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# 2 **Graphene Synthesis by Ultrasound Energy Assisted** 3 **Exfoliation of Graphite in Various Solvents**

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9 **Abstract:** Liquid Phase Exfoliation (LPE) method has been gaining increasing interest by academic  
10 and industrial researchers due to its simplicity, low-cost, and scalability. High intensity ultrasound  
11 energy was exploited to transform graphite to graphene in the solvents of dimethyl sulfoxide (DMSO),  
12 N,N-dimethyl formamide (DMF), and perchloric acid (PA) without any surfactants or ionic liquids. The  
13 crystal structure, number of layers, particle size, and morphology of the synthesized graphene  
14 samples were characterized by X-ray Diffraction (XRD), Atomic Force Microscopy (AFM), Ultraviolet  
15 visible (UV–vis) spectroscopy, Dynamic Light Scattering (DLS), and Transmission Electron  
16 Microscopy (TEM). XRD and AFM analyses indicated that G-DMSO and G-DMF have few layers and  
17 G-PA has multilayers. The layer numbers of G-DMSO, G-DMF, and G-PA were determined as 9, 10,  
18 and 21, respectively. By DLS analysis, the particle sizes of graphene samples were estimated in a  
19 few micrometers. TEM analyses showed that G-DMSO and G-DMF possess sheet-like fewer layers  
20 and also, G-PA has wrinkled and unordered multilayers.

21 **Keywords:** ultrasound; liquid-phase exfoliation; graphene synthesis

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## 23 **1. Introduction**

24 Graphene is a versatile nanomaterial with a wide range of chemical, environmental, medical,  
25 industrial, electronical applications by the means of its remarkable thermal conductivity (above

26 3000 W m K<sup>-1</sup>); superior mechanical properties with a Young's modulus of 1 TPa, an extraordinary  
27 large specific surface area (2620 m<sup>2</sup> g<sup>-1</sup>), and intrinsic strength of 130 GPa; and an extremely high  
28 electronic conductivity (room-temperature electron mobility of 2.5 × 10<sup>5</sup> cm<sup>2</sup> V<sup>-1</sup> s<sup>-1</sup>).[1-3]. This  
29 combination of spectacular properties enables its utilization in the production of different devices such  
30 as the electronics with high-speed and radio-frequency logic, sensors, membranes, composites with  
31 high thermal and electrical conductivity, displays with superior transparency and flexibility, solar cells,  
32 coatings, highly thin carbon films, electronic circuits, etc. [4].

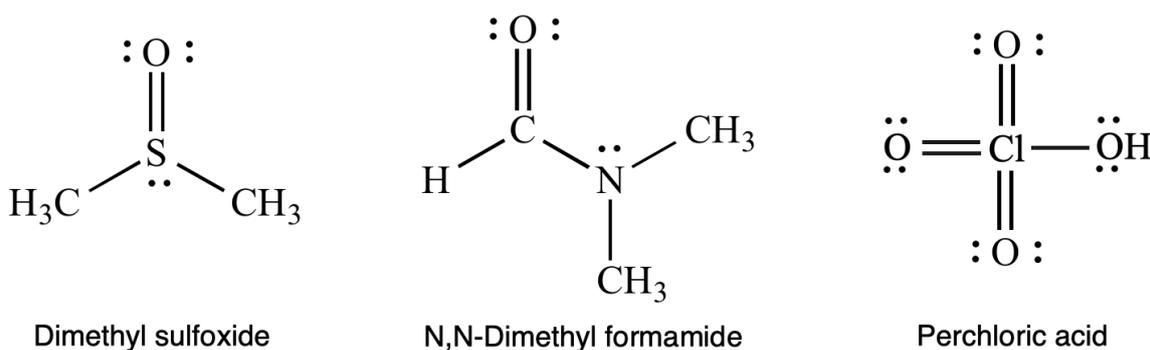
33 Few-layered graphene has been synthesized by numerous methods including mechanical  
34 cleavage, liquid-phase exfoliation, gas-phase synthesis, Hummers' method, unrolling of multi-walled  
35 carbon nanotubes (MWCNT), chemical vapor deposition (CVD), epitaxial growth, electrochemical  
36 reaction [2,5-15]. However, these methods have some drawbacks such as low yield ratio, high-energy  
37 consumption, the use of expensive substrates as well as the difficulty of obtaining high quality product.  
38 Scientists have studied on developing more efficient synthesis methods apart from the most popular  
39 Hummers' method employing the oxidative exfoliation of natural graphite by harsh acid treatments.  
40 Final products attained by Hummers' method have many functional groups such as hydroxyls,  
41 carbonyls and carboxyls which cannot be completely removed by additional reduction and annealing  
42 treatments [16,17]. Also, the oxidation-reduction processes of Hummers' method disrupt the original  
43 honeycomb structure of graphene. This deteriorated structure reduces the electrical and the  
44 mechanical properties of the synthesized final graphene products [18-19]. For this reason, selection  
45 of the appropriate solvent and process parameters has a critical importance in order to obtain the  
46 graphene with well-arranged structure. Liquid-phase exfoliation (LPE) is one of the most feasible  
47 methods for industrial production of graphene through its scalability and low-cost. A highly stable  
48 dispersion of mono/few layered graphene products with defect-free honeycomb structure have been  
49 synthesized by LPE of graphite by applying different techniques such as shear mixing, microwave  
50 irradiation or sonication [20]. The application of the LPE method is especially significant for the  
51 electronics industry which requires graphene with defect-free and no oxide groups [21].

52 The LPE technique is based on the exfoliation of graphite intercalated compounds in an  
53 appropriate solvent. In this technique, there are three subsequent steps: (1) dispersion of graphite in  
54 a solvent, (2) exfoliation, and (3) purification [22]. For the intercalation of the solvent molecules, the  
55 Van der Waals forces between the graphite layers are overcome by the application of the external  
56 driving force such as ultrasound energy [23]. Then, individual layers start to slip out from the layered

57 structure of graphite under the effect of shear force. By this fragmentation, the thinner graphene flakes  
58 with a small lateral size can be achieved. The utilization of the ultrasound energy in various types of  
59 chemical processes relies on the acoustic cavitation in a liquid. Main elements of the acoustic  
60 cavitation are the formation, development, and collapse of cavitation bubbles. Steam bubbles emerge  
61 due to the pressure drop in some parts of liquid. On the other hand, the rise in pressure and  
62 temperature causes the extinction of the cavities. When the liquid is subjected to the ultrasound  
63 energy, localized hot points appear with high temperature and pressure.

64 The efficiency of the ultrasound energy is determined by the ultrasound application conditions  
65 and the type of ultrasound device. There are two types of ultrasound generators: horn-type and bath-  
66 type. Epoxy/graphene nanocomposites synthesized by using horn-type device demonstrate higher  
67 modulus and flexural strength properties than that of the samples produced via bath-type device. The  
68 reason for obtaining better properties with horn-type device is the direct immersion of the probe into  
69 the sonication liquid. This direct sonication results in the turbulent flow conditions and acoustic  
70 streaming in suspensions which prevents the agglomeration of the nanoparticles. In the case of  
71 graphene synthesis by the LPE method with ultrasonication, traditional bath type sonicator is  
72 inadequate to produce intense cavitation for the efficient exfoliation of graphite without chemicals  
73 such as ionic liquids and surfactants.

74 The properties of the liquid establishing the environment for the chemical processes have a great  
75 impact on obtaining the stable graphite-solvent dispersion [24]. Many studies were conducted to  
76 investigate the most convenient solvent type and optimum process conditions for the synthesis of  
77 graphene by ultrasonication [25-27]. Graphite is easily exfoliated in polar aprotic organic solvents due  
78 to its hydrophobic character. In this study, polar aprotic dimethyl sulfoxide (DMSO) and dimethyl  
79 formamide (DMF) are used for exfoliation of graphite as the sonication liquid without adding any  
80 surfactants or ionic liquids. The chemical structures of used solvents were given in Fig 1.



81

82 **Figure 1.** Lewis structure of the solvents used as liquid medium in the exfoliation of graphite.

83        These solvents are capable of successful exfoliation of the graphite and prevention of the  
84        graphene transformation back to graphite structure. Also, perchloric acid (PA) was chosen as the  
85        third sonication medium since it is a highly effective intercalating agent and it minimizes the defects  
86        in the honeycomb lattice of graphene at high acid concentrations of PA [28-30].

87        Herein, one-pot synthesis of graphene was carried out by using the horn-type ultrasound device  
88        for the exfoliation of graphite in DMSO, DMF, and PA. After the exfoliation step, the particle size, the  
89        layer number and thickness, and morphology were determined by XRD, AFM, UV-vis, DLS, and TEM  
90        analyses. Although there are some reports on the LPE of graphite, most of these methods employed  
91        organic solvents mixing them with ionic liquids and surfactant in order to obtain the stable dispersion  
92        of graphite. In this work, the effect of prolonged exposure time of intense ultrasound energy on  
93        graphene properties was investigated by applying LPE technique in organic solvents without adding  
94        any ionic liquids or surfactant.

## 95        **2. Experimental**

### 96        *2.1. Materials*

97        Graphite fine powder (Extra pure) and commercial graphene (CG) were purchased from, Asbury  
98        Inc., New Jersey, and XG Sciences, Michigan, US, respectively. Dimethyl sulfoxide - DMSO (Merck),  
99        N,N-dimethylformamide – DMF (Merck), perchloric acid 70-72% - PA (Merck) were of analytical grade  
100        and used as received. CG was used as control sample in order to compare the precision and purity  
101        of the synthesized graphene products.

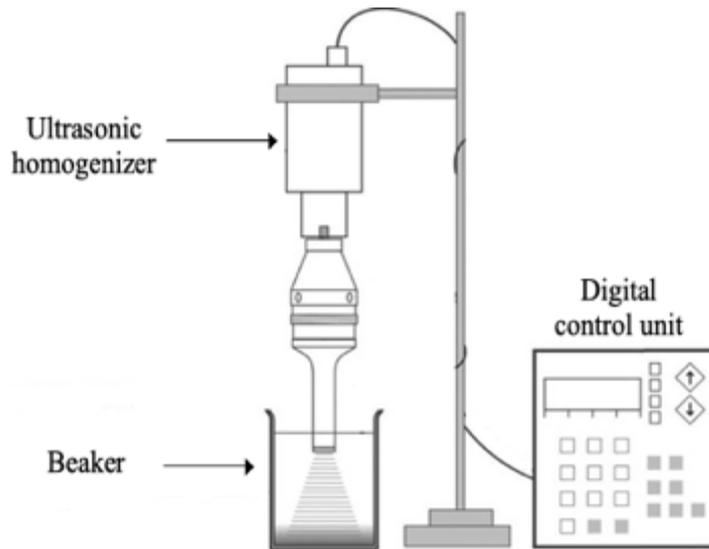
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### 103        *2.2. Method*

104

105        0.3 g graphite was dispersed in a 50 mL solvent such as DMSO, DMF and PA. The prepared  
106        dispersions were sonicated for 3 hours by using BANDELIN® HD 2200 SONOPULS equipped with a  
107        VS 190 T sonotrode made of titanium alloy, 200 W, 50 % amplitude (Fig. 2). Afterwards, these  
108        dispersions were subjected to Elektromag, M 4812 P for an hour at 3000 rpm in order to remove the  
109        unexfoliated graphite particles. Then, the large aggregates were settled down, supernatant part of  
110        the samples were decanted and collected in separate vials.

111



112

113

**Figure 2.** Ultrasonic treatment unit.

114

### 115 2.3 Characterization

116

117 X-ray diffraction (XRD) was conducted by depositing the samples onto glass pieces (0.7 x 0.7 mm<sup>2</sup>)  
118 and their XRD spectra were determined by a Rigaku D-Max 2200 Series device equipped with Cu-  
119 K $\alpha$  radiation ( $\lambda = 1.54 \text{ \AA}$ ) at a scanning rate of 3°per minute. Its tube voltage was 40 kV and the current  
120 was 40 mA. Samples for AFM were prepared by dropping the graphene dispersions onto glass pieces  
121 (0.7 x 0.7 mm<sup>2</sup>) and measurements were carried out in contact (tapping) mode, with 10.00  $\mu\text{m}$  scan  
122 size, and 20.35 Hz scan rate by using Digital Instruments Nanoscope. Ultraviolet-visible (UV-vis)  
123 spectroscopy analyses were done by a Perkin Elmer Precisely Lambda 35 UV-vis Spectrometer in  
124 the region from 200 to 800 nm. In order to elucidate the mechanical properties, the particle size  
125 distribution analyses were carried out by dynamic light scattering (DLS) method through Malvern  
126 Zetasizer Nano ZS Laser particle size distribution meter. The structural and morphological properties  
127 were determined by the measurements made by a Hitachi HT7800 model transmission electron  
128 microscope (TEM) that is operating at 120 kV.

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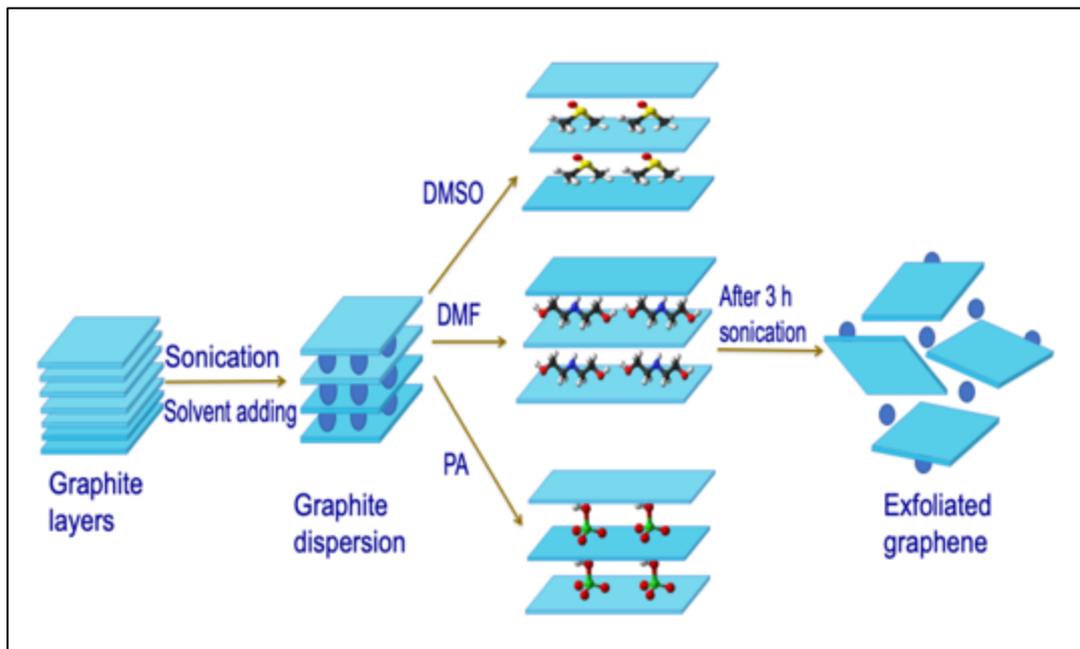
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132 **3. Results & Discussion**

133 In this study, ultrasound assisted exfoliation of graphite was conducted in DMSO, DMF, and PA  
134 solvents (Fig. 3). The synthesized graphene products are characterized by comparing the properties  
135 with that of CG. First of all, graphite was added into the solvent, then the mixture was exposed to  
136 ultrasound energy generated by a horn-type device. This type of sonicators produce high-intensity  
137 ultrasound energy which enables the stable micromechanical exfoliation of graphite. The inverse  
138 segregation and micelle cumulation of graphenes were avoided by the utilization of high-intensity  
139 ultrasound energy particularly in solvents such as DMSO, DMF, ethylene glycol or their aqueous  
140 solutions [31]. Additionally, horn-type sonicator provides the synthesis of graphene with minor  
141 functional groups and graphene having flat and defect free morphologies.

142



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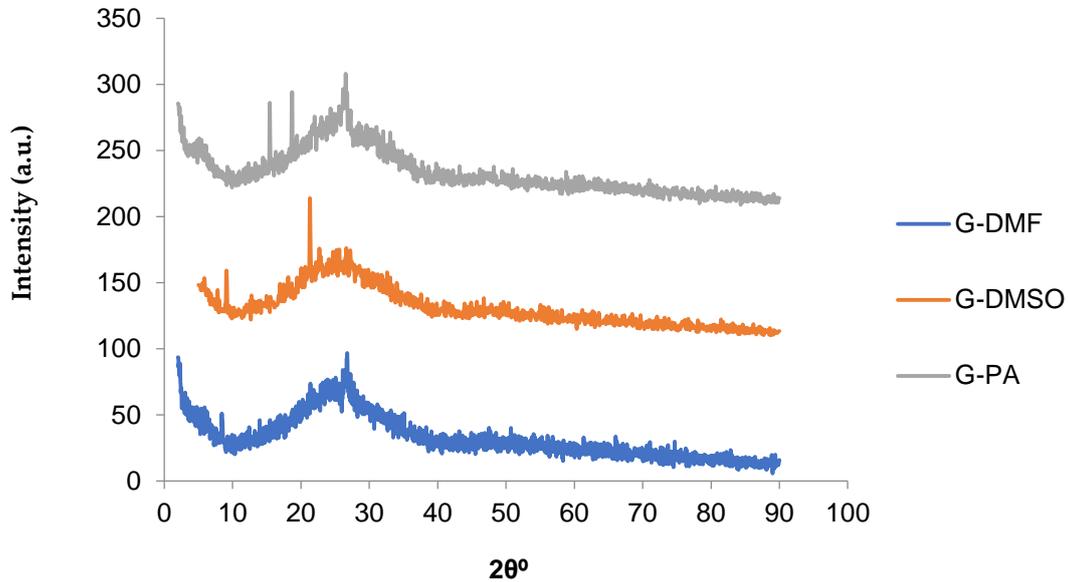
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**Figure 3.** The exfoliation mechanism of the graphite to graphene.

145

146 The stable homogeneous dispersion of graphite can be achieved in solvents such as DMSO,  
147 DMF, and PA possessing similar surface tensions close to that of graphene ( $\sim 68 \text{ mJ/m}^2$ ) [32,33]. The  
148 surface energies of DMSO, DMF, and PA are 43.54, 37.1, and 70  $\text{mJ/m}^2$ , respectively. When the  
149 surface energies of dispersed phase and dispersant are close to each other, the enthalpy of mixing  
150 is diminished resulting in a stable dispersion of graphite. The crystal structure and the layer numbers  
151 of the graphene products (G-DMSO, G-DMF and G-PA) were determined by XRD analysis given in  
152 Fig. 4. It is well-known that the peak at  $2\theta = 26.5$  indicates the graphene characteristic structure.

153 According to the XRD spectra, decline in the intensity of this peak demonstrated the transformation  
154 of graphite to graphene [34].



155

156

**Figure 4.** XRD results of synthesized graphene products.

157 The thickness of graphene products was estimated by applying Scherrer's equation which is  
158 stated as  $D_{002} = K\lambda/B\cos\theta$ .  $D_{002}$ ,  $K$ ,  $\lambda$ ,  $B$ , and  $\theta$  are the thickness of the graphene, a constant based  
159 on the crystal shape (0.89), the wavelength of the X-ray (0.15406 nm), the full width half maximum  
160 (FWHM) of the characteristic peak of graphene, and the scattering angle, respectively [35,36]. The  
161 number of layers was calculated by the following equation:  $N_{GP} = D_{002}/d_{002}$ , where  $d_{002}$  is the interlayer  
162 distance [37,38]. The calculated layer numbers of G-DMSO, G-DMF, and G-PA are 9, 10, and 20,  
163 respectively. Although the layer numbers of G-DMSO and G-DMF were very close to each other, G-  
164 PA gave a higher layer number. This higher layer number value may be explained by the inverse  
165 segregation of graphene particles synthesized in PA.

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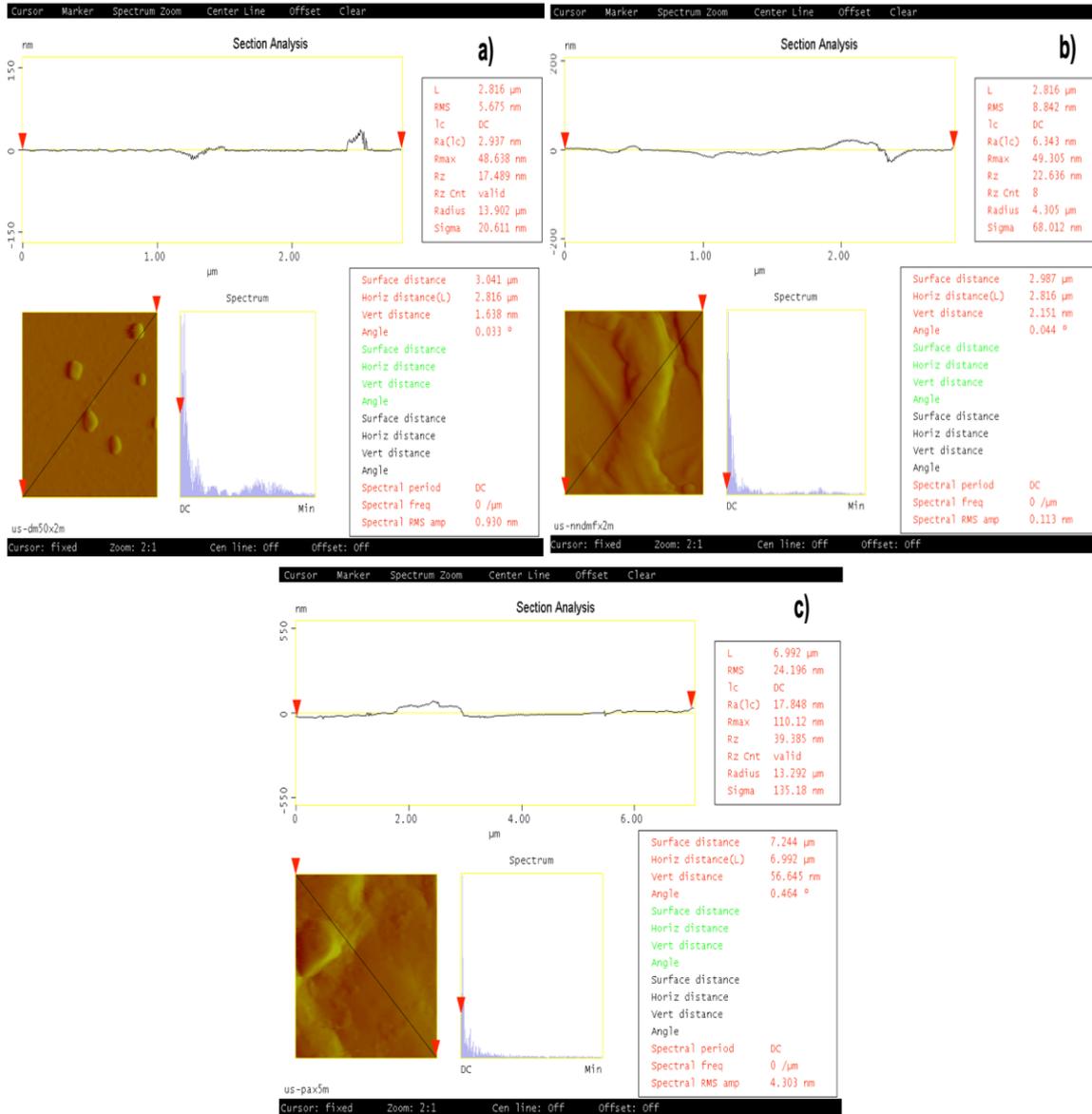
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171

The thickness and surface roughness values of G-DMSO, G-DMF, and G-PA are determined via AFM analysis given in Fig. 5. Roughness average (Ra) is defined as the arithmetic mean of the absolute values of the height alterations from the mean line along the profile. The square root of the arithmetic mean surface roughness (Rq) is also described to take into account the big peaks and valleys [39]. Additionally, the roughness mean square (RMS) is calculated by using height values of microscopic peaks and valleys. As seen from Fig. 5, the Ra values are 2.937, 6.343, and 15.453 nm,

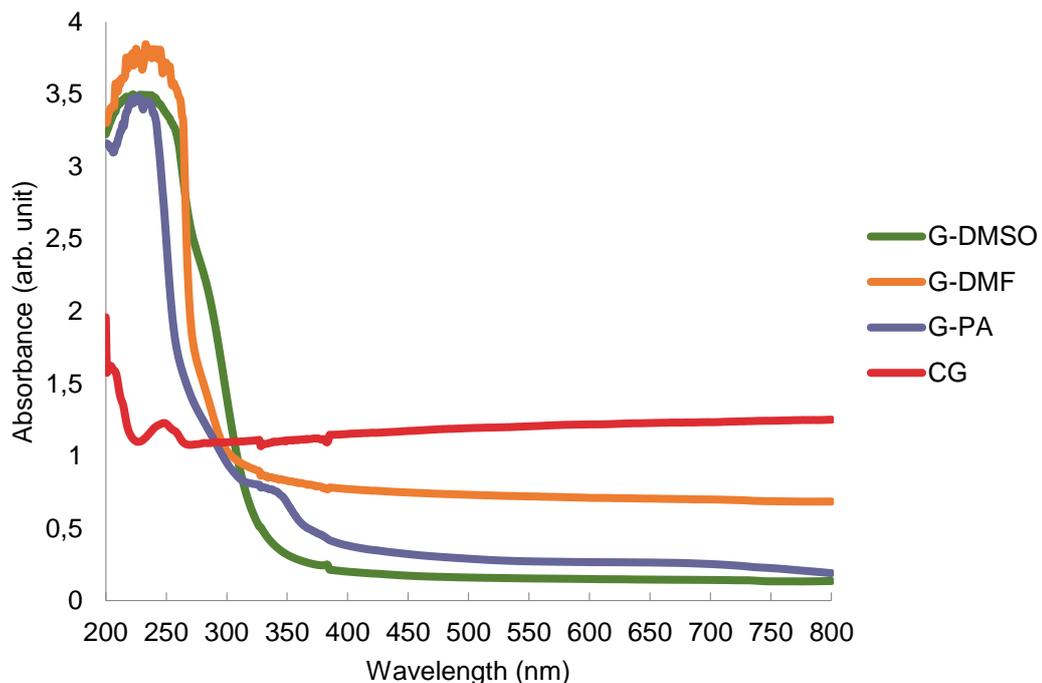
172 whereas the Rq values are 3.471, 8.046, and 17.258 nm for G-DMSO, G-DMF, and G-PA,  
 173 respectively. Furthermore, the RMS values are determined as 5.675, 8.842, and 19.291 nm for G-  
 174 DMSO, G-DMF, and G-PA, respectively.  
 175



176  
 177 **Figure 5.** The AFM images of a) G-DMSO, b) G-DMF, and c) G-PA drop casted onto glass piece  
 178 showing the homogeneous structure of the pristine graphene nanosheets.

179  
 180 In order to confirm the number of graphene layers, AFM results were exploited as well. The  
 181 following equation was used for the calculation of layer numbers:  $N = (t_{\text{measured}} - 0.4) / 0.335$ , where  
 182  $t_{\text{measured}}$  is the vertical distance. The value of 0.335 nm is the thickness of the single layer graphene  
 183 (SLG). Since the mica was used as substrate material in AFM measurements, the height was

184 accepted as 0.4 nm [40]. The thickness ( $t_{\text{measured}}$ ) values of the graphene samples were measured as  
185 1.638, 2.151, and 7.284 nm for G-DMSO, G-DMF, and G-PA, respectively. The number of layers  
186 were calculated from the aforementioned equation as  $3.69 \cong 4$ ,  $5.22 \cong 5$ , and  $21.053 \cong 21$  for G-  
187 DMSO, G-DMF, and G-PA, respectively. When all the results were assessed, the roughness and the  
188 layer number values of G-DMSO and G-DMF are smaller than that of G-PA. The layer numbers of  
189 graphene products obtained from XRD agree with those estimated from the AFM. It can be inferred  
190 from these findings while G-DMSO and G-DMF include fewer layers, G-PA has multi layered  
191 structure. In the case of preparation of graphene in PA, the RMS and layer number are 19.291 nm  
192 and 21, respectively. For the sample of G-DMSO, RMS and layer number are 5.675 nm and 4,  
193 respectively. The multilayered specimen of G-PA has a higher RMS value which is in accordance  
194 with literature [41]. It is believed that the combined effect of high-power ultrasound energy and strong  
195 acidity of PA might trigger the formation of some functionalities on the graphene leading to an increase  
196 in the surface roughness. Also, the reason for higher thickness may be due to the out of plane rippling  
197 behaviour of graphene [42]. In order to clarify the graphene structure, UV-vis spectra of the graphene  
198 dispersions were recorded as seen in Fig. 6. The graphene samples, which are labeled as G-DMSO,  
199 G-DMF and G-PA, showed peak at 265 nm wavelength referring to  $sp^2$  C=C bonds [43].



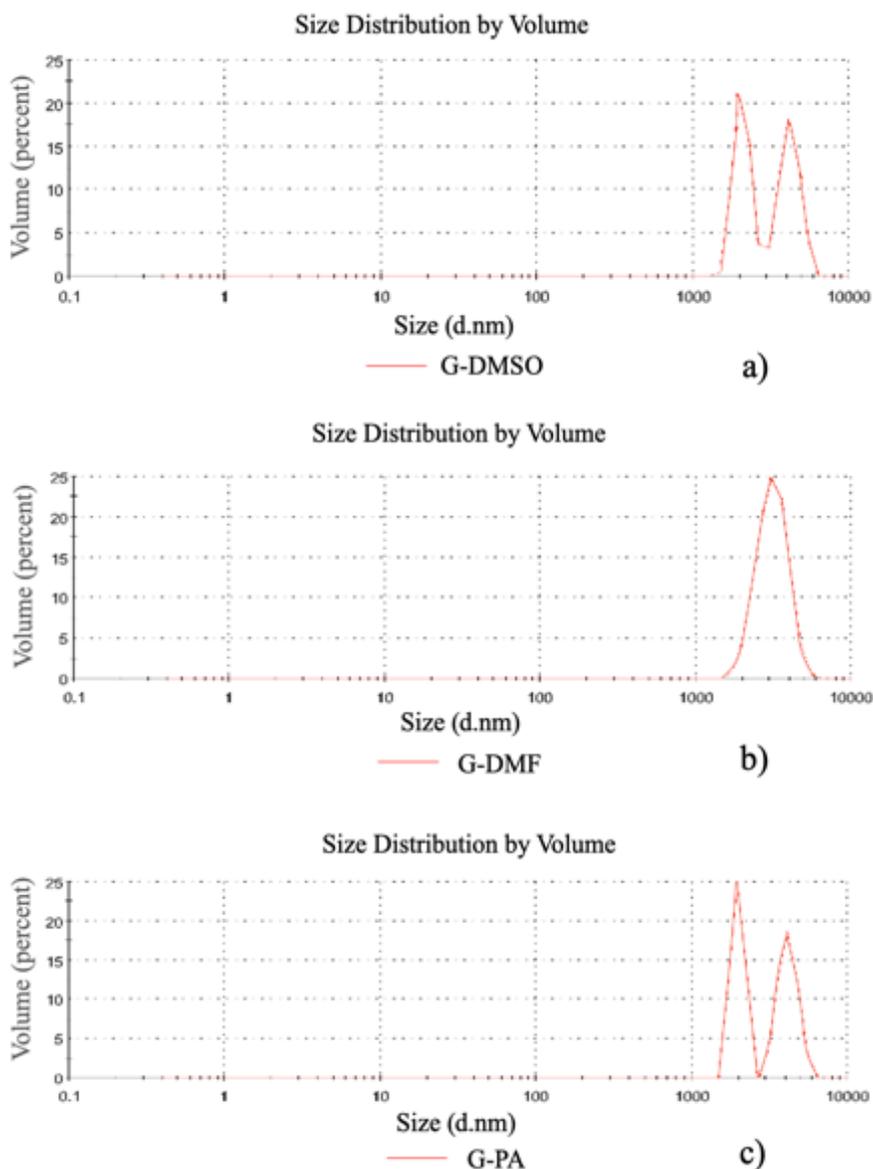
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201

**Figure 6.** UV-vis spectra of CG, G-DMSO, G-DMF, and G-PA products.

202 Next, dynamic light scattering (DLS) technique, which gives the apparent size of the graphene  
203 samples in the aqueous dispersion, was applied to investigate the particle size [44]. The size  
204 distribution results are presented in Fig. 7.

205



206

207 **Figure 7.** Particle size analysis results of synthesized samples, a) G-DMSO, b) G-DMF, c)  
208 G-PA.

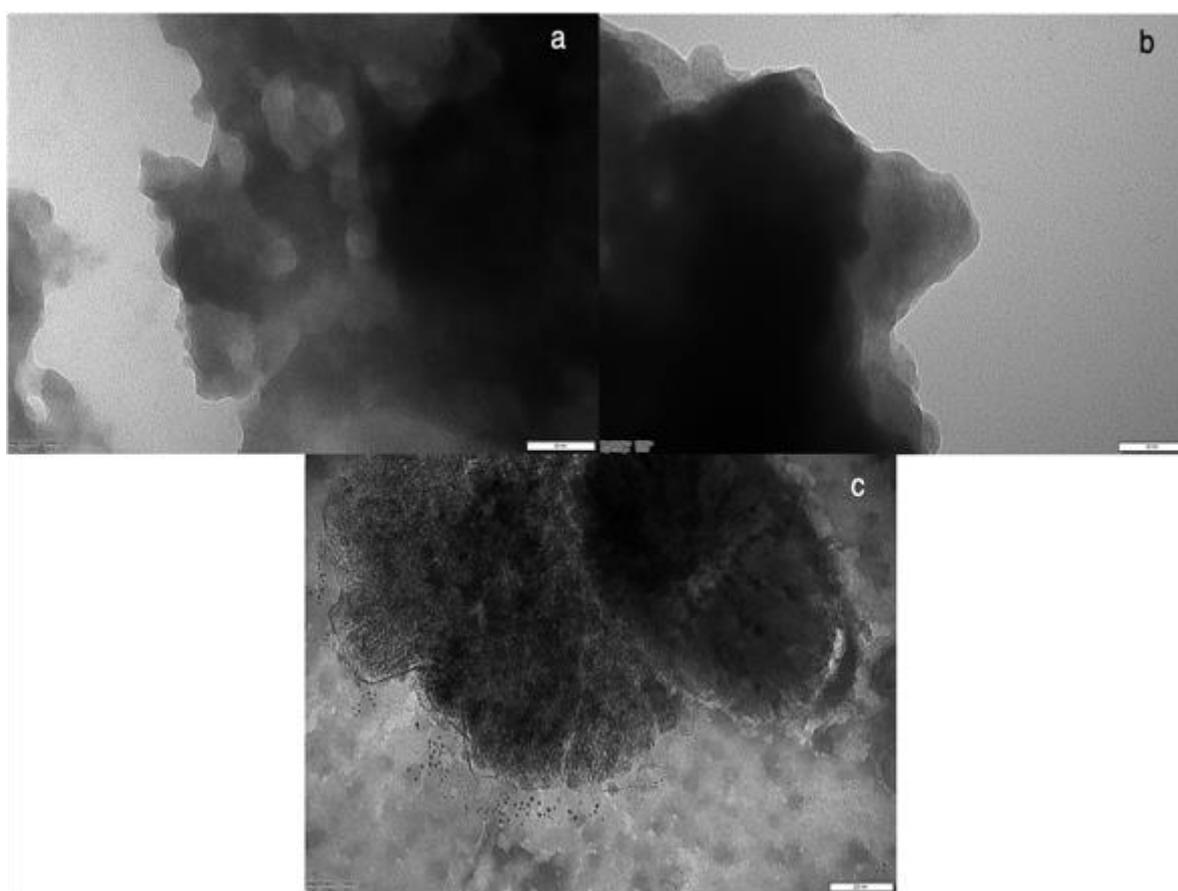
209 As seen in Table 1, Z-average hydrodynamic radius (Rh) values of G-DMSO, G-DMF and G-PA  
210 are 6938, 3846 and 7137 nm, respectively. These results are parallel to the previous studies which  
211 reported that graphene samples with a few micrometers of Rh had less defected structure. The

212 graphenes with this particle size are promising and favourable for the applications in electronics  
213 industry [27,45].

214 **Table 1.** Particle size results of synthesized samples

Sample Name	Z-Ave (d.nm)	Std. Dev. (d.nm)
G-DMF	3846	706.7
G-DMSO	6938	408
G-PA	7137	207.5

215



216

217 **Figure 8.** TEM images of graphene layers in sample a) G-DMSO, b) G-DMF d) G-PA. Scale bar: a)  
218 50 nm, b) 50 nm, and c) 200 nm.

219

220 Fig. 8 illustrates the TEM images of graphene products of G-DMSO, G-DMF, and G-PA. TEM  
221 analysis revealed that G-DMSO and G-DMF have fewer layers while G-PA has multilayers. The light  
222 and dark coloured parts (Fig. 7a and 7b) represent the few layered structures at the edges of the  
223 sample and the multilayered agglomerates at the surface, respectively. Also, Fig. 7a and 7b exhibit

224 the sheet-like flakes of graphene structure overlapping at some parts. Fig. 7c presents a wrinkled and  
225 unordered structure of G-PA, which was synthesized by excessive cavitation in PA. Due to the high  
226 acidic character of PA, crumbled structure and deficiencies, low quality properties were observed on  
227 the surface morphology of the sample. Moreover, the dark coloured parts on the image of G-PA  
228 display the contamination arising from the residual solvent [46].

229

#### 230 **4. Conclusion**

231 In summary, ultrasound assisted LPE method was used for the synthesis of graphene in the  
232 solvents of DMSO, DMF, and PA. According to XRD results, the layer numbers of the samples  
233 labelled as G-DMSO, G-DMF, and G-PA were estimated as 9, 10, and 20, respectively. The UV-vis  
234 spectra of all the samples give peak at 265 nm wavelengths indicating the  $sp^2$  C=C bonds of  
235 graphene. Also, the results of AFM showed that the layer numbers are 4, 5, and 21 whereas Z-  
236 average hydrodynamic radius (Rh) are 6930, 3846 and 7137 nm for G-DMSO, G-DMF, and G-PA,  
237 respectively.

238 XRD, AFM, and TEM revealed that G-DMSO and G-DMF contain few layers while G-PA has  
239 multilayer structure. Finally, it can be concluded that DMSO is a promising solvent for the one-pot  
240 synthesis of few-layered graphene by LPE method without using any surfactants or ionic liquids.

241 **Author Contributions:** Conceptualization, B.G., Ç.T.Y. and M.B.; methodology, B.G., Ç.T.Y. and M.B.;  
242 software, B.G., Ç.T.Y. and M.B.; validation, B.G., Ç.T.Y. and M.B.; formal analysis, B.G., Ç.T.Y. and M.B.;  
243 investigation, B.G., Ç.T.Y. and M.B.; resources, B.G., Ç.T.Y. and M.B.; data curation, B.G., Ç.T.Y. and M.B.;  
244 writing—original draft preparation, B.G., Ç.T.Y. and M.B.; writing—review and editing, B.G., Ç.T.Y. and M.B.;  
245 visualization, B.G., Ç.T.Y. and M.B.; supervision, B.G., Ç.T.Y. and M.B.; project administration, B.G., Ç.T.Y. and  
246 M.B.; funding acquisition, B.G., Ç.T.Y. and M.B. All authors have read and agreed to the published version of  
247 the manuscript.

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253 **Conflicts of Interest:** The authors declare no conflict of interest.

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