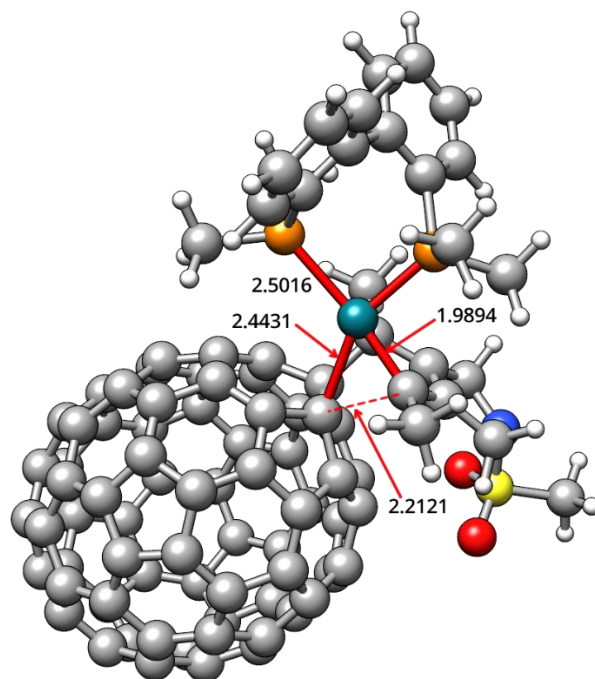
$$\Delta E = 8.8 \text{ kcal}\cdot\text{mol}^{-1}$$

Figure S26. Molecular structure of (a) α -TS 1 (b) α -TS 2 (c) β -TS 1 (d) β -TS 2

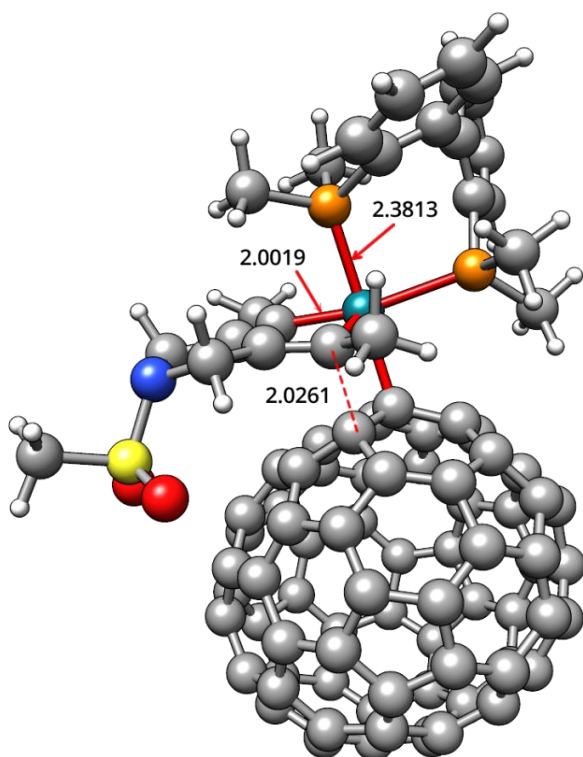
a)



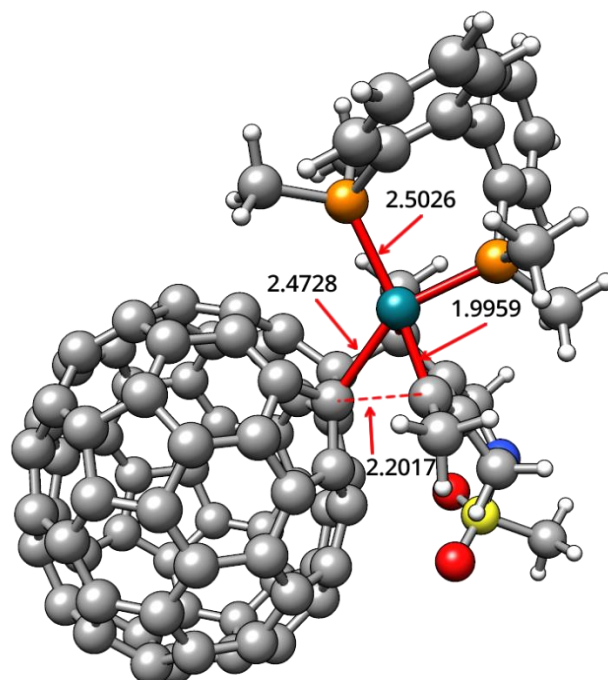
b)



c)



d)



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